



Enhanced oral bioavailability and hepatoprotective activity of thymoquinone in the form of phospholipidic nano-constructs

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Enhanced oral bioavailability and hepatoprotective activity of thymoquinone in the form of phospholipidic nano-constructs

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3 29 **ABSTRACT**
4 30

5 31 **Background:** The poor biopharmaceutical properties of thymoquinone (TQ) obstruct its
6 32 development as a hepatoprotective agent. To surmount the delivery challenges of TQ,
7 33 phospholipid nanoconstructs (PNCs) were constructed.

8 34 **Method:** PNCs were constructed employing microemulsification technique and systematic
9 35 optimization by three-factor three level Box-Behnken design.

10 36 **Result:** Optimized PNC composition exhibited nano size (<100 nm), spherical morphology,
11 37 within acceptable range of polydispersity index (0.55), high drug entrapment efficiency (>90%),
12 38 controlled drug release pattern, and neutral surface charge (zeta potential of -0.65 mV). After oral
13 39 administration of a single dose of PNC, it showed a relative bioavailability of 386.03% *vis-à-vis*
14 40 plain TQ suspension. Further, TQ-loaded PNC demonstrated significant enhanced hepato-
15 41 protective effect *vis-à-vis* pure TQ suspension and silymarin, as evidenced by reduction in the
16 42 ALP, ALT, AST, bilirubin and albumin level and ratified by histopathological analysis.

17 43 **Conclusion:** TQ-loaded PNCs can be efficient nano-platforms for the management of hepatic
18 44 disorders and promising drug delivery systems to enhance oral bioavailability of this hydrophobic
19 45 molecule
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53 **KEYWORDS:** Thymoquinone; Box-Behnken design; lipid carriers; microemulsification;
54 hepatotoxicity; pharmacokinetics
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58 1. Introduction

59 Liver diseases, especially viral hepatitis occurs predominately in developing countries with an
60 enormous impact on public health and economy [1,2]. Liver diseases account for approximately 2
61 million deaths per year worldwide [3]. Thymoquinone (TQ: 2-isopropyl-5-methylbenzo-1,4-
62 quinone), the main active molecule of *Nigella sativa* (NS) essential oil, is a promising contender
63 for the prevention of hepatic diseases [4-6]. The hepatoprotective effects of TQ may be linked with
64 its ameliorating effects on inflammation, apoptosis, and oxidative stress pathways. This
65 subsequently results in the less transactivation of hepatic stellate cells (HSCs) [2,7,8]. Oral
66 administration of TQ alleviates oxidative stress in liver mucosa directly *via* its free radical
67 scavenging action and/or indirectly *via* stimulation of endogenous enzymatic and non-enzymatic
68 antioxidants enzymes [9]. Further, TQ alleviates liver inflammation by inhibiting cyclooxygenase
69 pathway and eicosanoid generation in leukocytes, and also 5-lipoxygenase and peroxidase [10].
70 Although, TQ is a potential candidate for treating hepatic diseases but its highly hydrophobic
71 nature limits its oral bioavailability. The solubility of TQ in aqueous medium has been reported to
72 be less than < 1.0 mg/mL at room temperature. Further, it is also reported to have degradation in
73 the aqueous media and in the presence of light. These limitations can be overcome by strategically
74 formulating nanosized formulation of TQ such as phospholipid nanoconstructs, which can protect
75 the encapsulated drug, enhance the bioavailability, passively-target the drug to the liver, and
76 release the drug in a controlled and effective manner.

77 Phospholipid based nanocarrier systems could be a potential delivery system for enhancing
78 solubility and therapeutic efficacy (11). Presently, researchers are being focused to the
79 development of newer delivery approaches to get enhanced benefit using TQ.

80 Kalam et al., 2017 evaluated the hepato-protective potential of TQ-loaded self-nanoemulsifying
81 drug delivery systems (SNEDDS) using CCl₄ induced hepato-toxicity model. Results indicated the
82 significant hepatoprotective effects for optimized TQ-loaded SNEDDS vis-à-vis TQ suspension.
83 The comparative bioavailability of TQ was enhanced 3.87-fold by optimized SNEDDS in
84 comparison with TQ suspension. (Singh et al., 2013) evaluated the hepato-protective potential of
85 TQ-loaded solid lipid nanoparticles (SLNs) against Paracetamol-induced hepatotoxicity. Findings
86 revealed that TQ-SLNs significantly reduced the serum biomarker enzymes (SGOT, SGPT and

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3 87 ALP) compared to pure TQ suspension. Further, *in vivo* studies revealed a nearly 5-fold increase
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5 88 in the bioavailability of TQ-SLN as compared to pure TQ suspension.

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7 89 Among various lipidic nanocarrier systems, a novel phospholipid based nanostructured lipid
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9 90 carriers (NLCs), composed of phospholipids, lipids, blend of surfactant/co-surfactant and water
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11 91 seen to be effective in accomplishing the therapeutic requirements of TQ. (Sayeed et al., 2017)
12
13 92 prepared TQ-loaded proniosome based formulation for hepato-protective activity against
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15 93 methotrexate-induced hepato-toxicity. Findings revealed that TQ-loaded proniosomes
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17 94 significantly inhibited the elevated levels of liver enzymes, serum marker enzymes and improved
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19 95 histopathological abnormalities [12].

20
21 96 NLCs, the second-generation of lipid carriers, are composed of a mixture of spatially different
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23 97 lipids, *i.e.*, the blend of solid lipid and liquid lipid [13,14]. NLCs stimulate oral absorption of
24
25 98 encapsulated drugs *via* selective uptake through the lymphatic route or the Peyer's patches [15-
26
27 99 17]. NLC formulations of TQ prepared by high shear homogenization and ultrasonication have
28
29 100 earlier been reported to improve the therapeutic efficacy of the drug in animal models for the
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31 101 treatment of gastric ulcer [18] tumor [19] and hepatic disorders [9]. In comparison to the previously
32
33 102 employed methods to prepare TQ-loaded NLCs, microemulsification method is simple,
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35 103 reproducible, no energy requirement, cost-effective and can be easily scaled-up. Moreover, NLCs
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37 104 with low particle size, narrow size distribution and high entrapment efficiency can be obtained.
38
39 105 The combination of surfactants usually produces smaller particle sizes due to the surface-active
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41 106 property of the surfactants. Thus, in the present research work, we have attempted to incorporate
42
43 107 phospholipid (*i.e.*, Phospholipon 90G) as surfactant-co-surfactant mixture (S_{mix}) with a non-ionic
44
45 108 surfactant (*i.e.*, Tween 80) so as to result in the construction of the phospholipid nanoconstructs
46
47 109 (PNCs) employing microemulsification method. Phospholipon 90G is a Zwitterionic surfactant
48
49 110 and is expected to enhance the biopharmaceutical performance and therapeutic efficacy of
50
51 111 hydrophobic drug molecules. It tends to provide enhanced solubility and permeability of the drugs
52
53 112 across the biological barriers, thus improving their oral biopharmaceutical performance owing to
54
55 113 its amphiphilic nature. Additionally, phospholipids constitute a major part of the bio-membrane
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57 114 and thus enhance the cellular uptake of the drugs [20].

58
59 115 The best understanding of the product and process during the development of a formulation could
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61 116 be achieved employing design of experiments (DoE), which is an excellent tool to systematically
62
63 117 manipulate factors according to a prespecified design [21]. Box-Behnken design (BBD), is one

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3 118 such DoE response surface methodology design, having numerous design advantages in
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5 119 comparison to other second order designs, e.g., central composite design (CCD) [22-24]. Thus, in
6
7 120 the present investigation, BBD was used to optimize the TQ-loaded PNCs. Solid lipid, liquid lipid
8
9 121 and surfactant/co-surfactant blend i.e., (S_{mix}): (T80:PL90G), were employed as the input variables
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11 122 for studying their effect on the responses like particle size and entrapment efficiency. The best-
12
13 123 optimized TQ-loaded PNC was then evaluated for *in vitro* release kinetics to study the release
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15 124 mechanism, pharmacokinetic assessment and hepato-protective activity in Paracetamol-induced
16
17 125 hepato-toxicity model in Wistar rats.

17 126 **2. Materials and Methods**

19 127 *2.1. Materials*

21
22 128 TQ was purchased from M/s Sigma Aldrich, Mumbai, India. Phospholipon 90G (PL90G) was
23
24 129 received *ex gratis* from M/s Phospholipid GmbH, Nattermannallee, Germany. Ethanol was
25
26 130 purchased from M/s Merck Specialities Pvt. Ltd., Mumbai, India, while Span 80 and Tween 80
27
28 131 from M/s Qualikem Fine Chemicals Pvt. Ltd. Vadodara, India, Glyceryl monostearate (GMS) and
29
30 132 stearic acid were obtained from Hi-Media Pvt. Ltd., Mumbai, India, and Capmul was procured
31
32 133 from, M/s Biochem Pharmaceutical Industries. Olive oil, castor oil and oleic acid were purchased
33
34 134 from M/s Loba Chemie Pvt. Ltd., Mumbai, India.

35
36 135 Wistar rats (250-300 g) used in the current study were procured from Animal house facility of
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38 136 National Institute of Pharmaceutical Education and Research, Mohali, Punjab, India and kept at
39
40 137 the animal house establishment of Shoolini University, Solan, HP, India. Animal studies were
41
42 138 conducted with prior approval of Institutional Animal Ethical Committee of Shoolini University
43
44 139 (IAEC/SU/17/07). Rats were housed in plastic bottom cages and allowed free access to standard
45
46 140 animal feed and water. The animals were maintained at a temperature of 25 ± 2 °C with relative
47
48 141 humidity of $45 \pm 5\%$. The animals were initially acclimatized to experimental laboratory
49
50 142 conditions for 7 days before the experiments.

49 143 *2.2. Screening of liquid lipids*

51
52 144 Selection of various liquid lipids viz. olive oil, capmul, oleic acid, and castor oil was done based
53
54 145 on their solubility in TQ. Direct exposure of the drug to sunlight was avoided by working carefully
55
56 146 in a dark hood within the laboratory. About 10 mL of oil was placed in 15 mL culture tubes and

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3 147 excess amount of TQ was added into it maintained at thermostatically controlled shaker water bath
4
5 148 maintained at $37\pm 1^\circ\text{C}$. Further, the weighed quantity of clear solution was dissolved in ethanol
6
7 149 and analyzed spectrophotometrically after suitable dilution (s).

8 9 150 *2.3. Screening of solid lipids*

10
11 151 Solubility studies for TQ were carried out in various lipids, viz. Compritol, stearic acid and GMS.
12
13 152 Excess amount of drug was added into 100 mg of solid lipid taken in a 5 mL culture tube
14
15 153 maintained at thermostatically controlled shaker water bath at $70\pm 1^\circ\text{C}$ to get a clear solution.
16
17 154 Further, the weighed quantity of clear solution was dissolved in ethanol and analyzed
18
19 155 spectrophotometrically after suitable dilution (s). Whole study was carried in a dark hood within
20
21 156 the laboratory to protect the drug from direct exposure to sunlight.

22 157 *2.2. Preparation of PNCs*

23
24 158 PNCs were constructed employing microemulsification technique with some modification.
25
26 159 Initially, drug was dissolved in a portion of ethanol. The phospholipid was dispersed/dissolved in
27
28 160 a portion of water along with Tween 80 to form the aqueous phase. Solid lipid was melted at 70°C
29
30 161 and liquid lipid was completely mixed with it. Subsequently, lipid phase, aqueous phase and drug
31
32 162 solution were mixed isothermally to obtain a clear microemulsion. The remaining portion of water
33
34 163 was cooled at 4°C . The hot microemulsion so formed was poured into ice-cold water previously
35
36 164 maintained at 4°C and stirred continuously for 20 min at 3000 rpm to get the PNCs [15, 25-28].

37 165 *2.3. Systematic optimization and validation of PNCs*

38
39 166 TQ-loaded PNCs were optimized using three-level, Box–Behnken design (BBD). The selected
40
41 167 dependent variables were the amount of solid lipid (X_1), liquid lipid (X_2), and S_{mix} (X_3), employed
42
43 168 at three different levels of each variable, viz. low (-1), intermediate (0), and high (+1). Various
44
45 169 PNC formulations (Table 1) prepared as per the design were investigated for the response variables
46
47 170 viz. particle size, and percent entrapment efficiency (%EE). Optimization data analysis was
48
49 171 performed after evaluating prepared PNCs for the response variables. The response surface
50
51 172 analysis was studied employing three dimensional (3D) response surface plots, and two-
52
53 173 dimensional (2D) contour plots, generated using Design Expert® ver.10.0.1 (MS Stat-Ease Inc.,
54
55 174 Minneapolis, MN) [24,28].

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3 175 Validation of methodology was done by selecting 17 PNCs formulation from the numerical
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5 176 optimisation technique based on desirability function. The validated formulations were evaluated,
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7 177 and the observed and predicted values were critically compared for the responses.

9 178 *2.4. Particle size determination and zeta potential*

11 179 The particle size of the prepared PNC's was determined by dynamic light scattering (Zetasizer
12
13 180 2000 HS, Malvern Instruments Limited, Malvern, UK), installed at Chemistry Department, Panjab
14
15 181 University, India. Whereas, zeta potential was measured by Delsa Nano C (Beckman Coulter
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17 182 LS13320), installed at University Institute of Pharmaceutical Sciences (UIPS) India. The
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19 183 formulations (0.1mL) were diluted up to 100mL with distilled water and mixed well before
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21 184 analysis at room temperature. The measurements were made at a detection angle of 90°, and the
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23 185 measurement position within the cuvette was automatically determined by the software.
24
25 186 Approximately 1 mL of the sample was placed in the cuvette and the intensity of fluctuation of the
26
27 187 laser beam was recorded, correlated with the particle size of the dispersed phase [29].

