



Sonodynamic inactivation of Gram-positive and Gram-negative bacteria using a Rose Bengal–antimicrobial peptide conjugate

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1 **Sonodynamic Inactivation of Gram Positive and Gram Negative**
2 **Bacteria using a Rose Bengal-Antimicrobial Peptide Conjugate**

3
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23 **Running title:** Antimicrobial SDT.
24

25 **Abstract**

26 Combating antimicrobial resistance (AMR) is one of the most serious public health
27 challenges facing society today. The development of new antibiotics or alternative
28 techniques that can help combat AMR is a priority of many governments across the globe.
29 Antimicrobial Photodynamic Therapy (APDT) is one such technique that has received
30 considerable attention but is limited by the ability of light to penetrate deeply through human
31 tissue reducing its effectiveness when used to treat deeply seated infections. The related
32 technique sonodynamic therapy (SDT) has the potential to overcome this limitation given the
33 ability of low intensity ultrasound to penetrate deeply through human tissue. In this
34 manuscript, we have prepared a Rose Bengal-antimicrobial peptide conjugate for use in
35 antimicrobial SDT (ASDT). We evaluate the ASDT efficacy of this conjugate upon irradiation
36 with ultrasound in both *S. aureus* and *P. aeruginosa* bacterial strains. The ability of the
37 conjugate to preferentially target bacteria over mammalian cells was also determined as was
38 the ability of ultrasound to enhance the uptake of sensitiser through bacterial biofilms.
39 Combined, the results from this study highlight ASDT as a targeted broad-spectrum modality
40 with potential for the treatment of deeply-seated bacterial infections.

41

42 **Keywords:** Sonodynamic Therapy; antimicrobial; sensitiser; peptide

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44

45 **1. Introduction**

46 Although the threat of antibiotic resistance has been prophesied for years, the issue has
47 recently been described as an “apocalyptic scenario” by the UK’s chief medical officer
48 representing “one of the most significant public health challenges facing society today”.¹
49 With 80% of gonorrhoeal infections now resistant to antibiotics and a reported 440,000 new
50 cases of drug resistant tuberculosis per year, it has been suggested that we are fast
51 approaching a post-antibiotic era.^{2,3} This threat is not confined to systemic infections with
52 the problem equally apparent in localised wound infection. Surgical wound infections
53 account for 25% of nosocomial infections and result in a 2.5 times longer hospital stay with
54 additional costs of ~£5,000 per patient.⁴ Diabetic foot ulcers (DFU) and burns are equally
55 problematic. In the US alone, 25 million people are estimated to have Diabetes Mellitus and
56 15-25% will develop DFU during their lifetime.⁵ Over 50% of these ulcerations will become
57 infected resulting in increased hospital admissions, amputation rates and mortality with an
58 estimated one in six patients dying within 1 year of their infection.⁶ The overall impact of this
59 on both the patient and health service provider is significant and highlights an urgent need
60 for alternative therapies.

61

62 Photodynamic therapy (PDT) is a clinical treatment that uses a combination of light,
63 molecular oxygen and a photosensitising drug to generate a cytotoxic effect.⁷ When the
64 sensitiser absorbs light of an appropriate wavelength, the excited triplet state interacts with
65 molecular oxygen by electron (Type I) or energy (Type II) transfer processes that result in
66 the generation of cytotoxic singlet oxygen and other reactive oxygen species (ROS).
67 Because of the high reactivity and short half-life (0.04 μ s) of singlet oxygen, its diffusion
68 radius is less than 20 nm meaning only cells close to the site of its generation are affected.⁸
69 While predominantly used in the treatment of cancer, antimicrobial PDT (APDT) has also
70 received considerable interest for the treatment of microbial infections.⁹⁻¹¹ The major
71 attraction of APDT over conventional antibiotics is that multiple antibiotic resistant (AMR)
72 strains are as easily killed as native strains and because it results in the production of

73 multiple forms of ROS, resistance to PDT is less likely to occur.¹² However, PDT is severely
74 limited by the inability of light to penetrate to depth through mammalian tissue. This is due to
75 endogenous pigments such as haem or melanin competing for light absorption with the
76 sensitiser and is a particular problem in localised infection where the wound area may be
77 severely discoloured due to bruising or inflammation.¹³ Currently approved sensitisers
78 absorb in the visible region of the electromagnetic spectrum limiting light penetration to only
79 a few millimetres and reducing the ability of APDT to eradicate bacteria localised deeper
80 within infected wounds.¹⁴

81

82 In recent years it has been demonstrated that many of the existing clinically-used
83 photosensitisers can be 'activated' by ultrasound, although the precise mechanism(s) by
84 which this occurs remain(s) unknown.¹⁵⁻¹⁸ This approach has become known as
85 Sonodynamic Therapy (SDT). Ultrasound can be tightly focused with penetration in soft
86 tissue up to several tens of centimetres depending on the frequency used.¹⁹ The efficacy of
87 SDT as an anti-cancer treatment has been demonstrated in numerous pre-clinical and
88 clinical studies.²⁰⁻²³ Antimicrobial SDT (ASDT) has also emerged as an active area of
89 research but reports to date have used clinically unsuitable ultrasound equipment /
90 conditions and have not explored the potential damage of the treatment on host tissue.²⁴⁻²⁶
91 As is the case for APDT, a major challenge for ASDT is specifically targeting the sensitiser to
92 bacterial cells to reduce collateral damage to host tissue. A surgical site infection can be
93 defined as a suppurating wound containing a variety of components such as host tissue
94 (skin cells, muscle cells and extracellular matrix components), immune cells and bacterial
95 cells (both live and dead).^{27,28} The bacterial load can be as low as 10^5 bacteria (i.e. μg
96 quantities) per gram of tissue meaning the majority of this complex environment is host
97 tissue essential in the healing process.²⁹ As the cytotoxic agent(s) involved in APDT / ASDT
98 are indiscriminate in their action on host or bacterial cells, it is imperative the sensitiser is
99 preferentially directed to bacterial cells rather than host cells before activation with light or
100 ultrasound. One method to achieve sensitiser selectivity is to exploit the differential binding