28 188 *2.5. Percent Entrapment efficiency (%EE)*

29 189 The %EE of TQ-PNC was determined by measuring free TQ in the aqueous phase, which was
30
31 190 separated using ultrafiltration centrifugation. Approximately 1mL of TQ-PNCs was centrifuged at
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33 191 3000 rpm for 20 min. and filtered using Filter Unit 100 kDa (Millipore Co., Boston, USA). The
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35 192 supernatant (0.1 mL) was dissolved in ethanol (1 mL), and analyzed using HPLC method. %EE of
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37 193 TQ was calculated using Eq. (1)

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$$194 \quad \%EE = \frac{(T - C)}{T} \times 100 \dots\dots\dots(1)$$

42 195 Wherein T is the total amount of drug detected in both the supernatant and sediment, and C is the
43
44 196 amount of drug detected only in the supernatant

45 197 *2.6 Diffraction scanning calorimetry (DSC)*

48 198 The DSC pattern of pure TQ, freeze dried TQ-loaded PNC, sucrose, Capmul and stearic acid were
49
50 199 recorded via. NETZSCH leading Thermal Analysis (DSC 204, F1 Phoenix ASC) (Germany). The
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52 200 samples (drug, lipid and formulation) were scanned in an aluminium pan at heating rate of 10
53
54 201 °C/min over the temperature range of 20–350 °C under an inert argon atmosphere at a flow rate of
55
56 202 20 mL/min. to evaluate the stability and compatibility

203 2.7. Electron Microscopy

204 Transmission electron microscopy was used to determine the morphology of optimized PNC with
205 the help of transmission electron microscope (TEM) installed at Indian Institute of Technology
206 (IIT), Mandi, H.P., India. The PNCs were negatively stained with 1% (w/w) aqueous solution of
207 phosphotungstic acid, and dried on a microscopic carbon-coated grid, and viewed under the
208 microscope at suitable magnifications.

209 Field emission-scanning electron microscopy (FE-SEM) of the lyophilized sample was also
210 performed to see the morphology of TQ-loaded PNCs. Samples were located on carbon conductive
211 tap and placed inside the vacuum chamber [30]. Moving electron from tungsten filament was made
212 the incident to the sample and surface morphology of nanoconstructs was determined by using FE-
213 SEM (Hitachi s-4800), installed at SAIF, Panjab University, Chandigarh, India.

214 2.8. X-ray diffraction (XRD)

215 The XRD pattern of pure TQ, freeze dried TQ-loaded PNC, sucrose, Capmul and stearic acid were
216 recorded *via*. X'Pert PRO diffractometer system (Panalytical, Netherlands) with a Copper K α
217 radiation (1.54060 Å) [31]. The tube voltage and current were fixed at 45 kV and 40 mA
218 respectively. Each samples was placed in an aluminum container and measured by a continuous
219 scan between 5 and 40° in 2 θ with a step size of 0.017 [2].

220 2.9. FTIR spectroscopy

221 Infrared spectroscopy was performed by Attenuated total reflection Fourier transform infrared
222 spectrometer (ATR-FTIR) to determine interaction between excipients, and the active drug i.e.,
223 TQ.

224 2.10. In vitro drug release kinetics

225 *In vitro* drug release was performed *via* dialysis membrane method. The membrane was made into
226 pouches by tying the thread on both the ends, and both pure TQ suspension and equivalent TQ-
227 loaded PNCs were placed into the bags separately. The dialysis bags were then placed in a beaker
228 containing in 25 mL of release media kept in water bath shaker maintained at 37°C and run at 100
229 strokes/min [32]. For first 2 h, release was carried out in 0.1N HCl (pH 1.2) followed by phosphate
230 buffer (pH 6.8) up to 12 h along with 2.5% w/v of Tween 80. Aliquots of 2 mL were withdrawn
231 at regular time intervals and replaced with an equal amount of diffusion medium to maintain the

232 sink conditions. Samples were then analyzed using HPLC at λ_{\max} of 254 nm after appropriate
233 dilution(s).

234 To study the release mechanism of the drug from PNC, the *in vitro* release data was fitted into
235 various mathematical models viz. zero order, first order, Higuchi model and Korsmeyer Peppas
236 model [33]. The kinetic model was selected on the best fit with the highest value of regression
237 coefficient (r^2).

238 ***2.11. In vitro drug release kinetics in simulated gastric fluid (SGF) and simulated intestinal fluid*** 239 ***(SIF)***

240 *In vitro* drug release was performed via dialysis membrane method. The membrane was made into
241 pouches by tying the thread on both the ends, and both pure TQ suspension and equivalent TQ-
242 loaded PNCs were placed into the bags separately. The dialysis bags were then placed in a beaker
243 containing in 25 mL of release media kept in water bath shaker maintained at 37°C and run at 100
244 strokes/min [32]. For first 2 h, release was carried out in SGF (pH 1.2) followed by SIF (pH 6.8)
245 up to 8 h along with 2.5% w/v of Tween 80. Aliquots of 1 mL were withdrawn at regular time
246 intervals and replaced with an equal amount of fresh diffusion medium to maintain the sink
247 conditions. Samples were then analyzed using HPLC at λ_{\max} of 254 nm after appropriate dilution(s)
248 and the cumulative amount of drugs released was calculated.

249 ***2.12. Stability studies***

250 The stability of the developed formulation was studied in simulated gastrointestinal (GI) fluids
251 (SGF pH 1.2 and SIF pH 6.8). Briefly, 10ml of simulated GI fluids were added into 2 mL of PNC
252 and incubated for 2 h in case of SGF (pH 1.2) and for 6 h in case of SIF (pH 6.8). Following the
253 incubation, the formulation was evaluated for particle size, PDI and %EE.

254 **2.13. Caco-2 cell culture studies**

255 ***2.13.1. Qualitative Uptake study***

256 For the qualitative cell uptake study the Caco-2 cells were employed at a density of 50,000
257 cells/well in 6 well plate (Costars, corning Inc., NY, USA). The harvested cells were seeded and
258 kept overnight for the attachment of cells. Afterward, the incubation of the cells was done with
259 free Coumarin 6 (C-6) and C-6 loaded PNC (equivalent to 1 $\mu\text{g}/\text{mL}$ of free C-6) for 3 h. The
260 medium was removed after the incubation was finished. Further, Hank's balanced salt solution

261 (HBSS) was used for washing the cells thrice followed by observing under the Confocal Laser
262 Scanning Microscope (CLSM) (Olympus FV 1000) [34].

263 *2.13.2 Quantitative uptake*

264 The quantitative cell uptake study was performed as per the previous report [34]. Briefly, Caco-2
265 cells were seeded at a density of 1×10^5 cells/well in six well cell culture plates (Costars, Corning
266 Inc., NY USA). The medium of cell culture was replaced with fresh medium having a set
267 concentration (10 $\mu\text{g}/\text{mL}$) of native TQ and PNC and further incubated for variable time intervals
268 of 0.5 h to 3 h to evaluate the time dependent cell uptake. After, the period of incubation was
269 accomplished the pre-existing medium was discarded followed by washing of cells thrice by
270 HBSS. Further, the lysis of the cells was done with 0.1% Triton™ X-100 and methanol was
271 employed for extraction in order to solubilize the drug, which was internalized. Finally, the
272 centrifugation (Sigma K 300, USA) of cell lysates, which were obtained, was done at 34,000 rcf
273 for a period of 10 min. The supernatant obtained was then quantified for TQ with the aid of
274 validated HPLC method.

275 *2.14. In vivo studies*

276 *2.14.1. Oral pharmacokinetics*

277 The rats were randomly divided into two groups ($n = 6$). The first group was orally administered
278 with TQ (20 mg/kg) dispersed in 4% sodium carboxymethylcellulose (CMC-Na) and for second
279 group single dose of TQ-loaded PNCs (20 mg/kg) was administered. Blood samples of 0.5 mL
280 each were withdrawn from the retro-orbital plexus under light anaesthesia at specified time
281 intervals (pre-dose, 0.5, 1, 2, 4, 6, 8, 10, 12, 18, and 24 h), placed into heparinized eppendorff
282 tubes and instantly centrifuged at 3000 rpm for 15 min. [2, 26]. After centrifugation, the plasma
283 obtained was stored at $-40\text{ }^\circ\text{C}$ until further analysis. Extraction of TQ from rat plasma was
284 performed and analyzed employing HPLC [35].

285 *2.15. PCM-induced hepato-toxicity*

286 The rats were randomly divided into 5 groups ($n = 4$) namely normal control group (Group I),
287 which were provided a daily dose of 1 mL aqueous solution of 0.9 % w/v sodium chloride (NaCl)
288 (p.o.) for 7 days. Toxic group (Group II) received (650 mg/kg) PCM once daily for 7 days to

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3 289 induce hepatic damage. TQ-suspension (Group III) dispersed in 4% sodium
4
5 290 carboxymethylcellulose (CMC-Na) (1mL) (20mg/kg) were administered orally, TQ-loaded PNC
6
7 291 suspension group (Group IV) received (20mg/kg) daily dose for 7 days, and standard group treated
8
9 292 with an oral suspension of Silymarin tablet (SILYBON®) (40 mg/kg) for 7 days (Group V)
10
11 293 (40mg/kg). The rats in the toxic group (Group II) was given oral dose of 650 mg/kg (PCM) for
12
13 294 seven days. All the groups, including toxic group (group II), except group I (control) received
14
15 295 concurrent dose of 650 mg/kg PCM p.o for 7 days, while the rats in the normal control group was
16
17 296 given the vehicle (normal saline) only. After 7 days, about 1 mL of the blood sample was
18
19 297 withdrawn from the retro orbital plexus under light ether anaesthesia. The blood was collected in
20
21 298 non-heparinized tubes, centrifuged at 1500 rpm for 15 min, the serum was separated and frozen at
22
23 299 -80°C until further testing. The serum so obtained was analyzed for various enzymes such as
24
25 300 alanine aminotransferase (ALT), aspartate aminotransferase (AST), alkaline phosphate (ALP),
26
27 301 bilirubin and albumin respectively [12,36-37].

302 2.16. Histopathological examination

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29 303 Liver tissue samples were excised on the last day of the study. The samples were washed with
30
31 304 phosphate buffer saline, dried with tissue paper and preserved in 10% formalin solution. These
32
33 305 liver sections were stained with haematoxylin and eosin (H&E) and examined under a light
34
35 306 microscope for the presence of any necrosis, haemorrhage, and inflammatory cells.

36 307 Statistical analysis

37
38
39 308 The data obtained are reported as mean \pm SD. Data statistical analysis was performed by Graph
40
41 309 Pad Prism 8.0. The data from different formulations were compared for statistical significance by
42
43 310 the one-way analysis of variance (ANOVA) followed by Tukey's multiple comparison Test. A
44
45 311 difference was considered statistically significant at the level of $p < 0.05$.

46 312 3. Results

47 48 49 313 3.1. Formulation and optimization PNC formulation

50
51 314 The maximum solubility of TQ was obtained in the stearic acid (solid lipid) and capmul (liquid
52
53 315 lipid) (data not shown), thus selected for the development of PNCs. For the optimization of TQ-
54
55 316 loaded PNCs, a three-factor, three-level Box-Behnken design was employed [24]. Table 1

317 summarises an account of the 17 experimental runs studied, along with the coded values and actual
 318 values for the studied factors. The %EE, and particle size values obtained for the various PNC
 319 formulations are enumerated in table 1 and figure 1 (a-f)

320 The data analysis was carried out by analysing the response variables employing second-order
 321 quadratic polynomial models ($p < 0.0001$) [28]. The r^2 values for these models (particle size =
 322 0.9903; %EE = 0.9909) indicating excellent goodness of fit. The models was also found to have
 323 insignificant values of “lack of fit” endorsing the relevance of proposed model was appropriate.
 324 Closeness in the magnitude of adjusted and predicted r^2 to the actual model r^2 also supported an
 325 excellent good of fit to the data.

3.1.1 Model Generation

327 The data analysis was carried out by analysing the response variables employing second-order
 328 quadratic polynomial models ($p < 0.0001$) [26]. Application of ANOVA suggested that the chosen
 329 quadratic model was highly significant ($p < 0.05$) along with the model terms ($p < 0.0001$). The r^2
 330 values for these models (particle size = 0.9903; %EE = 0.9909) indicating excellent goodness of
 331 fit. The models was also found to have insignificant values of “lack of fit” endorsing the relevance
 332 of proposed model was appropriate. Closeness in the magnitude of adjusted and predicted r^2 to the
 333 actual model r^2 also supported an excellent good of fit to the data. The special polynomial
 334 mathematical model encompassing ten coefficients (β_0 - β_{33}) was postulated with β_0 as the
 335 intercept. All the coefficients represent quadratic and interaction terms, as depicted in Eq. 2

$$336 \quad Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \beta_{12} X_1 X_2 + \beta_{13} X_1 X_3 + \beta_{23} X_2 X_3 + \beta_{11} X_1^2 + \beta_{22} X_2^2 + \beta_{33} X_3^2 \quad (2)$$

3.1.1.2 Model Optimization

339 Optimization was done based on desirability function in order to get the desired goal for the
 340 response variables. The optimum formulation was selected based on the criteria, i.e., minimum
 341 value of particle size and maximum value of %EE by applying constraints. The desirability
 342 function, ‘r’ near or equal to unity was assigned as an indicator of the best-fit composition with
 343 desired features. Table 2 presents various constraints and possible solutions with their numeric
 344 range of desirability.

3.1.1.3 Model Validation

A total of 7 check-point formulations were chosen from the RSM, evaluated and the observed data compared with the predicted responses (Table 3). Linear correlation plots drawn between the actual and the predicted response variables demonstrated high values of r^2 (particle size = 0.9879; %EE = 0.9552) indicating excellent goodness of fit. The overall bias for response variables found to be $-0.044 \pm 0.096\%$, indicating very high degree of predictive ability of the QbD experiments. The residual plots were found to be quite regulated, as they showed uniform, relatively narrow and random scatter around the zero-axis.

3.2. Characterization of optimized PNCs

The optimized composition was selected as the solid lipid 1 gm, liquid lipid 0.69 gm and S_{mix} (1:1) 1gm, which were advocated to achieve the requirements of the optimized PNC formulation. Particle size of the optimized PNC was less than 100nm (i.e. 83.5nm and %EE of the optimized PNC was found to be 94.4%).

The particle size distribution (PDI) was found to be 0.55, which is in acceptable range [38], as shown in Figure 2(a). The zeta potential value was obtained to be -0.64 .