101 exhibited by cationic species to the cell wall of bacterial and mammalian cells. For example,
102 it has been demonstrated that light irradiation of wounds in mice treated with a poly-L-lysine-
103 chlorin(e6) conjugate exhibited a greater bacterial kill and less host tissue damage than the
104 free sensitiser alone.³⁰ Similarly, when the antimicrobial peptide (KLAKLAK)₂ was conjugated
105 to the sensitiser eosin, its antimicrobial photodynamic activity was enhanced with negligible
106 photo-damage observed to normal cells.³¹

107

108 Inspired by these results, we have developed a Rose Bengal-(KLAKLAK)₂ conjugate for use
109 in targeted ASDT. The potential of the conjugate to generate ROS during exposure to
110 ultrasound was determined in cell-free solution and the antimicrobial efficacy was
111 established using both *Staphylococcus aureus* and *Pseudomonas aeruginosa* as target
112 microorganisms. The ability of the conjugate to preferentially target bacteria over healthy
113 mammalian cells was also determined. Finally, the effectiveness of ultrasound to enhance
114 the diffusion of sensitisers through bacterial biofilms was investigated.

115

116

117 **2. Results and Discussion**

118 The Rose Bengal-C(KLAKLAK)₂ conjugate was prepared by first synthesising the
119 C(KLAKLAK)₂ peptide using Fmoc solid phase peptide synthesis on Rink Amide resin. In
120 parallel, a carboxylic acid derivative of Rose Bengal was also prepared by reacting Rose
121 Bengal with 1-bromooctanoic acid. This carboxylic acid derivative was added to the N-
122 terminus of C(KLAKLAK)₂ while still on the resin using standard peptide coupling reagents
123 (i.e. HOBt / TBTU). The Rose Bengal-C(KLAKLAK)₂ conjugate was then cleaved from the
124 resin and purified using preparative reverse phase HPLC. Product formation was confirmed
125 using MALDI-TOF and positive electrospray mass spectrometry (Fig S1).

126
127 The ability of the Rose Bengal-C(KLAKLAK)₂ conjugate to generate ROS upon exposure to
128 low intensity ultrasound was determined using the chromogenic ROS probe 1,3-
129 diphenylisobenzofuran (DPBF).³² DPBF has an intense absorbance band centred at 410 nm
130 in its native furan form but is readily bleached by ROS to the corresponding di-ketone. This
131 conversion to the di-ketone is accompanied by a loss in absorbance at 410 nm that can be
132 used to determine the amount of ROS produced. Solutions containing either Rose Bengal or
133 Rose Bengal-C(KLAKLAK)₂ and DPBF were treated with ultrasound for 30 min and the
134 DPBF absorbance at 410 nm measured every 5 min. The results are shown in figure 1 and
135 show a significant reduction in DPBF absorbance for both Rose Bengal or Rose Bengal-
136 C(KLAKLAK)₂ treated with ultrasound relative to the controls indicating efficient ROS
137 production in the ultrasonic field. In addition, the almost identical profile observed for both
138 Rose Bengal and Rose Bengal-C(KLAKLAK)₂ suggests the presence of the peptide does not
139 inhibit ultrasound-induced ROS production by the sensitiser.

140
141 To determine the antimicrobial potential of this ROS generation, two candidate bacterial
142 strains, Gram positive *S. aureus* and Gram negative *P. aeruginosa*, were subjected to ASDT
143 treatment. In each case, suspensions containing 10⁸ bacteria were added to the wells of a
144 96-well plate and incubated with 10 μM Rose Bengal or Rose Bengal-C(KLAKLAK)₂ for 30

145 min. The wells were then treated with ultrasound from the underside of the plate for either 10
146 min (*S. aureus*) or 6 min (*P. aeruginosa*). Following treatment, the number of viable bacteria
147 remaining was determined and expressed as CFU/mL. The results, shown in figure 2, reveal
148 that ultrasound treatment of *S. aureus* produces only a minor reduction (~0.5 log) in bacterial
149 number that was not statistically significant. Treatment of *S. aureus* with Rose Bengal-
150 C(KLAKLAK)₂ in the absence of ultrasound produced an ~1 log reduction in bacterial
151 number. This reduction was attributed to the antimicrobial effect from the AMP component of
152 the Rose Bengal-C(KLAKLAK)₂ conjugate as Rose Bengal alone in the absence of
153 ultrasound produced no change in bacterial number (data not shown). The magnitude of this
154 reduction is consistent with other literature where (KLAKLAK)₂ alone has been shown to
155 possess little activity against Gram positive bacteria.³¹ However, when Rose Bengal-
156 C(KLAKLAK)₂ was combined with ultrasound treatment, a statistically significant 5 log
157 reduction in bacterial number was observed. This suggests that the ROS generated upon
158 interaction of ultrasound with the Rose Bengal component of Rose Bengal-C(KLAKLAK)₂
159 produces the desired antimicrobial effect. When this experiment was repeated using the
160 same concentration of Rose Bengal (i.e. without AMP attached) and the same ultrasound
161 conditions, the reduction in bacterial numbers was approximately one log less than for Rose
162 Bengal-C(KLAKLAK)₂ plus ultrasound. This difference, while not statistically significant,
163 suggests the slight antimicrobial effect observed for Rose Bengal-C(KLAKLAK)₂ alone (i.e.
164 no ultrasound) complements the ASDT effect of Rose Bengal.