DSC analysis revealed the melting point of TQ, stearic acid, capmul and PNC. DSC thermogram of TQ showed sharp endothermic peak around 47°C , which corresponds to its melting point range, as mentioned in the literature ($45\text{-}50^\circ\text{C}$). Whereas, stearic acid, capmul and PNC exhibits a sharp endothermal peak at 60°C , 150°C and 165°C respectively as shown in figure 2 (b). Whereas (figure 2(c) and (d) shows the TEM and FE-SEM photomicrographs of the developed nanocarrier system, respectively. The TEM images of optimized PNCs reveal that the particles were spherical in shape, in agreement with the size obtained using DLS i.e. below 100 nm. The SEM images also displayed nearly spherical topography of prepared PNC's. No crystalline drug particles were visible as shown in Figure 2(c,d). The XRD pattern of pure TQ, TQ-PNC, sucrose, Capmul and stearic acid is displayed in Figure 2(e). The XRD pattern of the pure TQ demonstrates high intensely peak, showing its crystalline nature, while stearic acid and Capmul exhibited comparatively low intensity peaks indicating their amorphous nature. TQ-PNCs demonstrated a marked decrease in the intensity of TQ peak.

For the estimation of any possible chemical interaction among TQ and excipient, FTIR spectra of TQ, Physical mixture, sucrose and stearic acid, Overlay spectra, of drug, the excipients, and

physical mixture is represented in Figure 2(f), while their interpretations, are included in the Table 4. The FTIR spectrum of the pure TQ showed the sharp peak at 2970 cm^{-1} corresponds to (free CH stretching, $2800\text{-}3200\text{ cm}^{-1}$), the peak present at 1644 cm^{-1} corresponds to (C=O and C=C stretch, $1670\text{-}1820\text{ cm}^{-1}$), the peak present at 1360 corresponds to (-CH bending, $1350\text{-}1480\text{ cm}^{-1}$). The FTIR spectrum of stearic acid exhibited peaks at 3492 cm^{-1} corresponds to (-OH stretch, $3200\text{-}3600\text{ cm}^{-1}$), the peak presented at 2920 cm^{-1} corresponds to (-CH stretch, $2850\text{-}3000$ and peak at 1707 corresponds to (C=O stretch and C=C stretch, $1670\text{-}1820\text{ cm}^{-1}$). The FTIR spectrum of sucrose exhibited a sharp peak at 2927 cm^{-1} corresponds to (-CH stretch, $2850\text{-}3000\text{ cm}^{-1}$). The peak presented at 3667 cm^{-1} corresponds to (-OH stretch, $3500\text{-}3700$) peak appeared at 1395 cm^{-1} corresponds to (-CH stretch, $1350\text{-}1480$). There was a shifting of the peak of TQ benzene ring and carbonyl, group (C=C, C=O) from 1644 cm^{-1} to and 1771 cm^{-1} in the physical mixture.

3.3. *In vitro* release kinetic

The release profile of TQ from plain TQ suspension and optimized PNC formulation is shown in Figure 2(g). It is vivid from the results, that there was much better release of the drug from PNCs vis-à-vis plain TQ suspension. Approximately 80% of the drug was released from TQ-PNCs up to 12h, whereas, only 50% of TQ was released from pure TQ suspension. In initial 2 h, approximately 31.5% of the drug was released from PNC vis-à-vis pure TQ (10.8%) followed by sustained release at a constant rate.

After fitting the release data into various kinetic models, the best-fit model was found to be Higuchi model, which indicated the controlled release behavior of the drug from PNC matrix.

3.5. *In vitro* drug release (SGF) and (SIF)

The release profile of TQ from plain TQ suspension and optimized PNC formulation is shown in Figure 2(h). Almost 100% of the pure TQ drug release was achieved within 1 h, which indicated the rapid diffusion of TQ. The initial burst release was seen in the first 30 min. and nearly 40% of the total amount of TQ was released, followed by a sustained release of the remaining TQ over nearly 8 h (91.74%) [Figure 2(h)].

3.6 Stability of PNC in simulated GI fluids

As PNC was designed for oral administration, therefore the main goal behind stability study in simulated GI fluid is to first protect the drug from degradation while passing through harsh

environment in GI tract. PNC were able to maintain their integrity upon incubation with simulated GI fluids for specified time. The results showed the insignificant changes in all the formulation parameters viz. particle size, PDI and %EE (Table 5).

3.7 Qualitative and quantitative cell uptake

The uptake of PNC were assessed in Caco-2 cells to predict the possibility of GI membrane associated transport. CLSM images of PNC exhibited higher fluorescence vis-à-vis pure TQ. Figure. 2(i) (I) and (II) depicts CLSM images of pure TQ and respectively. Similarly, quantitative uptake of PNC and pure TQ in Caco-2 cells was performed to further validate the outcomes of CLSM analysis. The time dependent cell uptake of pure TQ and PNC by Caco-2 cells is depicted in Fig. 2(j). The study showed significantly higher uptake ($p < 0.05$) of PNC vis-a-vis pure TQ. In addition, the cellular uptake was improved when there was an increase in the incubation time from 0.5 to 3h. After 3 h of interval, the % cell uptake was 7.2 % and 41.2 % for pure TQ and PNC respectively at concentration 10 $\mu\text{g}/\text{mL}$.

3.6. Oral pharmacokinetics

The plasma concentration-time profile graph of optimized PNC formulation exhibited better enhancement in *in vivo* absorption of TQ vis-à-vis TQ suspension as shown in figure 3 (A). Various pharmacokinetic parameter for TQ-PNC and TQ suspension is entailed in Table 6. The t_{max} value was detected to be 4.9 h for TQ-PNC and 4.1 h for pure TQ suspension. Whereas, $t_{1/2}$ was found to be 2.3 h for TQ-PNC and 1.9 h for pure TQ suspension. C_{max} value was found to be 136.53 ± 1.38 $\mu\text{g}/\text{mL}$ for TQ-PNC and 43.83 ± 1.09 $\mu\text{g}/\text{mL}$ for pure TQ suspension. While, $\text{AUC}_{(0-t)}$ and $\text{AUC}_{(0-\infty)}$ of TQ-PNC were found to be 948.60 ± 4.37 and 1882.26 ± 6.71 $\mu\text{g.h}/\text{mL}$, respectively, which were highly significant ($P < 0.05$) in comparison with $\text{AUC}_{(0-t)}$ (245.73 ± 1.68 $\mu\text{g.h}/\text{mL}$) and $\text{AUC}_{(0-\infty)}$ (253.31 ± 5.91 $\mu\text{g.h}/\text{mL}$) of pure TQ suspension. TQ-PNC showed relative bioavailability of 386.03% based on mean $\text{AUC}_{(0-\infty)}$ compared to TQ-suspension, indicating the improved bioavailability of the drug in the phospholipidic nanoconstructs.

3.5. Hepatoprotective activity

3.5.1. Serum biochemical estimation

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3 432 *In vivo* hepato-protective effect of TQ-PNCs was studied against PCM-induced hepatotoxicity.
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5 433 PCM administration produced a significant hepatotoxicity. Result of biochemical parameters
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7 434 (ALT, AST, ALP, albumin and total bilirubin) for various groups are given in Table 7 and Figure
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9 435 3 (B). Severe liver damage in the toxic group can be seen by significant increase in the marker
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11 436 enzymes. Compared to the control group and treatment groups (pure TQ, PNC and silymarin
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13 437 group), PCM administration induced significant increase in ALP, ALT, AST, bilirubin and
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15 438 albumin level production ($p < 0.001$). Treatment for seven days caused the reduction in the ALP,
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17 439 ALT, AST, bilirubin and albumin levels in all the treated groups. Plain TQ suspension revealed
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19 440 almost same levels of biomarker enzymes *vis-a-vis* standard treatment however, encapsulation of
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21 441 TQ in the PNC significantly reduced the liver biomarker enzymes.

21 442 3.6. Histopathological evaluation

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23 443 The results of histopathology of normal, toxic control, TQ suspension, optimized PNC and
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25 444 Silymarin group are presented in Figure 3 (C). Normal group animals showed normal liver with
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27 445 no signs of inflammatory cells and necrosis and showed the usual lobular building. While the
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29 446 sections of toxic group showed notable changes *viz.* parenchymal cell injury, lymphocytes and
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31 447 macrophages infiltration including necrosis and degeneration. However, the animals treated with
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33 448 TQ suspension presented no sign of inflammation and necrosis with minor lymphocytic
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35 449 infiltration. While TQ-PNC treated, animals showed normal lobular architecture in a more distinct
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37 450 manner as compared to TQ suspension, and similar to normal group with few lymphocytes and
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39 451 normal vacuoles. The standard treatment group, i.e., Silymarin, showed almost normal appearance
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41 452 of liver parenchyma, however with few scattered foci with no signs of inflammation and necrosis
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43 453 in hepatocytes.

42 454 4. Discussion

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45 455 In the recent past, there has been a tremendous resurgence of research on the bioactive components
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47 456 isolated from the plants, for their prophylactic and therapeutic worth. The strong antioxidant and
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49 457 anti-inflammatory effects, has made TQ a convincing candidate for the treatment of
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51 458 hepatoprotective disorders, as evidenced from the previous literature. However, like other plant-
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53 459 based molecules the delivery of TQ *via* oral route is difficult, owing to its poor biopharmaceutical
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55 460 properties. The aim of this paper was to encapsulate TQ inside the phospholipidic nanoconstructs,
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57 461 which can protect the drug, enhance the bioavailability, and target the drug to the liver and thereby,

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3 462 releasing the drug in a controlled manner. For the development of PNCs, determination of
4 463 solubility of the drug in solid lipids, and liquid lipid is a critical aspect. The solubility of the drug
5 464 in lipids is the most significant, as the ability of the PNCs to maintain the drug in solubilized form
6 465 is largely influenced by the solubility of drug in the lipidic core of the nanoconstructs. To construct
7 466 the PNCs, phospholipid was employed as the surfactant. However, it is reported that phospholipids
8 467 are able to increase the crystallization temperature (T_c) of triglycerides by acting as a template for
9 468 surface heterogenous nucleation. Phospholipid molecules bound to vesicles, exhibit only a limited
10 469 mobility and thus, fail to immediately cover the newly created interfaces during recrystallization.
11 470 Due to the low mobility of the phospholipid molecules, sudden lack of emulsifier on the surface
12 471 of the particle leads to particle aggregation and increase in the particle size of lipidic
13 472 nanoconstructs [39]. Thus, the blend of nonionic surfactants are required to regulate and balance
14 473 the crystallization, which can further stabilize the particle size of nanoconstructs [40].

15 474 TQ-loaded PNC formulations were optimized employing BBD to get the best optimal composition,
16 475 and investigated for %EE, and particle size as the response variables. In the current studies, all the
17 476 input variables, i.e., the amount of solid lipid, liquid lipid and S_{mix} had a significant impact on the
18 477 particle size. A nonlinear dip in the values of particle size followed by a rising trend was observed
19 478 with increase in the liquid lipid and solid lipid concentration (Figure 1a). Similarly, a twisted
20 479 shaped graph is obtained in the case of S_{mix} and solid lipid, as there was a non-linear increase in
21 480 particle size with increase in S_{mix} . Firstly, there was a decreasing trend in particle size up to the
22 481 mid-level of S_{mix} , followed by a slight increase in the particle size. Whereas, in the case of solid
23 482 lipid there was a sharp initial decline in the particle size followed by a rising trend (Figure 1b).
24 483 Furthermore, (Figure 1c) depicted that with increase in S_{mix} and liquid lipid concentration, the
25 484 particle size tended to decrease first, followed by a non-linear increase in both the cases. With the
26 485 increase in lipid concentration, an increase in particle size can be due to decrease in emulsifying
27 486 efficiency of surfactant and thus, increase in particle agglomeration. Figure 1(d-f) depicts the 2D-
28 487 contour plots and 3D-response surface plots for %EE. However, all the input variables had a
29 488 positive influence on the % EE of the drug, yet S_{mix} had a more pronounced effect. Both liquid
30 489 lipid and solid lipid at all the levels positively influenced the %EE of the drug. With increase in
31 490 the liquid lipids concentration, there was an upsurge in the %EE of drug up to a certain level and
32 491 then it became constant. While in the case of solid lipid a non-linear increase in the %EE was
33 492 observed (figure 1d). However, it can be seen from the figure 1(e, f) that with increase in the S_{mix} ,

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3 493 there was sharp rise in the %EE of the drug vis-à-vis solid lipid and liquid lipid. This is attributed
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5 494 to the fact that high lipid and S_{mix} concentration allows the better stabilization and solubilization
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7 495 of drug in the lipid blend, thus led to the enhancement of %EE.

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9 496 Optimized TQ-PNC formulation showed smallest particle size (83.5nm) which was possibly due
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11 497 to the presence of optimal combination of PL90G and T80 (1:1) as S_{mix} at appropriate
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13 498 concentrations. The presence of nonionic surfactants and their blends with phospholipids often led
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15 499 to the particle stabilization.

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17 500 The (PDI) (i.e. 0.55) demonstrating the good uniformity of particle size distribution. The zeta
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19 501 potential value was close to zero (-0.64), which is due to the presence of a non-ionic surfactant
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21 502 T80, responsible to stabilize systems by creating spatial inclusion [41,42]. The %EE of the
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23 503 optimized PNC was found to be 94% revealing that the microemulsification method with the apt
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25 504 optimization of solid lipid, liquid lipid and S_{mix} (T80:PL90G) can yield such high value of %EE.
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27 505 Further, it has been observed that high phospholipid content reduces the risk of drug loss to the
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29 506 external phase, and thus provide more space to incorporate the drug, resulting in improved
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31 507 entrapment efficiency. This may also be due to an excess of phospholipid possibly forming
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33 508 multilayers around the particle.