165

166 It is generally considered that PDT is more toxic to Gram positive than Gram negative
167 bacteria and it has been suggested that this is due to structural differences in cell wall
168 composition.³³ Given that both the sensitizers used and the cytotoxic species generated (i.e.
169 ROS) are the same in PDT and SDT, one would expect that Gram negative bacteria would
170 also be more difficult to kill using SDT. Indeed, when *P. aeruginosa* was treated with Rose
171 Bengal and ultrasound, only a minor reduction in bacterial number was observed (~ 0.5 log)
172 which was considerably lower than for *S. aureus*. However, when *P. aeruginosa* was treated

173 with the Rose Bengal-C(KLAKLAK)₂ conjugate and ultrasound the results were even more
174 dramatic than for *S. aureus*, with a 7 log reduction in CFU observed (Fig.2b). This large
175 reduction in bacterial number cannot be explained by the antimicrobial nature of the peptide
176 alone as treatment of *P. aeruginosa* with Rose Bengal-C(KLAKLAK)₂ in the absence of
177 ultrasound produced a much lower 3.5 log reduction in bacterial number, suggesting the
178 peptide positions the sensitiser close enough to the bacteria to exert its cytotoxic effect
179 during ultrasound irradiation. To probe this interaction further, we incubated suspensions of
180 both *S. aureus* and *P. aeruginosa* with different amounts of the Rose Bengal-C(KLAKLAK)₂
181 conjugate and measured the zeta potential before and after conjugate addition. Both
182 bacterial strains showed strongly negative zeta potentials (-42.0 and -27.0 mV respectively)
183 which are consistent with literature precedent.^{34,35} Upon addition of increasing amounts of
184 Rose Bengal-C(KLAKLAK)₂, the net charge of both bacteria increased but with significantly
185 different magnitudes (Fig.3). For example, addition of 10 µM Rose Bengal-C(KLAKLAK)₂ to
186 *P. aeruginosa* resulted in a 2.0 mV increase in zeta potential while for *S. aureus* an increase
187 of 29.7 mV was observed. Indeed, only when 50 µM Rose Bengal-C(KLAKLAK)₂ was added
188 to *P. aeruginosa* did the charge become positive while for *S. aureus* this occurred after only
189 10 µM. These results confirm a direct interaction between the positively charged peptide and
190 negatively charged bacterial cell wall with *P. aeruginosa* requiring a significantly greater
191 number of Rose Bengal-C(KLAKLAK)₂ molecules to bind in order to titrate the more negative
192 surface charge.

193

194 Systemic delivery of sensitisers is not normally considered in APDT as damage to capillaries
195 and host cells directly supplied by them is undesirable.³⁶ Therefore, while local
196 administration is preferred, this form of delivery still requires the sensitiser to be targeted to
197 bacteria so that collateral damage to host tissue crucial to the healing process can be
198 minimised. To determine the ability of Rose Bengal-C(KLAKLAK)₂ to preferentially target
199 bacteria over mammalian cells, solutions containing Rose Bengal or Rose Bengal-
200 C(KLAKLAK)₂ were incubated with suspensions containing *S. aureus*, *P. aeruginosa* or

201 human fibroblast (HS27) cells for either 10, 20 or 30 min. Following incubation, the
202 suspensions were centrifuged, the cells lysed and the Rose Bengal concentration
203 determined using UV-Vis spectroscopy. The results are shown in Fig 4 and reveal a
204 significantly enhanced uptake of the Rose Bengal-C(KLAKLAK)₂ in both bacteria compared
205 to the Hs27 cells at the time points tested. Indeed, the uptake of Rose Bengal-C(KLAKLAK)₂
206 conjugate was also higher than Rose Bengal in both bacteria while it was generally lower in
207 the Hs-27 cells which is ideal for bacterial targeting.

208

209 The presence of biofilms is a significant challenge associated with the local delivery of
210 sensitiser drugs as it can act as a barrier between the applied sensitiser and bacteria. With
211 as many as 80% of SSI's involving a microbial biofilm, strategies that can enhance
212 dispersion of drugs through biofilms offer a significant advantage. It has been demonstrated
213 that in addition to increasing the permeability of membranes through sonoporation, shear
214 forces induced by ultrasound generates pores in the architecture of biofilms, enhancing the
215 effectiveness of antibiotic treatment.³⁷ To test this hypothesis, we generated *P. aeruginosa*
216 biofilms on the surface of trans-well inserts and tested the diffusion of Rose Bengal through
217 the biofilm in the presence and absence of ultrasound (Fig 5a). Preliminary data (Fig 5b)
218 show that pre-treatment of the biofilm with low intensity ultrasound for 5 min before addition
219 of Rose Bengal produced a 2.6-fold increase in sensitiser diffusion through the biofilm
220 compared to the untreated biofilm control. These results suggest that ultrasound can
221 facilitate the dispersion of sensitisers through biofilms and potentially improve the efficacy of
222 ASDT.