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35 509 **The DSC thermogram of PNC does not show any peak pertaining to thymoquinone, which reveals**
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37 510 **the complete entrapment of TQ in the lipidic nanocarriers.** The surface morphology of optimized
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39 511 PNCs reveal that the particles were spherical in shape, in agreement with the size i.e. below 100
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41 512 nm. Moreover, no crystalline drug particles were visible. The XRD pattern of the pure TQ
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43 513 demonstrates high intensely peak, showing its crystalline nature compared to TQ-PNCs. A marked
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45 514 decrease in the intensity of TQ peak in TQ-PNC, indicating the solubility and stability of the drug
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47 515 in the PNC core. **In FT-IR study, all the peaks relating to functional groups (hydroxyl, methyl,**
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49 516 **carbonyl) of all the excipients are intense and clear in the FTIR spectrum of the physical mixture.**
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51 517 **Thus, it can be inferred that there was no interaction between the drugs and the excipients,**
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53 518 **employed in various nanocarrier formulations. Also, the drug was stable and compatible with the**
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55 519 **excipients.**

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57 520 **TQ-PNC showed significantly high drug release compared to pure TQ. It was observed that**
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59 521 **approximately 30% of the drug was released from PNC in first 2 hours vis-à-vis TQ followed by**
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522 **sustained release at a constant rate.** This might be due to the presence of lipidic core and can be

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3 523 explained in three aspects, i.e., the presence of drug at the surface of PNCs, secondly liquid lipid
4 524 and thirdly, smaller particle size [43]. In first case, TQ might be adsorbed at the surface of the
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6 525 nanoconstructs due to the presence of S_{mix} , secondly liquid lipid was distributed in solid lipid core,
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8 526 which leads to the formation of imperfect crystalline structure of PNC and thus increasing the rate
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10 527 of drug release [44]. In third case, smaller particle size in case of optimized PNC formulation offers
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12 528 the greater surface area, and hence, improves the contact between particles and dissolution
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14 529 medium. The best-fit model for PNCs was found to be Higuchi model indicating the controlled
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16 530 release mechanism of drug release from the nanoconstructs. This could be ascribed to the lipophilic
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18 531 nature of TQ, which is entrapped deeply in the lipidic core matrix in the solubilized form and
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20 532 thereby, slow diffusion of drug molecules *via* the phospholipid matrix of PNCs. The release profile
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22 533 of TQ from plain TQ suspension and optimized PNC formulation from GI fluids is shown in Figure
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24 534 2(h). The initial burst of TQ release from the PNC may be due to the presence of TQ molecules on
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26 535 the surface closest to PNC molecule. The sustained release phase may be associated to the diffusion
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28 536 of TQ molecules from the lipid core, which leads to the immobilization of drug from the PNC
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30 537 molecule. In addition, it may be because of the effect of decreasing TQ amount [45].

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32 538 A stability test in simulated GI tract was designed to investigate the effect of composition on
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34 539 stability of nanocarriers. As said earlier the main aim behind stability study in simulated GI fluids
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36 540 was to first protect the drug from degradation from the harsh GI tract environment until it reaches
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38 541 the intestine. After reaching in the intestine, drug will be digested by intestinal enzyme to form
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40 542 mixed micelles composed of lipid degradation products (fatty acids, monoglycerides etc.), bile
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42 543 salts and phospholipids entrapping drug thus enhancing its bioavailability. The results showed the
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44 544 insignificant changes in all the formulation parameters viz. particle size, PDI and %EE (Table 5).
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46 545 Although, PNC was found to be more stable in SGF compared to SIF. This stability of PNC in
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48 546 acidic pH might be due to presence of nonionic surfactants i.e., T80, which leads to the steric
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50 547 stabilization effect. These nonionic surfactants are unresponsive to flocculation and coalescence
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52 548 in low pH due to their molecular structure. Whereas in case of SIF particle aggregation can occur
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54 549 sometimes due to the release of surfactant layer from the surface of lipidic nanocarriers by lipase,
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56 550 thus leading to nanocarrier aggregation and exposing the surface of nanocarriers for lipid
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58 551 hydrolysis by intestinal enzymes [46].

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3 552 The cellular uptake of PNC were assessed in Caco-2 cells [(Figure 2(i) (I-II) and 2(j))]. The particle
4 553 size of PNC was found to play important role in the efficient uptake through GIT. The size of PNC
5 554 was found to be less than 100 nm. This confirmed the effective intestinal uptake, mostly in the
6 555 lymphoid region, which helped in bypassing the first pass metabolism. In addition, the large
7 556 surface area by nanosized PNC enhances the contact between the drug and the cell membrane,
8 557 thereby augmenting the cell uptake of drug. Furthermore, the combined mechanism between lipids
9 558 and surfactants can improve drug absorption by enhancing affinity for cell membranes with
10 559 lipophilicity. Therefore, these results supported that TQ could be taken up into Caco-2 cells by
11 560 amalgamation into PNC [47].

12 561 In *in vivo* animal pharmacokinetics, TQ-PNC showed 3.8-fold enhancement in the relative
13 562 bioavailability vis-à-vis TQ-suspension, indicating the improved bioavailability of the drug in the
14 563 lipid-based nanoparticles formulation. The improved pharmacokinetic and oral bioavailability of
15 564 the TQ from lipidic matrix was probably because of combined promotion mechanisms. Firstly, the
16 565 ability to adhere to gut wall. The ultrafine dispersions within nanometer range imparts them with
17 566 a tremendous specific surface area and thus the possession of adhesion to gut wall, which is helpful
18 567 to oral absorption. Secondly, the nano-sized formulation and the presence of S_{mix} as suitable
19 568 solubilizers of TQ in lipidic core. Furthermore, faster absorption of TQ incorporated into lipidic
20 569 matrix helped in avoiding first pass extensive gut wall metabolism due to close association of drug
21 570 with lipid vis-a-vis plain TQ. Thirdly, being a lipid-based system, PNCs may promote the uptake
22 571 by M-cells in the Payer's patches and increase the absorption through the lymphatic pathway [48].

23 572 The observations from biochemical parameters showed that compared to the control group and all
24 573 the groups including TQ-PNC showed significant decrease in ALP, ALT, AST, bilirubin and
25 574 albumin level production ($p < 0.001$) after PCM administration. Whereas reduction in the
26 575 biomarker enzyme was more pronounced in the TQ-PNC group compared to all other groups (p
27 576 < 0.001). The effect may be assigned to the higher cellular permeability of TQ achieved by PNCs.
28 577 PNCs with the blend of T80 and PL90G as a surfactant and co-surfactant, respectively, are
29 578 anticipated to interact with the membrane lipids of liver cells resulting in physiologically
30 579 significant effects as shown in this study [49]. Moreover, other hypothesis can be ascribed that
31 580 the passage across endothelial fenestrations of carriers smaller than 100 nm can effectively target
32 581 hepatic stellate cells HSCs (in rats). In addition, the presence of lipidic carrier facilitates capture

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3 582 by the liver and other organs. Overall, these results specified that optimized TQ-PNC showed
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5 583 enhanced hepatoprotective effects against PCM-induced liver damage. The results of biochemical
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7 584 examinations were further supported by the histopathological investigations in rats liver samples.
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9 585 **5. Conclusion**

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11 586 The present research work, have successfully prepared TQ-loaded PNCs and systemically
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13 587 optimized employing BBD, which helped in providing the optimal composition of three
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15 588 independent variables to select the best-optimized formulation. The prepared nanoconstructs
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17 589 demonstrated initial burst release followed by controlled release. Pharmacokinetic studies proved
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19 590 the potential of nanolipidic carriers to alleviate the challenge of lower bioavailability of TQ.
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21 591 Pharmacological effects of selected optimized PNC formulations showed significant reduction of
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23 592 various liver biomarkers compared to toxic group, which was further substantiated by
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25 593 histopathological examination of liver sections. Hence, we expect that these investigations can
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27 594 provide a new strategy for the development of nano-medicine and suggest TQ as a strong candidate
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29 595 for a new generation of hepato-protective molecule with reduced side effects in the not so distant
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31 596 future. The outcomes of the studies can be extrapolated to variety of bioactives and drugs with
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33 597 similar challenges and hurdles.

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38
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42 603 **Declaration of interest**

43 604 The authors report no declarations of interest.

44 605 **Author contributions**

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46
47 606 C Rathore carried out the study, helped in compilation of the data and manuscript writing and
48
49 607 editing. N Upadhyay helped in conducting experimental work and interpreting analytical data. R
50
51 608 Kaundal helped in editing and analysis of the data. RP Dwivedi helped in editing the data. S
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53 609 Rahatekar and A John helped in revising the manuscript. K Dua helped in interpretation of the data
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55 610 and checked the first draft of the prepared manuscript. P Negi conceptualized, planned, supervised

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611 the study, analyzed, interpreted the data and edited the manuscript. All authors have read and
612 approved the manuscript for publication

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Figure 1. Two-dimensional contour plots and corresponding three-dimensional response surface plot depicting the effect of various input variables on; **(a)** Particle size; Liquid lipid(X_2), solid lipid (X_1); **(b)** S_{mix} (X_3), solid lipid (X_1); **(c)** S_{mix} (X_3), Liquid lipid (X_2) and effect of various input variables on %EE **(d)** Liquid lipid(X_2), solid lipid (X_1); **(e)** S_{mix} (X_3), solid lipid (X_1); **(f)** S_{mix} (X_3), Liquid lipid (X_2).

Figure 2. **(a)** Particle size distribution of optimized PNC formulation, **(b)** DSC graphs of drug, PNC, capmul and stearic acid, **(c)** TEM microphotograph (at 60,000X) of TQ-loaded PNCs **(d)** FE-SEM microphotograph (at 30,000X) of TQ-loaded PNCs, **(e)** XRD spectra of pure drug, PNC, sucrose, capmul and stearic acid. **(f)** Overlay FTIR spectrum of drug and the excipients A: TQ, B: Physical mixture, C: Sucrose, D: Stearic acid, **(g)** Comparative *in vitro* release of optimized TQ-PNC and pure TQ, **(h)** Comparative *in vitro* release of optimized TQ-PNC and pure TQ in SGF and SIF, **(i)** Qualitative cell uptake of C-6 loaded PNC (I) Free C-6 and (II) C-6 loaded PNC, where **(a)** images under green fluorescence channel of C-6 panel **(b)** corresponding differential interface contrast image of the cell **(c)** superimposition of the panel **(a and b)**, Panel **(d)** and **(e)** in all the images shows vertical and horizontal line series of fluorescence along with the yellow line of the image **(c)** respectively. **(j)** The time dependent cell uptake of pure TQ and PNC by Caco-2 cells

Figure 3(A). Plasma concentration-time profile curve of TQ after oral administration of optimized PNC and TQ suspension (mean \pm SD, n=6) **(B)** Effect of various formulations on serum and tissue biochemical parameters. Data is presented as Mean \pm SD (n = 4) and analyses by one-way analysis of variance followed by Tukey's Multiple Comparison Test. $a^P < 0.001$ vs saline control, $b^P < 0.001$ vs toxic group and $c^P < 0.05$ vs standard. **(C) a)** Normal control showed normal sinusoidal architecture and no fibrosis; **b)** Toxic group showed Parenchymal cell injury with lymphocytes and macrophages infiltration, along with inflammation and apoptosis; **c)** TQ suspension group showed mild lymphocytic portal infiltrate; **d)** TQ-PNC showed few lymphocytes and normal vacuoles are present in the cells and **e)** Silymarin group showed normal hepatocytes cell and few scattered foci

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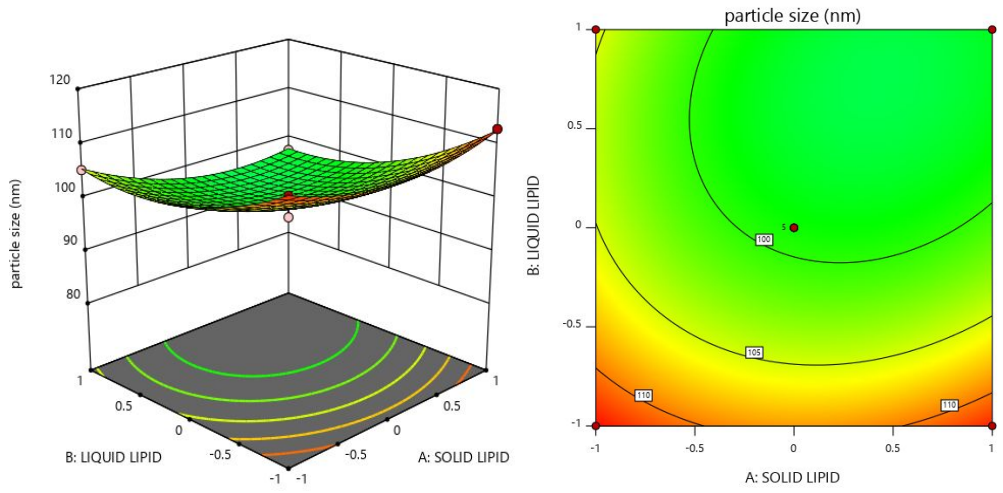
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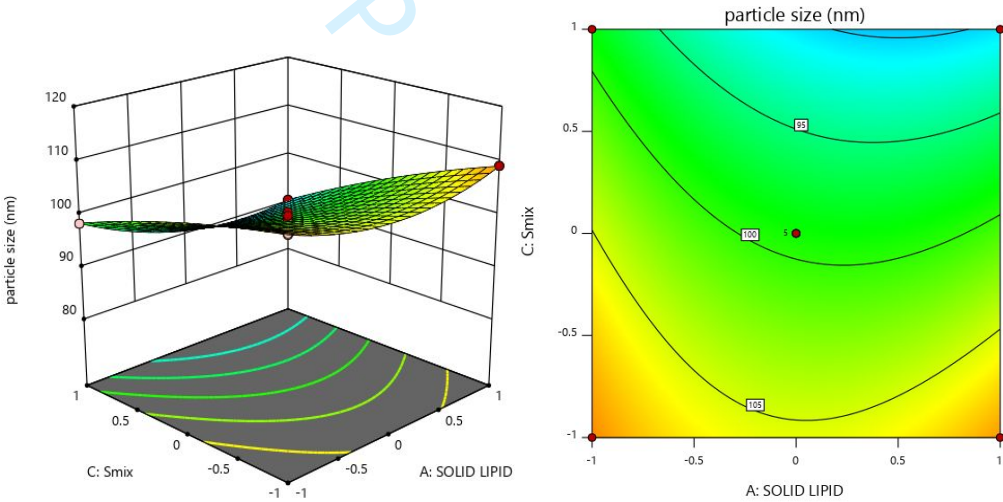
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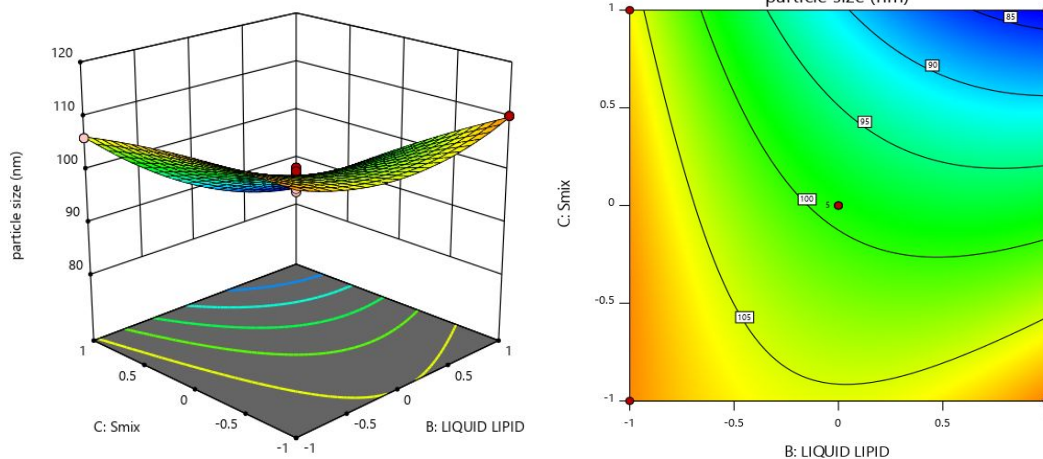
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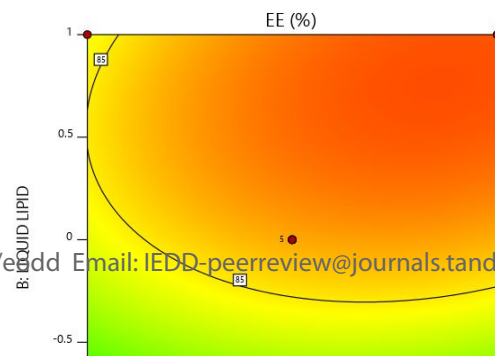
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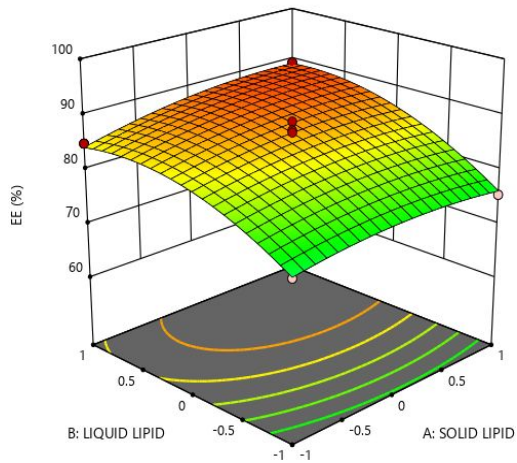