223

224 Having established the effectiveness of the SDT approach *in vitro* we were also interested if
225 a similar effect would be observed *in vivo*. To determine this, wound abrasions (0.5 cm²)
226 were established in the dorsum of Balb/c mice and inoculated with a bioluminescent strain of
227 *P. aeruginosa*. Once the infection had established, bioluminescent images were recorded
228 using an IVIS whole body imaging system. The wound was then treated with a PBS solution

229 containing the Rose Bengal-C(KLAKLAK)₂ conjugate (4.5mg/kg) and 10 min later exposed
230 to ultrasound. Bioluminescent images were then recorded 1 h and 24 h after ultrasound
231 treatment. Control groups involving no treatment or treatment with Rose Bengal-
232 C(KLAKLAK)₂ or ultrasound alone were also undertaken for comparative purposes.
233 Representative images of the mice are shown in figure 6 and reveal substantial reductions in
234 bioluminescent intensity for mice treated with the conjugate alone or SDT, with the SDT
235 image being less intense, particularly after 24h. In contrast, the bioluminescent intensity of
236 the untreated and ultrasound only groups were substantially more intense than the Rose
237 Bengal-C(KLAKLAK)₂ or SDT treated animals. This pattern follows a similar trend to the
238 results obtained for the *in vitro* experiments undertaken using *P. aeruginosa* where Rose
239 Bengal-C(KLAKLAK)₂ alone produced a modest 3.5 log reduction while SDT treatment
240 resulted in a much greater 7 log reduction. It was also apparent from the images presented
241 in Figure 6 that the size of the wound 24 h following SDT treatment was much smaller when
242 compared to 1 h following SDT treatment suggesting a degree of wound healing, a feature
243 that was not apparent in any of the other groups. While there is an obvious limitation in the
244 small sample size used in these experiments, the results do suggest that SDT using Rose
245 Bengal-C(KLAKLAK)₂ is capable of substantially reducing bacterial burden in an *in vivo*
246 model of localised infection. Interestingly the results also suggest that our approach does
247 not elicit any collateral damage on host tissues. We are currently designing a larger animal
248 study involving both *MRSA* and *P. aeruginosa* infection models and will report on this in due
249 course.

250

251 In conclusion, a Rose Bengal-C(KLAKLAK)₂ conjugate has been prepared for use in
252 targeted ASDT. A broad-spectrum ASDT effect was observed when the conjugate was used
253 to treat *S. aureus* and *P. aeruginosa* in the presence of low intensity ultrasound. The
254 conjugate also displayed improved uptake by these bacterial strains when compared to a
255 mammalian cell line which promises to minimise damage to host tissue when considering *in*
256 *vivo* ASDT applications. In addition, pre-treatment of a *P. aeruginosa* biofilm with low

257 intensity ultrasound before application of Rose Bengal enhanced diffusion of the sensitiser
258 through the biofilm. A preliminary pilot *in vivo* experiment provided qualitative evidence of a
259 substantial reduction in bacterial burden without collateral damage to host tissues when a *P.*
260 *aeruginosa* infected wound was treated with SDT using the Rose Bengal-C(KLAKLAK)₂
261 conjugate. Combined, these results suggest that ASDT using Rose Bengal-C(KLAKLAK)₂ is
262 an effective broad-spectrum antimicrobial technique with the potential to activate sensitisers
263 at a much greater depth in human tissue than APDT enabling the treatment of more deep-
264 seated infections.

265

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268

269 **Declarations**

270 **Funding:** None

271 **Competing Interests:** None

272 **Ethical Approval:** Not required

273

274

275 **Supporting Information**

276 Containing detailed materials & methods and characterisation of Rose Bengal-C(KLAKLAK)₂
277 conjugate.

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279

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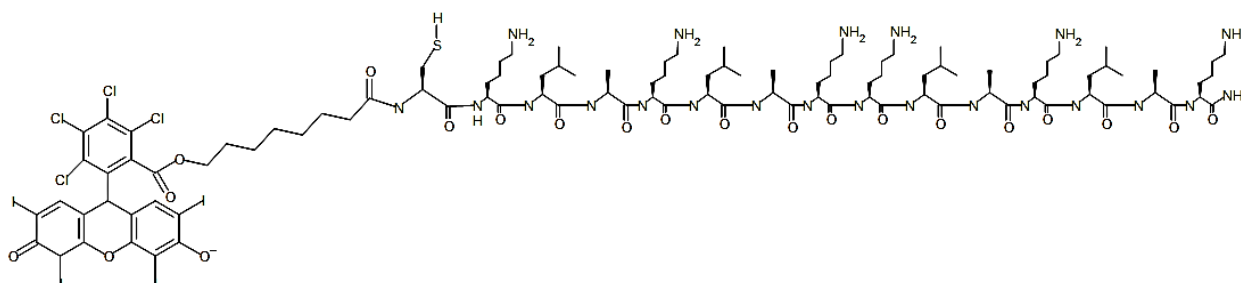
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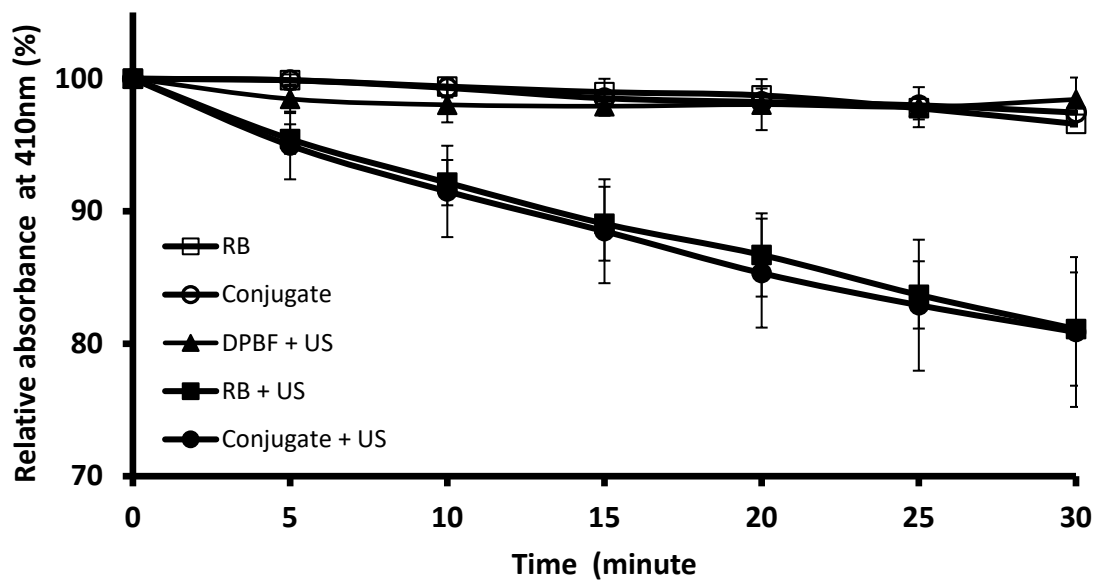
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Figures and Diagrams



Scheme 1 Structure of Rose Bengal-C(KLAKLAK)₂.

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416 **Figure 1** Plot of DPBF absorbance at 410 nm against time for solutions containing (i) Rose
417 Bengal (ii) Rose Bengal-C(KLAKLAK)₂ conjugate (iii) DPBF alone plus ultrasound treatment
418 (iv) Rose Bengal plus ultrasound treatment and (v) Rose Bengal-C(KLAKLAK)₂ conjugate plus
419 ultrasound treatment.