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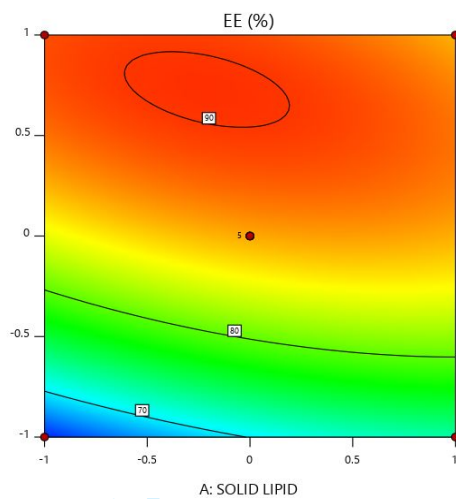
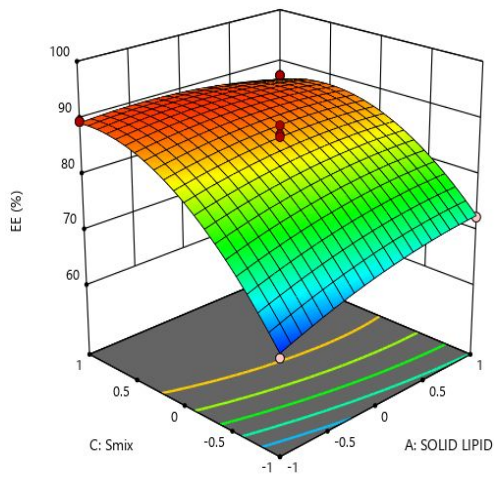


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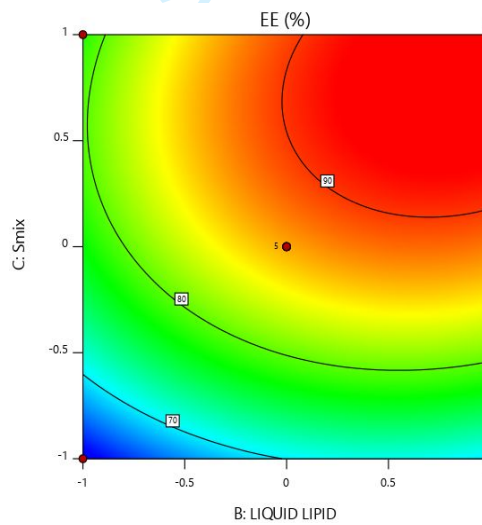
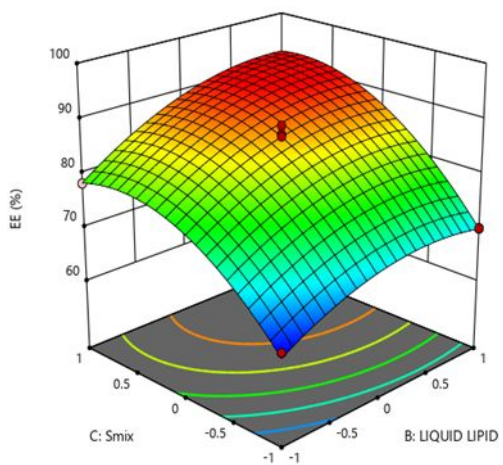
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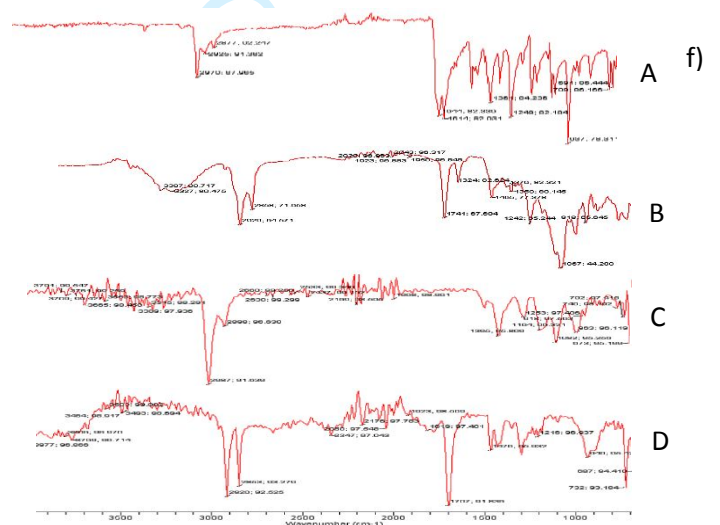
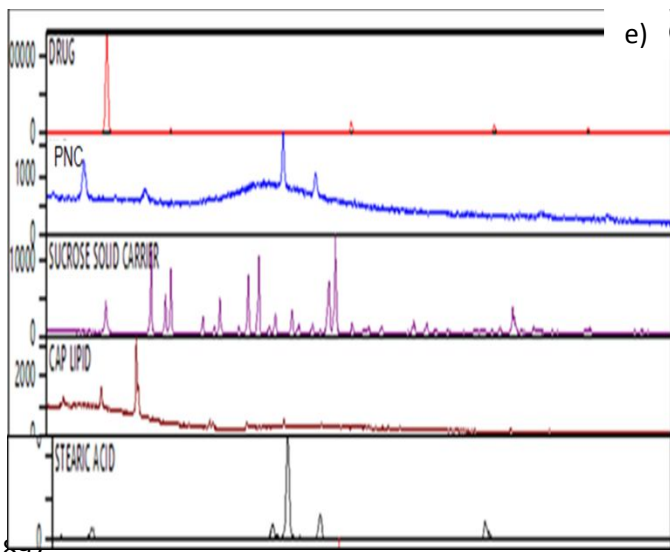
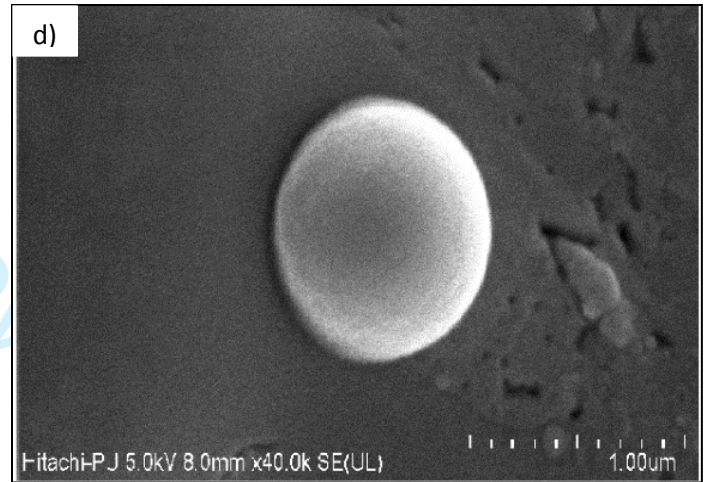
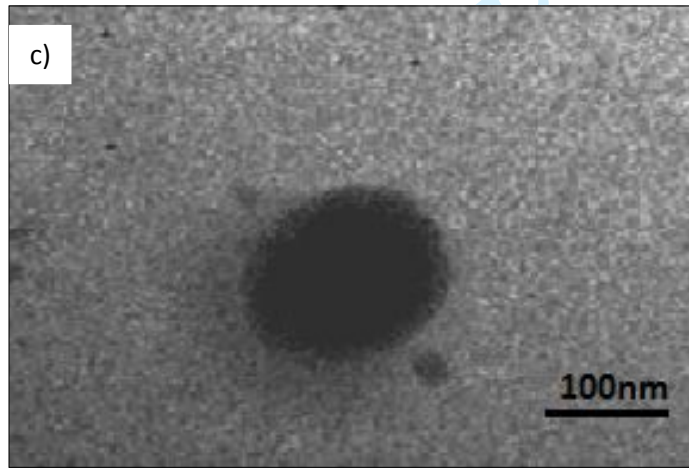
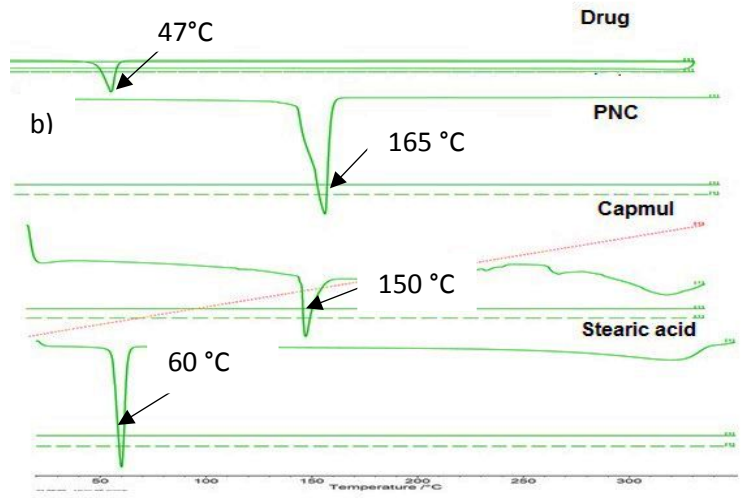
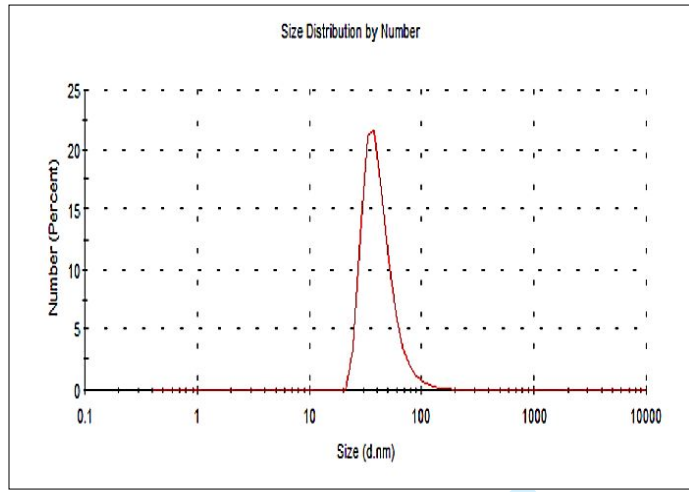
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Figure 1