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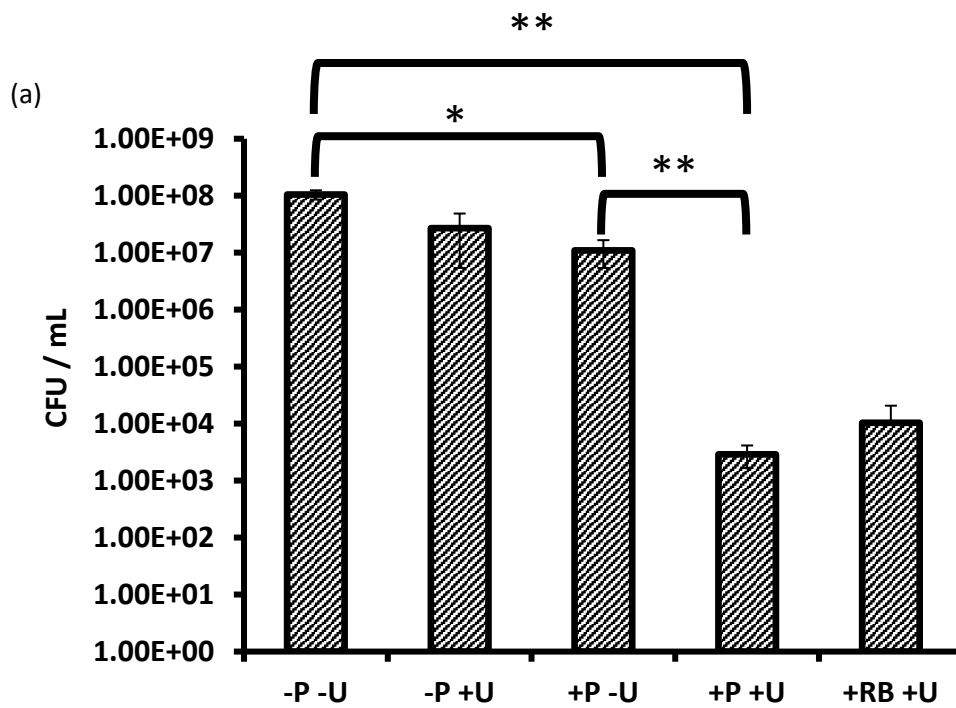
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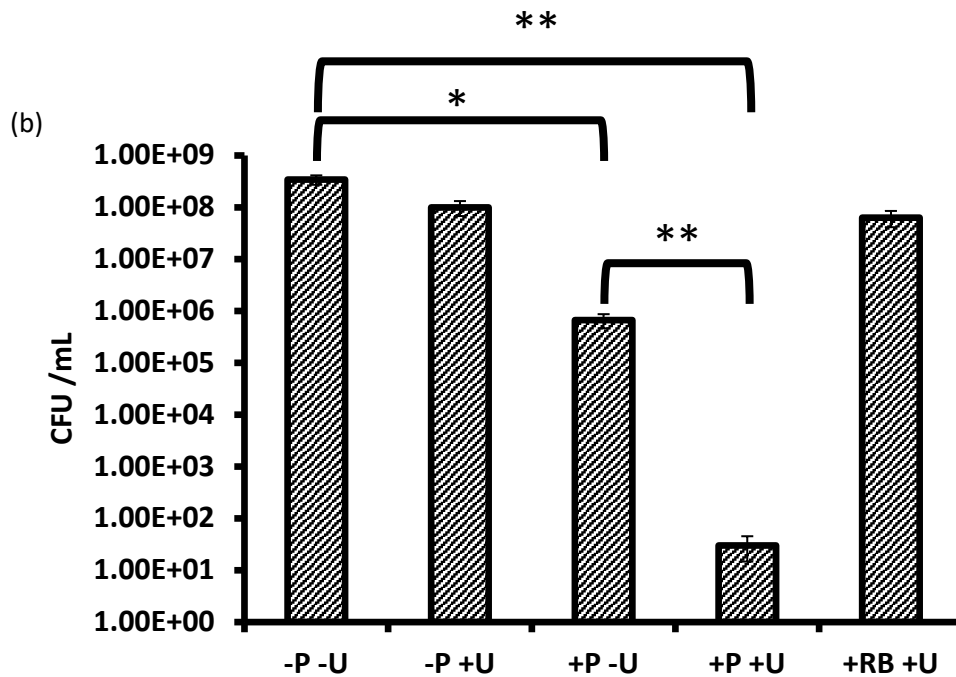
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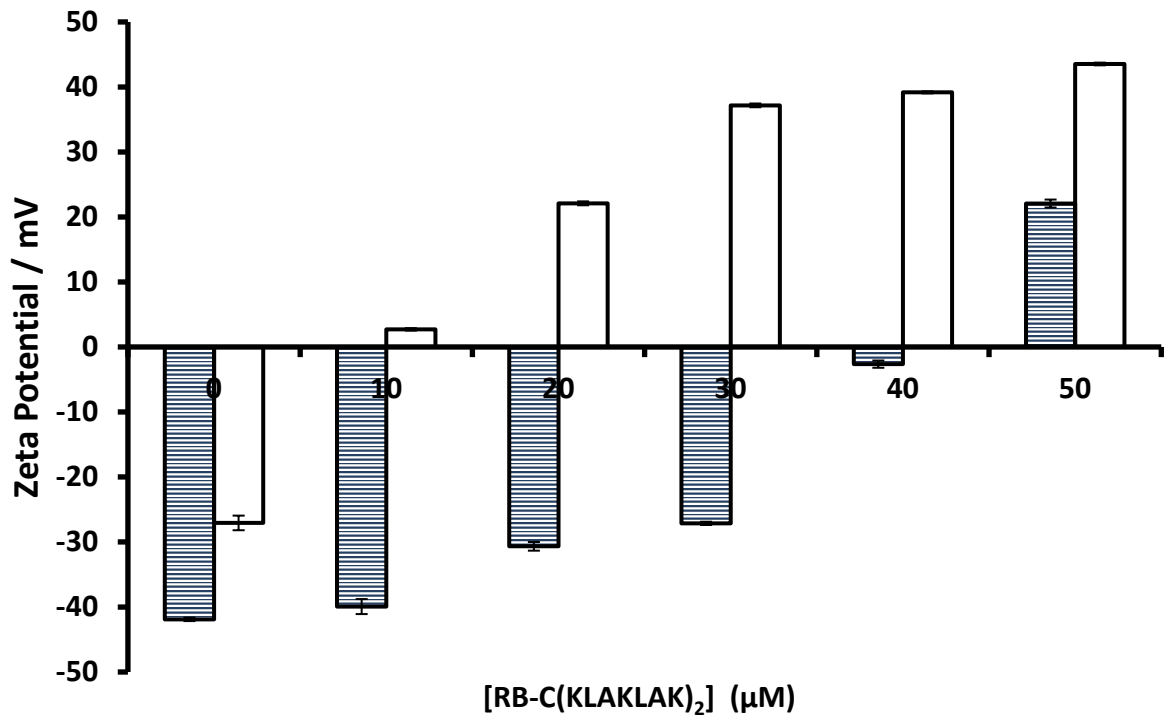
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438 **Figure 2** Plot of CFU/mL after treatment of (a) *S. aureus* and (b) *P. aeruginosa* with RB-
439 C(KLAKLAK)₂ (P), Rose Bengal (RB) with / without ultrasound (+/- U). [RB-C(KLAKLAK)₂] =
440 [RB] = 10 μM. Ultrasound conditions: 1 MHz, 3Wcm⁻², 10 min, 50 % duty cycle for *S. aureus*
441 and 1 MHz, 3Wcm⁻², 6 min, 50 % duty cycle for *P. aeruginosa*. * represents P ≤ 0.05, **
442 represents P ≤ 0.01