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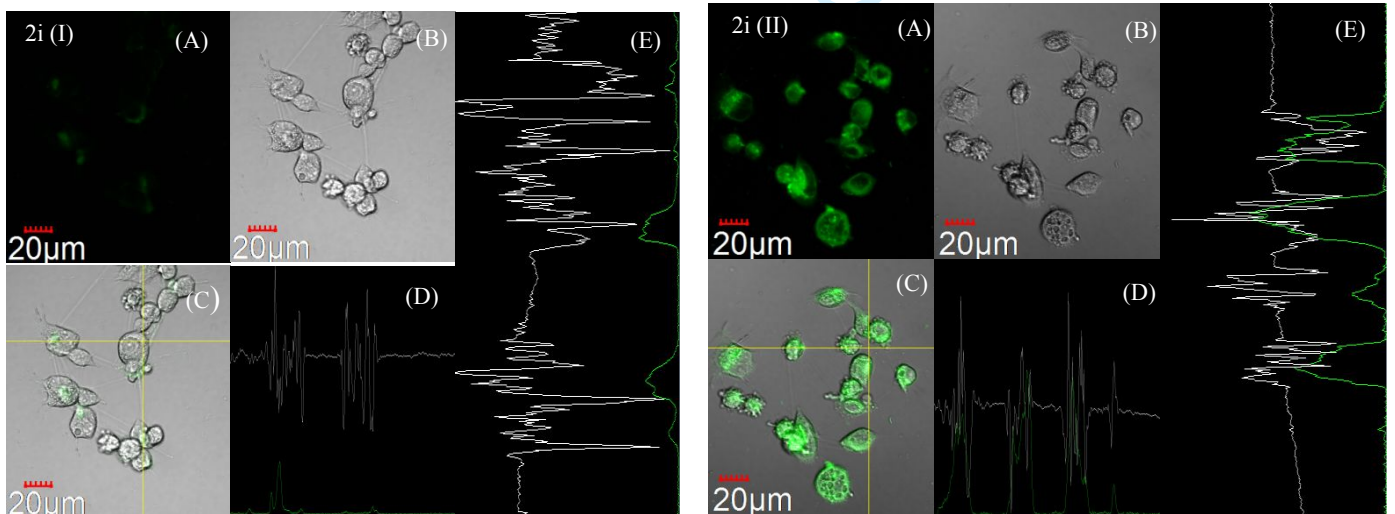
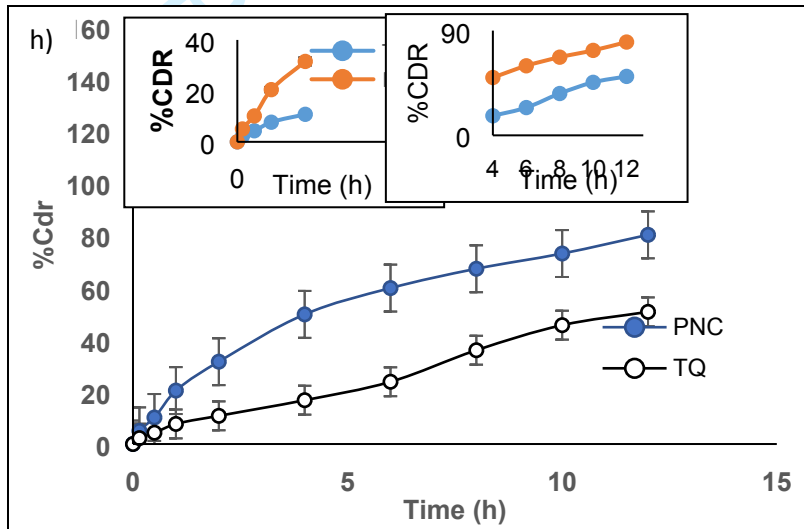
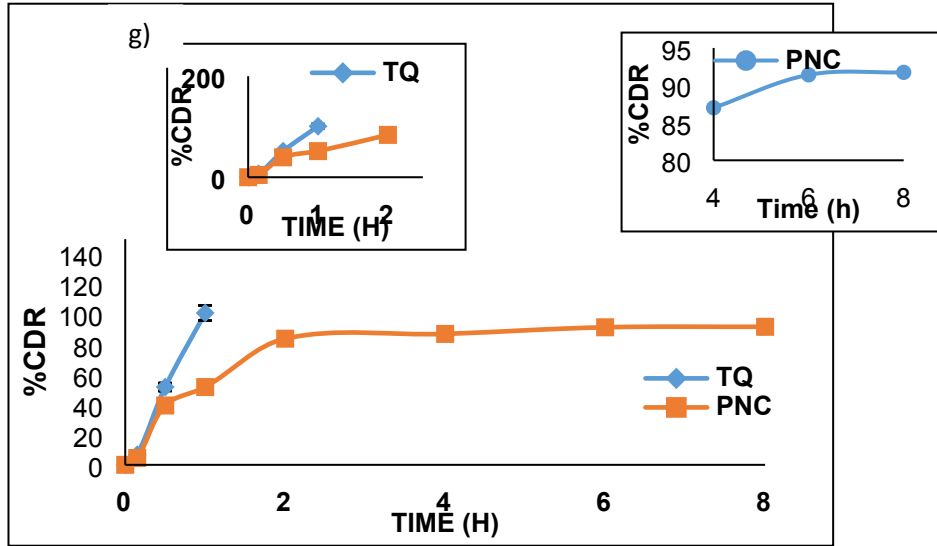
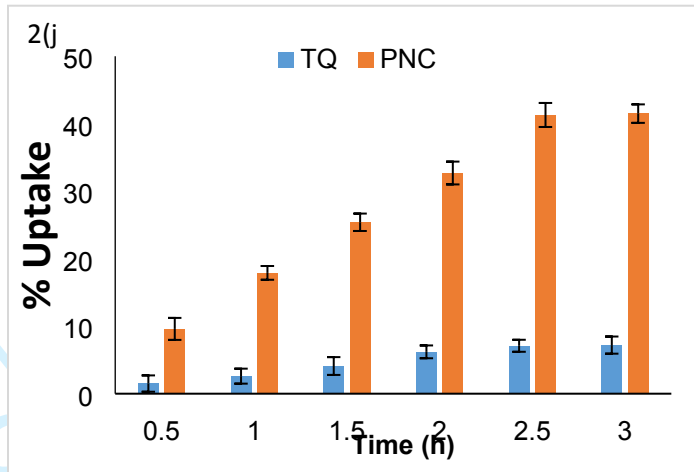


Figure 2 (a-j)

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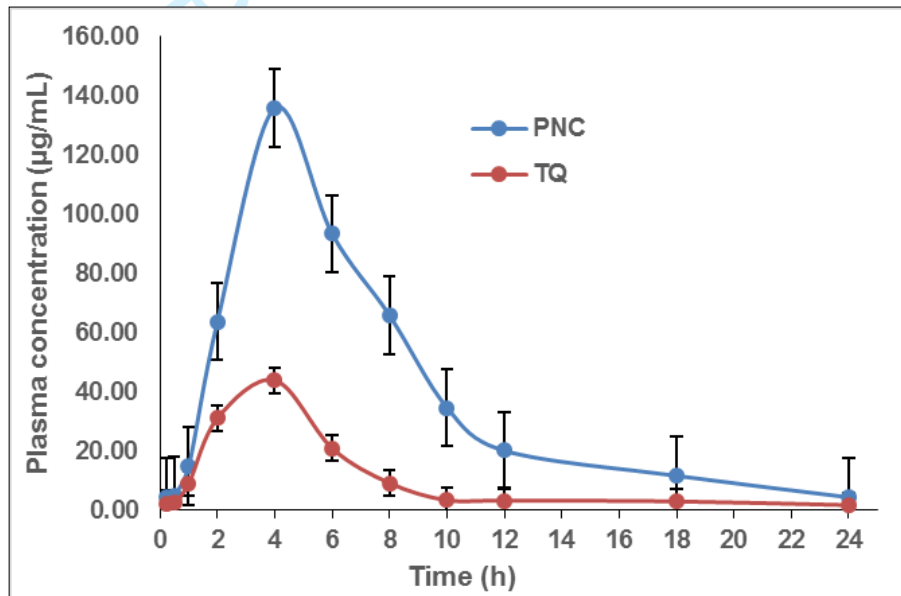


Figure 3 (A)

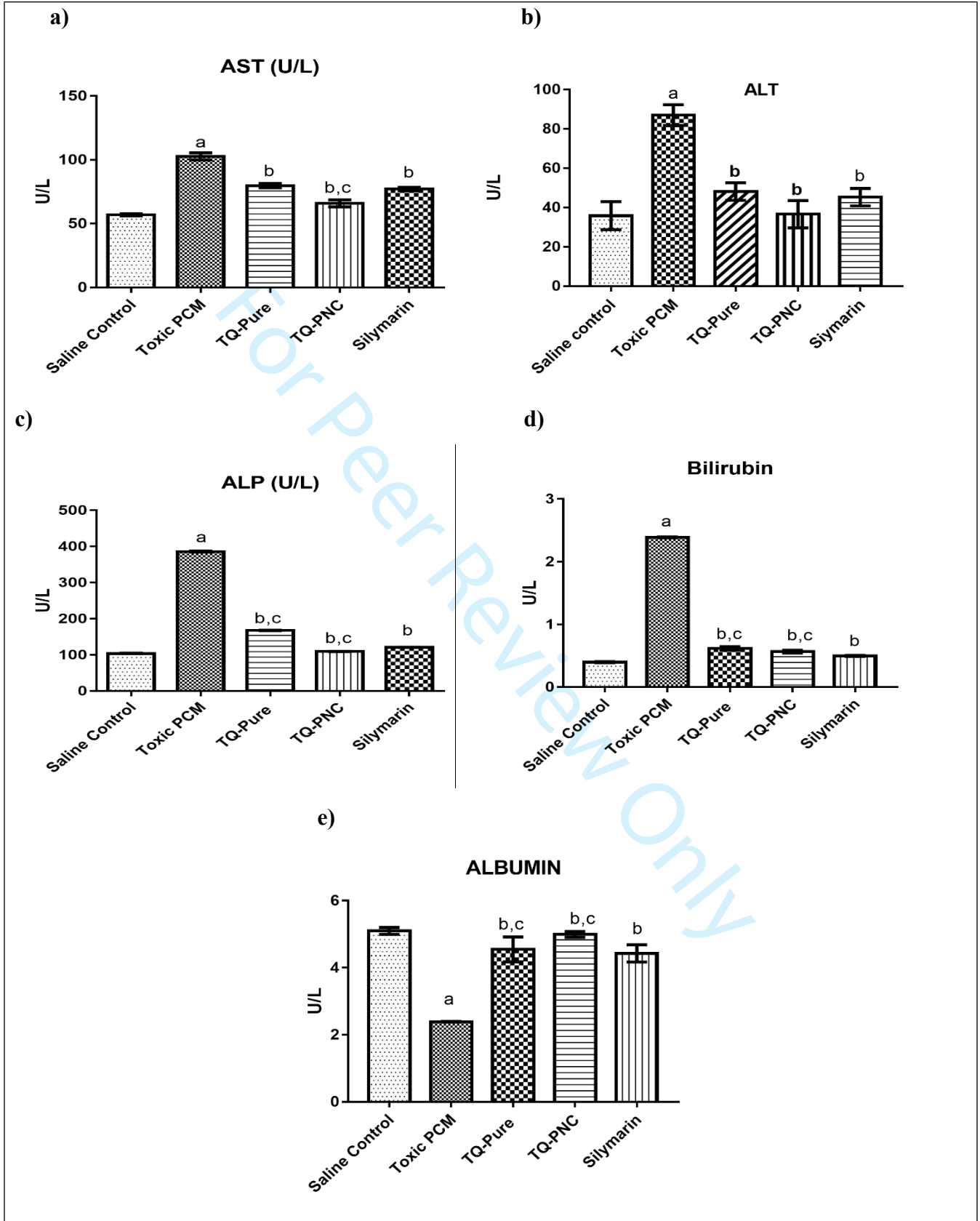
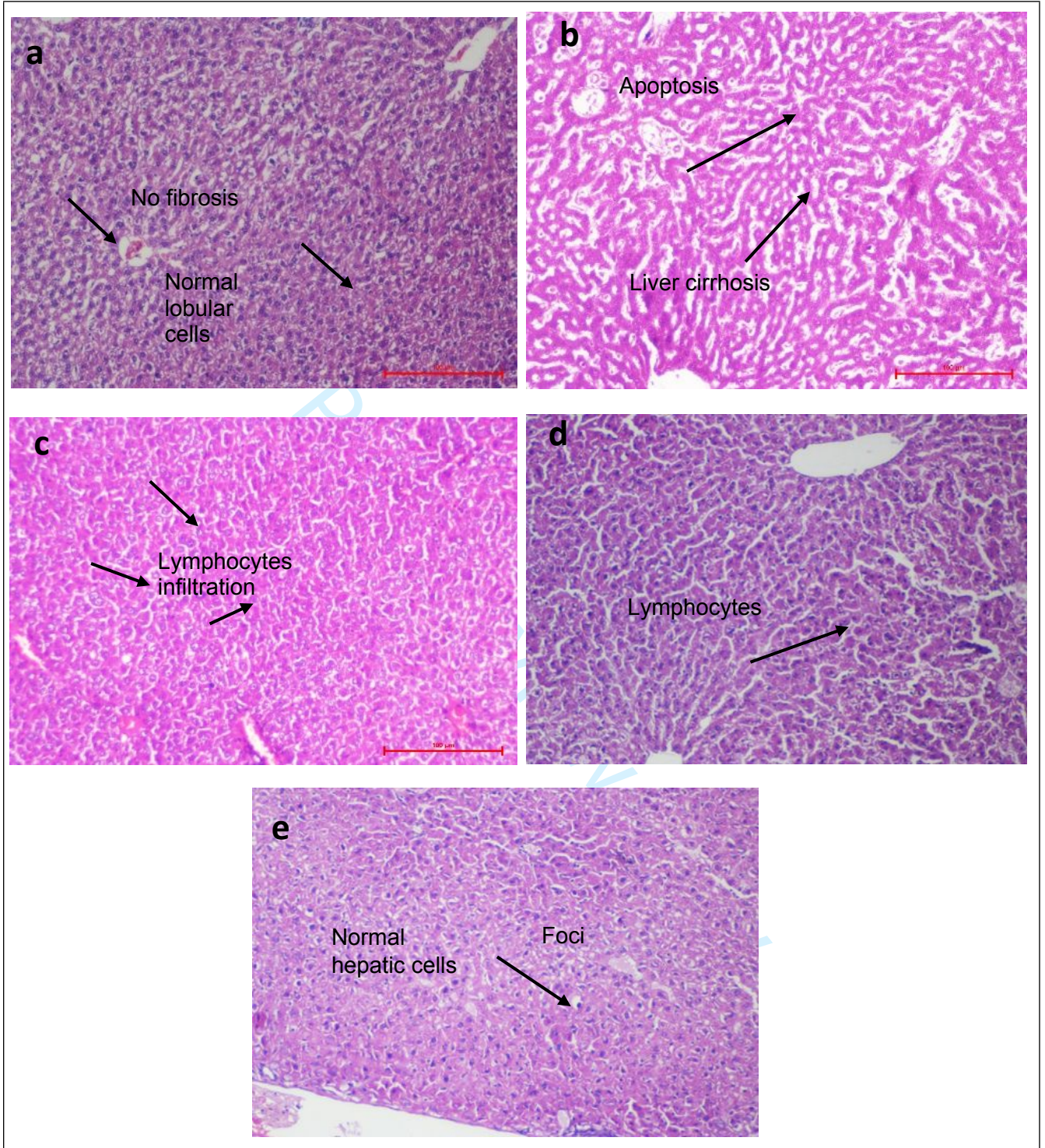


Figure 3 (B)

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Figure 3 (C)