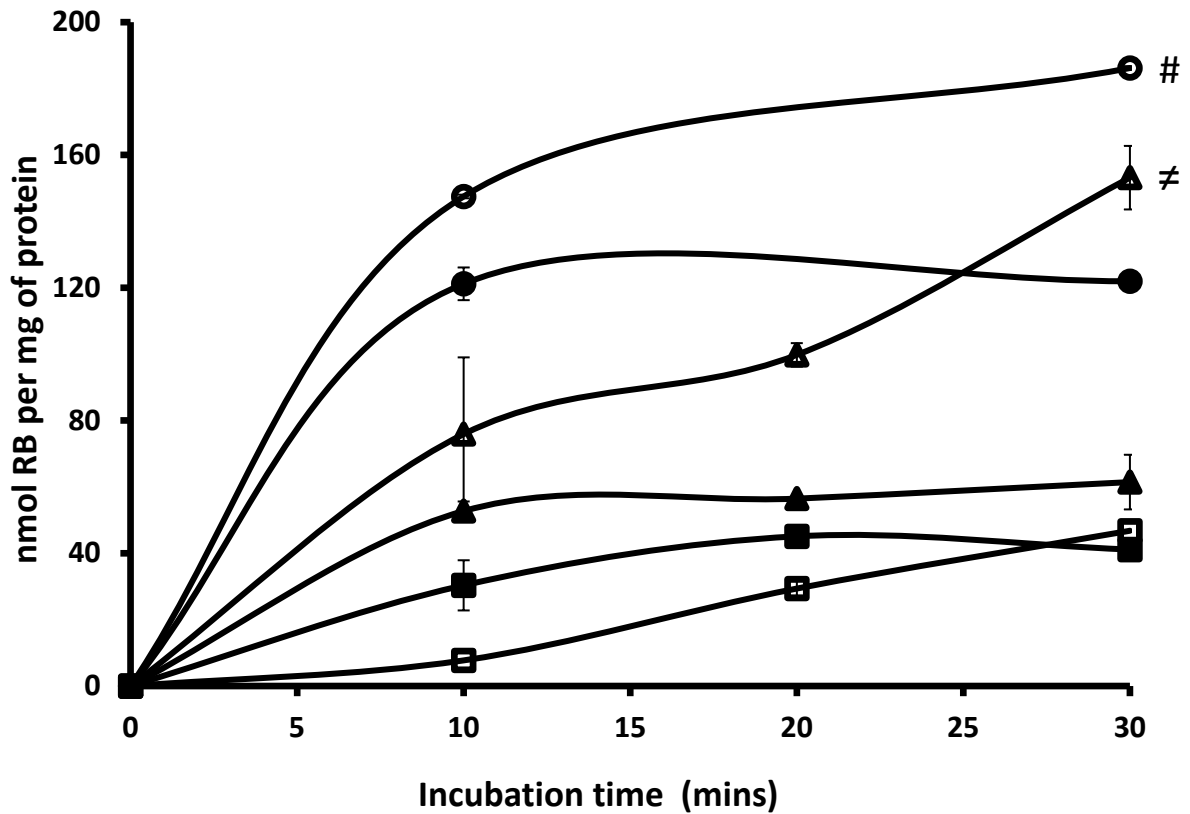
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444 **Figure 3** Plot of zeta potential for suspensions of *P. aeruginosa* (shaded columns) and *S.*
 445 *aureus* (clear columns) recorded after addition of increasing amounts of RB-C(KLAKLAK)₂.

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451 **Figure 4** Plot of nmol of Rose Bengal per mg protein for suspensions of *S. aureus* (circles),
 452 *P. aeruginosa* (triangles) and HS27 RB cells (squares) incubated with RB (filled symbols) or
 453 RB-C(KLAKLAK)₂ (open symbols) for 10, 20 or 30 mins. (# represents $P \leq 0.001$ with respect
 454 to uptake by RB alone and $P \leq 0.001$ with respect to RB-C(KLAKLAK)₂ uptake in HS27 cells).
 455 (\neq represents $P \leq 0.01$ with respect to uptake by RB alone and $P \leq 0.01$ with respect to RB-
 456 C(KLAKLAK)₂ uptake in HS27 cells).