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3 **969 Table 1**

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5 970 Experimental runs of BBD design matrix and their responses

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7 **971 Table 2**

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9 972 Constraints for numeric optimization and predicted solutions

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11 **973 Table 3**

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13 974 Comparison of experimental results with predicted responses

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15 **975 Table 4**

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17 976 Drug-excipient interaction employing FT-IR

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19 **977 Table 5**

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21 978 Stability of PNC in simulated GI fluids

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23 **979 Table 6**

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25 980 Pharmacokinetic parameters (mean±SD) after oral administration of TQ –PNC and TQ suspension

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27 981 in rats (n=6)

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29 **982 Table 7**

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31 983 Effects of optimized TQ-PNC and TQ treatments on Serum and Tissue Biochemical Parameters

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33 984 (mean±SD, n=4)

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35 **985 Table 1**

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Runs	Solid Lipid	Liquid lipid	*S _{mix} (T80:PL90G)	%EE	Particle size (nm)
1	0	0	0	99.2	88.9
2	1	1	0	97.5	89.3
3	0	1	1	83.78	91.5
4	-1	0	1	98.4	89.6
5	0	0	0	99.2	87.3
6	1	-1	0	112.8	75.5
7	0	1	-1	110.2	69.9
8	0	0	0	100.6	85.5

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9	1	0	-1	109.2	72.5
10	0	-1	1	106.1	78.3
11	-1	0	-1	109.6	63.5
12	0	-1	-1	109.3	63.3
13	-1	-1	0	113.6	75.3
14	1	0	1	90.5	87.3
15	0	0	0	99.9	86.9
16	0	0	0	96.5	85.5
17	-1	1	0	105.3	84.9
Independent variables (g)			Level used, actual (coded)		
			Low(-1)	Medium (0)	High(+1)
Solid Lipid			1	3	5
Liquid Lipid			1	3	5
S _{mix}			1	3	5

*T80:PL90G was fixed in the ratio of 1:1

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988 **Table 2**

Variable	Goal	Lower limit	Upper limit		Importance	
A:Solid lipid	maximize	-1	1		***	
B:Liquid lipid	is in range	-1	1		***	
C:S_{mix}	maximize	-1	1		***	
Particle size	minimize	83.78	113.6		***	
%EE	is in range	63.3	91.5		***	
A	B	C	Particle size	%EE	Desirability	
1	0.69	1	83.44	90.17	1.000	Selected
1	0.85	1	82.37	90.48	1.000	
1	0.83	1	82.52	90.45	1.000	

1	0.938	1	81.93	90.55	1.000	
1	0.668	1	83.61	90.11	1.000	
1	0.993	1	81.66	90.57	1.000	
1	0.972	1	81.79	90.57	1.000	

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990 **Table 3**

Composition	Response Variable	Predicted Value	Experimental Value	% Prediction Error
OPT	Particle size (nm)	83.44	83.47	-0.04
	%EE	90.18	90.12	0.07
VAL-1	Particle size (nm)	82.37	82.39	-0.02
	%EE	90.48	90.39	0.10
VAL-2	Particle size (nm)	82.52	82.42	0.10
	%EE	90.45	90.51	-0.07
VAL-3	Particle size (nm)	82.06	82.12	-0.10
	%EE	91.00	90.90	0.11
VAL-4	Particle size (nm)	82.76	82.70	0.06
	%EE	91.00	90.81	0.21
VAL-5	Particle size (nm)	81.93	82.00	-0.07
	%EE	90.54	90.50	0.04
VAL-6	Particle size (nm)	83.61	83.5	0.11
	%EE	90.19	90.10	0.10

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992 **Table 4**

Reported Peaks (cm ⁻¹)	Observed Peaks (cm ⁻¹)	Assignment
TQ		
1670-1820	1644, 1614	-C=O stretch
2850-3000	2970,2925,2877	-CH stretch
1350-1480	1360	-CH bending
Physical Mixture		
2850-3000	2929, 2853	-CH stretch
1670-1820	1741	C=O stretch
1900-2000	1920,1950	C=C stretch
3200-3600	3327,3387	-OH stretch
1350-1480	1350,1376	-CH stretch
Sucrose		
2850-3000	2987	-CH stretch
3500-3700	3665	-OH stretch

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1350-1480	1395	-CH stretch
Stearic acid		
3200-3600	3493	-OH stretch
2850-3000	2920	-CH stretch
1670-1820	1707	-C=O stretch

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994 **Table 5**

Fluids	Particle size (nm)		PDI		%EE	
	Initial	Final	Initial	Final	Initial	Final
SGF (pH 1.2)	83.5	81.30	0.55	0.52	93.4	92.5
SIF (pH 6.8)		89.4.5		0.59		93.5

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996 **Table 6**

Parameters	TQ suspension	TQ-PNC
C _{max} (µg/mL)	43.83±1.09	136.53±1.38
t _{1/2} (h)	1.9	2.3
t _{max} (h)	4.1	4.9
V _d (L)	0.126	0.091
AUC(0-24) (µg.h/mL)	245.73±2.73	948.60
AUC(0-inf) (µg.h/mL)	253.31±1.98	1882.26
Relative bioavailability (F) (Ratio of AUC _(0-t) of TQ-PNC to TQ suspension) (F) (%)		386.03

997 **Table 7**

(Mean± SD) (n=4)					
Groups	ALT (U/L)	ALP (U/L)	AST (U/L)	Bilirubin (mg/dl)	Albumin
Saline control	35.9±10.46	104.41±2.54	59.2±3.21	0.40±0.01	5.1±0.06
Toxic control (PCM)	87.0±6.49	386.1±5.54	102.73±5.65	2.39±0.01	3.2±0.08
TQ suspension	47.41±7.64	168.20±2.01	79.86±4.65	0.60±0.02	4.8±0.21
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Silymarin	45.3±6.01	121.31±1.62	73.90±3.54	0.50±0.01	4.3±0.10

998 Values were the means of three replicate samples. The data were presented as mean ± SD (n=4), ^ap<0.001 vs saline control,
 999 ^bp<0.001 vs toxic control and ^cp<0.05 vs Silymarin

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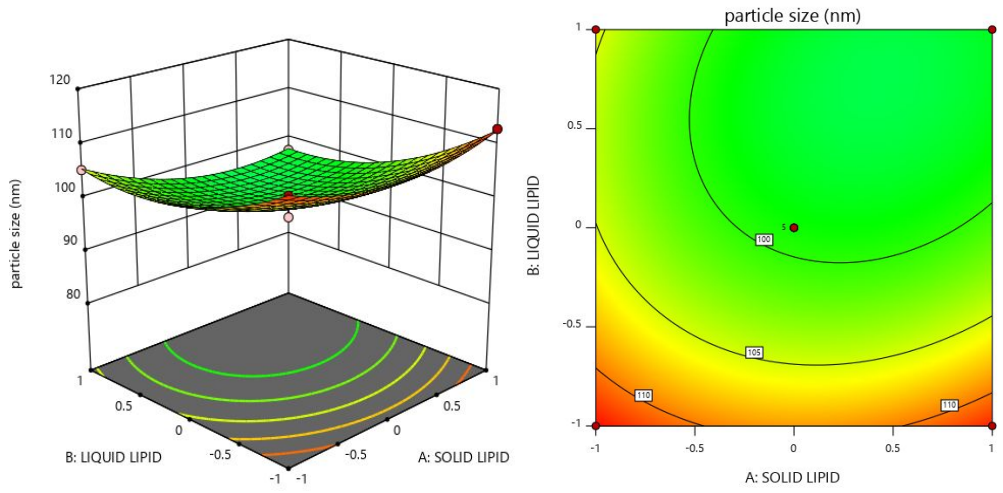
Figure 1. Two-dimensional contour plots and corresponding three-dimensional response surface plot depicting the effect of various input variables on; **(a)** Particle size; Liquid lipid(X_2), solid lipid (X_1); **(b)** S_{mix} (X_3), solid lipid (X_1); **(c)** S_{mix} (X_3), Liquid lipid (X_2) and effect of various input variables on %EE **(d)** Liquid lipid(X_2), solid lipid (X_1); **(e)** S_{mix} (X_3), solid lipid (X_1); **(f)** S_{mix} (X_3), Liquid lipid (X_2).

Figure 2. **(a)** Particle size distribution of optimized PNC formulation, **(b)** DSC graphs of drug, PNC, capmul and stearic acid, **(c)** TEM microphotograph (at 60,000X) of TQ-loaded PNCs **(d)** FE-SEM microphotograph (at 30,000X) of TQ-loaded PNCs, **(e)** XRD spectra of pure drug, PNC, sucrose, capmul and stearic acid. **(f)** Overlay FTIR spectrum of drug and the excipients A: TQ, B: Physical mixture, C: Sucrose, D: Stearic acid, **(g)** Comparative *in vitro* release of optimized TQ-PNC and pure TQ, **(h)** Comparative *in vitro* release of optimized TQ-PNC and pure TQ in SGF and SIF, **(i)** Qualitative cell uptake of C-6 loaded PNC (I) Free C-6 and (II) C-6 loaded PNC, where **(a)** images under green fluorescence channel of C-6 panel **(b)** corresponding differential interface contrast image of the cell **(c)** superimposition of the panel **(a and b)**, Panel **(d)** and **(e)** in all the images shows vertical and horizontal line series of fluorescence along with the yellow line of the image **(c)** respectively. **(j)** The time dependent cell uptake of pure TQ and PNC by Caco-2 cells

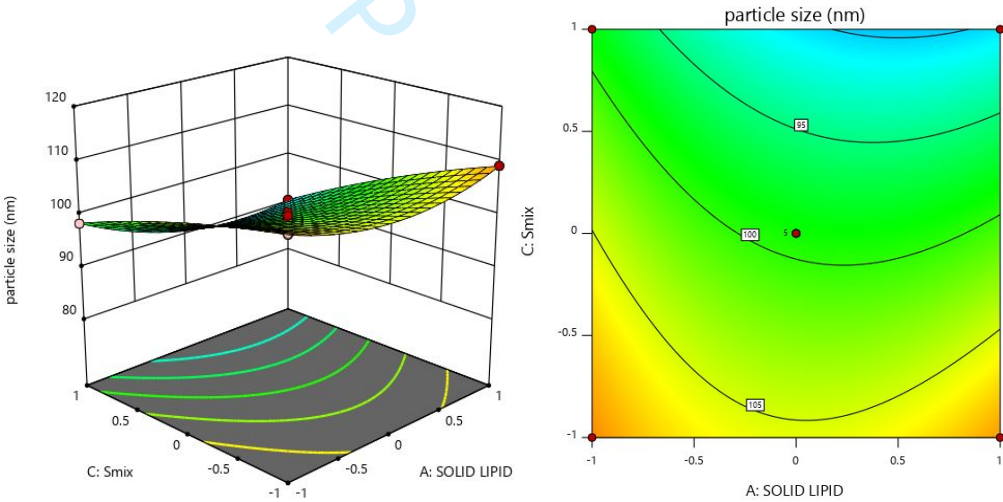
Figure 3(A). Plasma concentration-time profile curve of TQ after oral administration of optimized PNC and TQ suspension (mean \pm SD, n=6) **(B)** Effect of various formulations on serum and tissue biochemical parameters. Data is presented as Mean \pm SD (n = 4) and analyses by one-way analysis of variance followed by Tukey's Multiple Comparison Test. $a^P < 0.001$ vs saline control, $b^P < 0.001$ vs toxic group and $c^P < 0.05$ vs standard. **(C) a)** Normal control showed normal sinusoidal architecture and no fibrosis; **b)** Toxic group showed Parenchymal cell injury with lymphocytes and macrophages infiltration, along with inflammation and apoptosis; **c)** TQ suspension group showed mild lymphocytic portal infiltrate; **d)** TQ-PNC showed few lymphocytes and normal vacuoles are present in the cells and **e)** Silymarin group showed normal hepatocytes cell and few scattered foci

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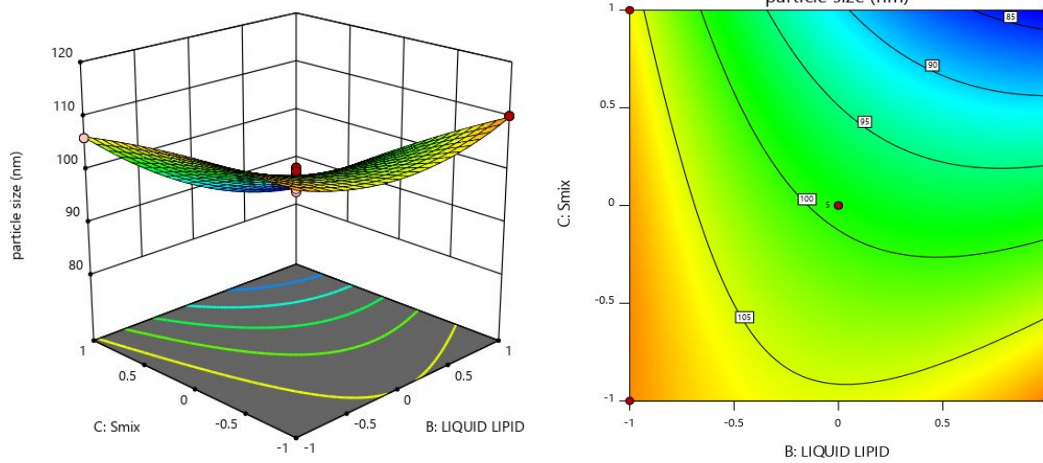
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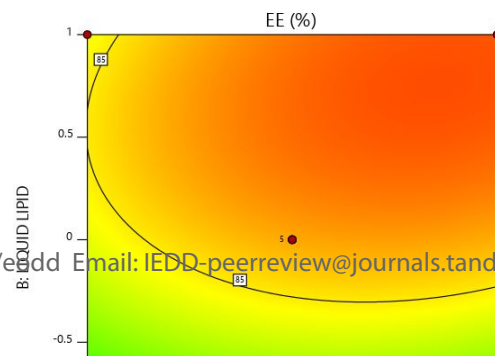
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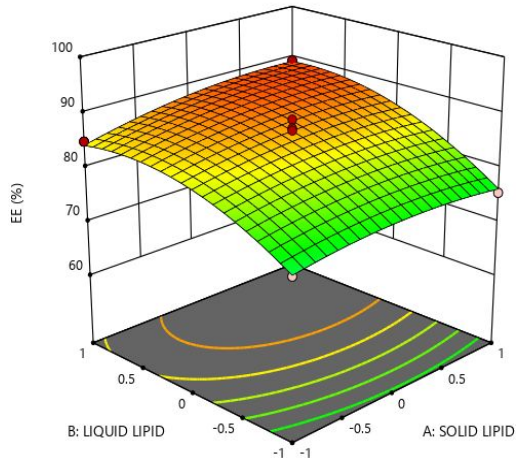