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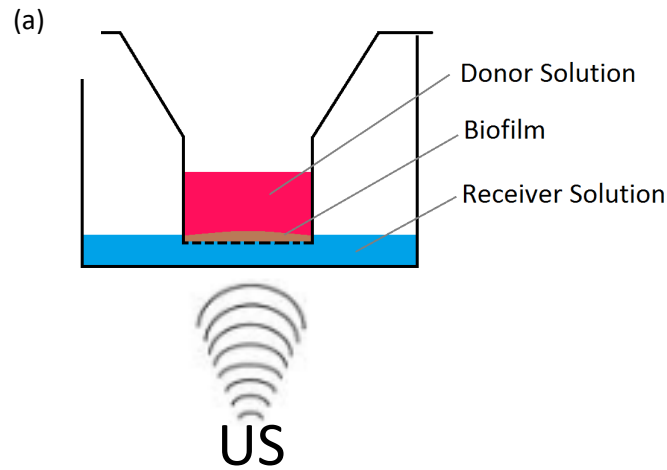
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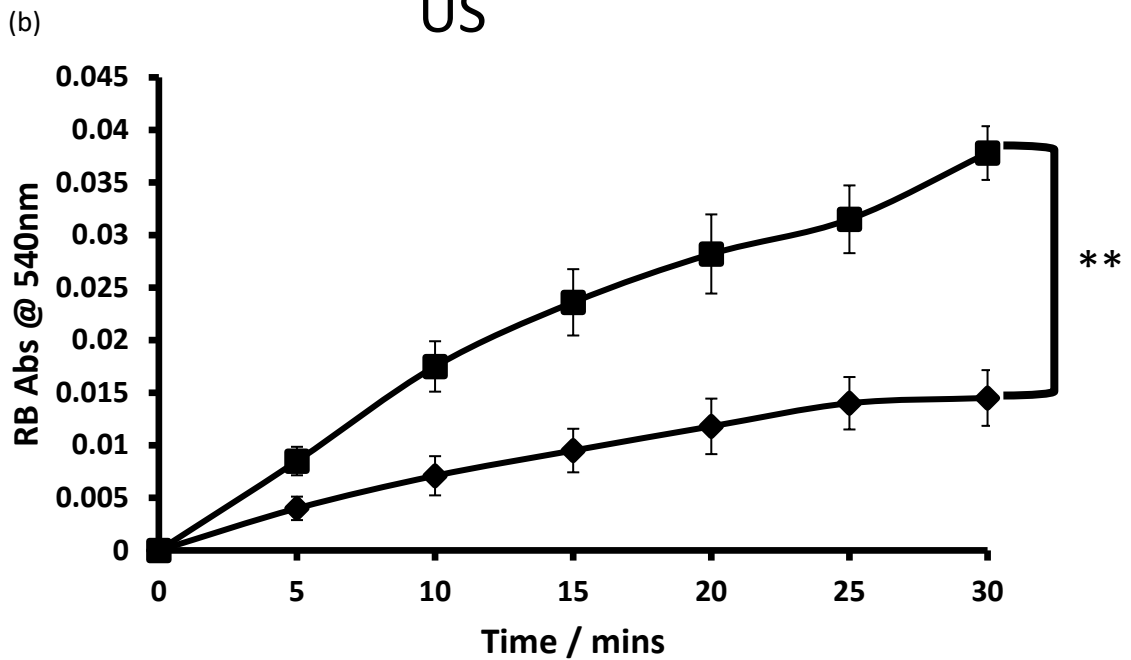
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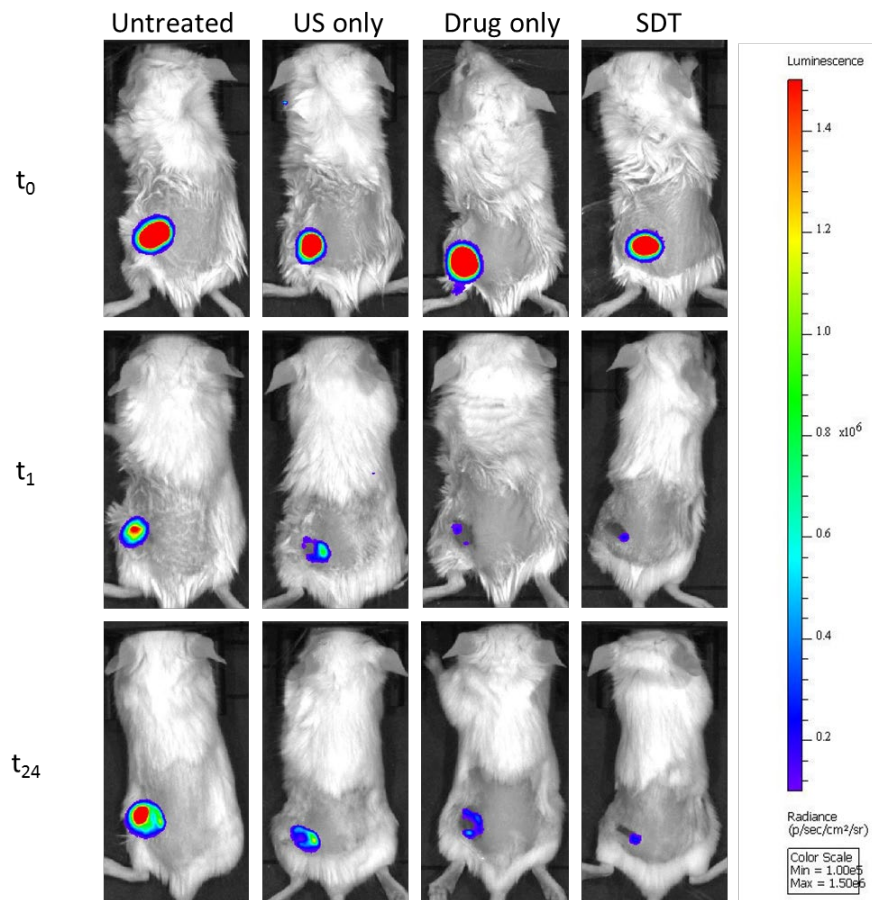
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467 **Figure 5** (a) Schematic representation of biofilm diffusion experiment. *P.aeruginosa* biofilms
468 were generated on transwell inserts. The inserts were placed in wells containing PBS buffer
469 and the base of each well irradiated (or not) with low intensity ultrasound. RB solution was
470 added to the donor insert and the concentration of RB in the receiving PBS solution
471 determined at various time points using UV-Vis spectroscopy (b) plot of RB absorbance
472 against time for experiments performed in (a) ■ = wells pre-treated with US and ♦ = wells not
473 pre- treated with US. ** represents $P \leq 0.01$

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477 **Figure 6** Whole body bioluminescent images of mice bearing 0.5 cm² wounds infected with
478 *P.aeruginosa* and receiving (i) no treatment (ii) ultrasound only (iii) RB-C(KLAKLAK)₂ only or
479 (iv) SDT, with images recorded immediately before, 1 h and 24 h after treatment.