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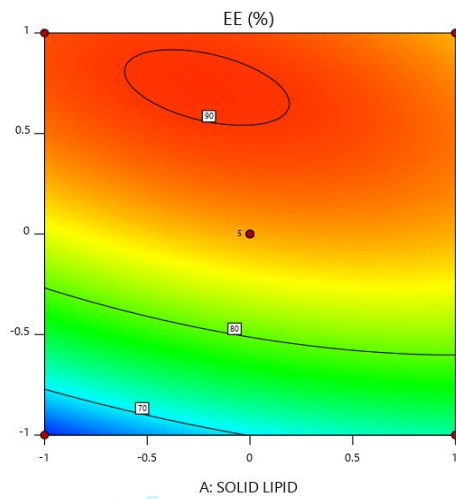
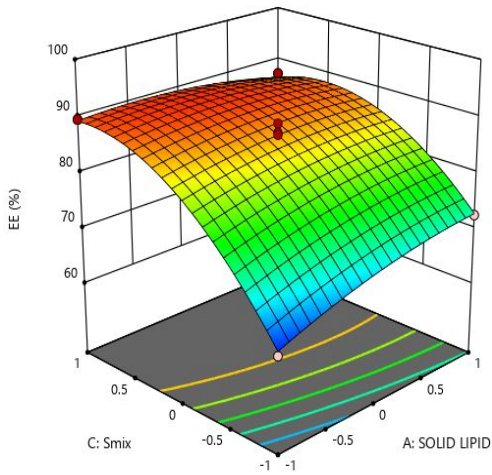


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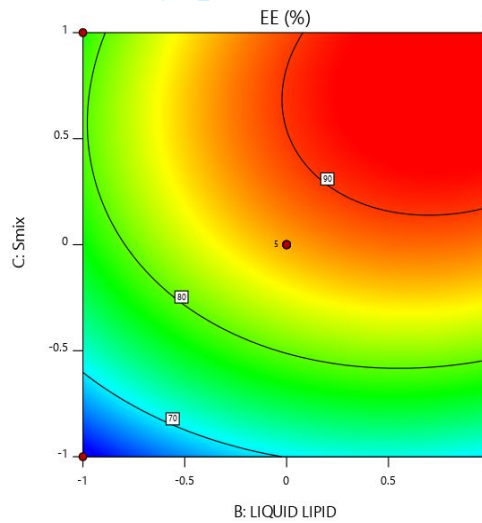
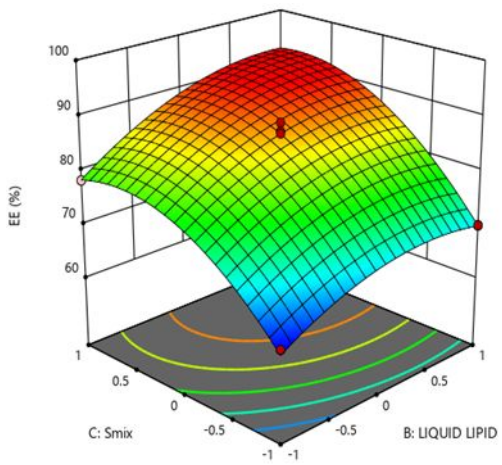




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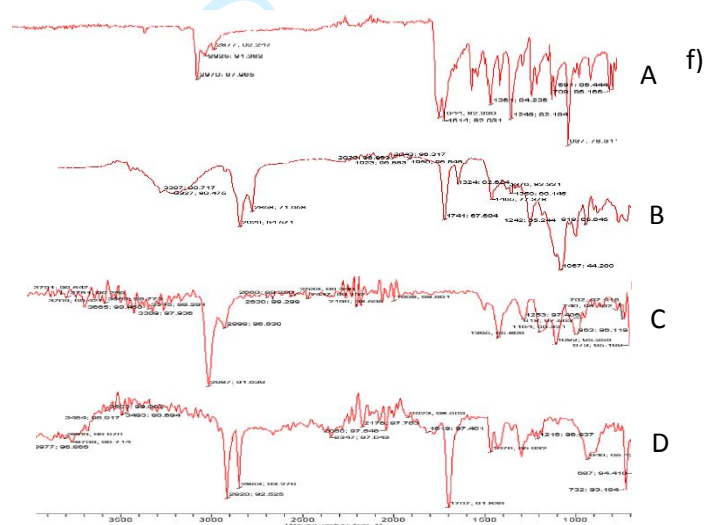
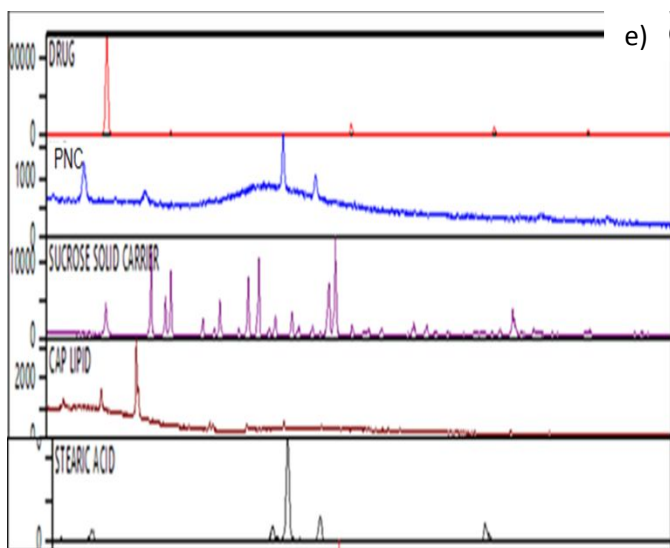
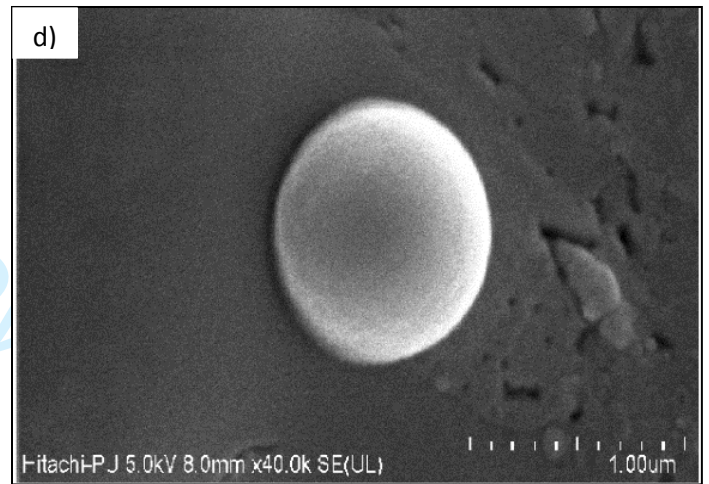
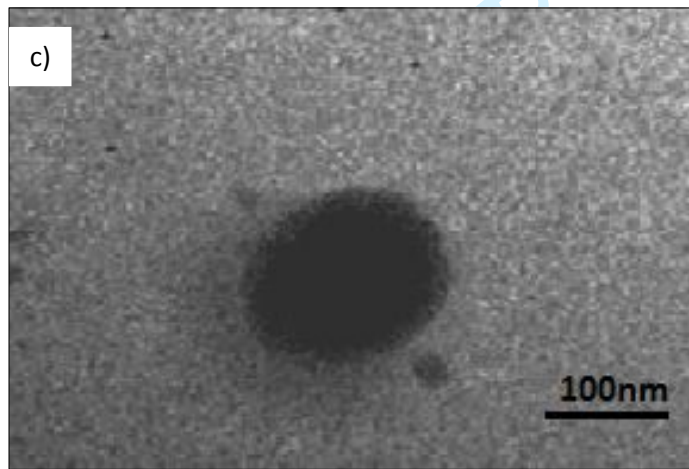
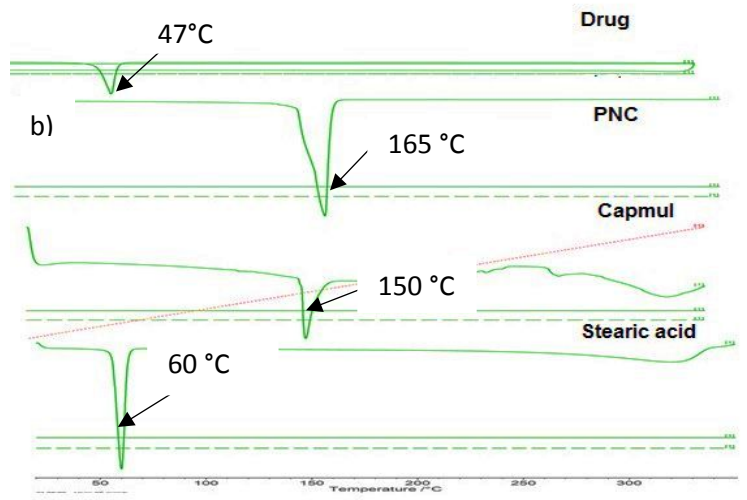
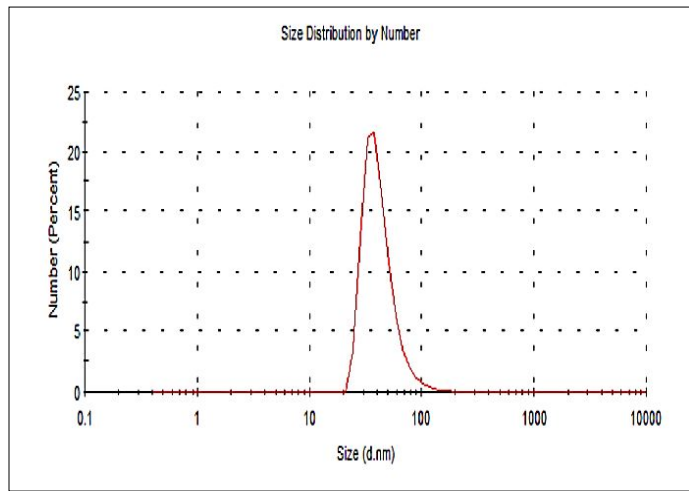
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Figure 1



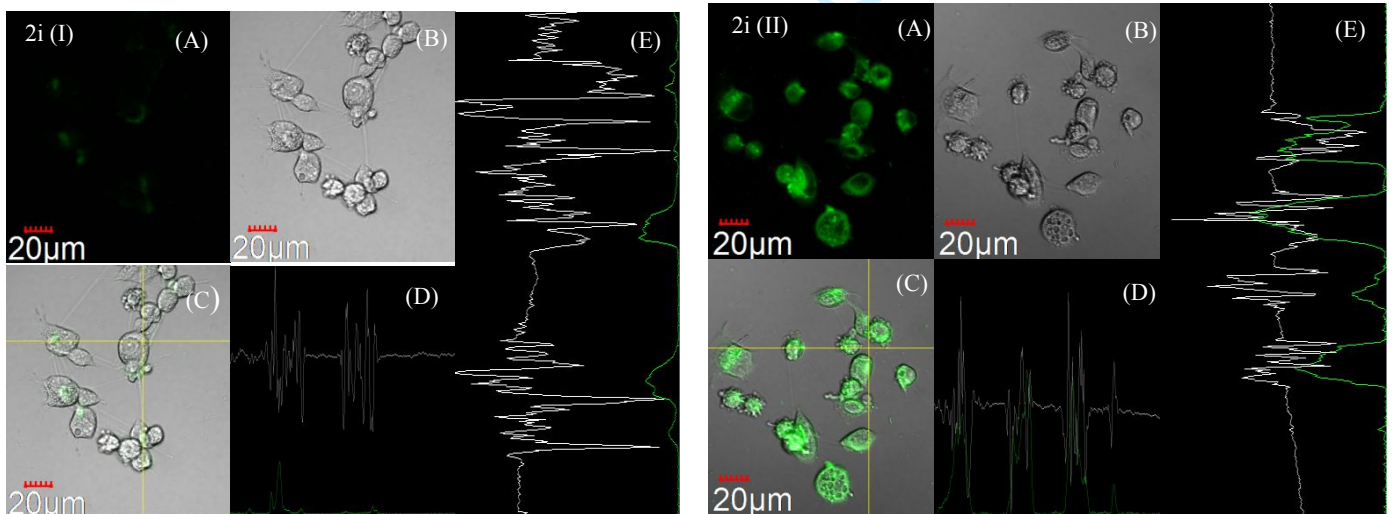
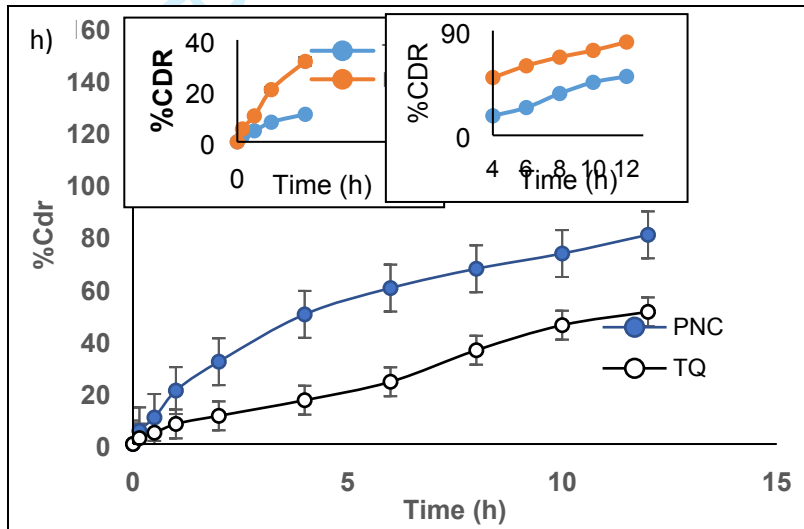
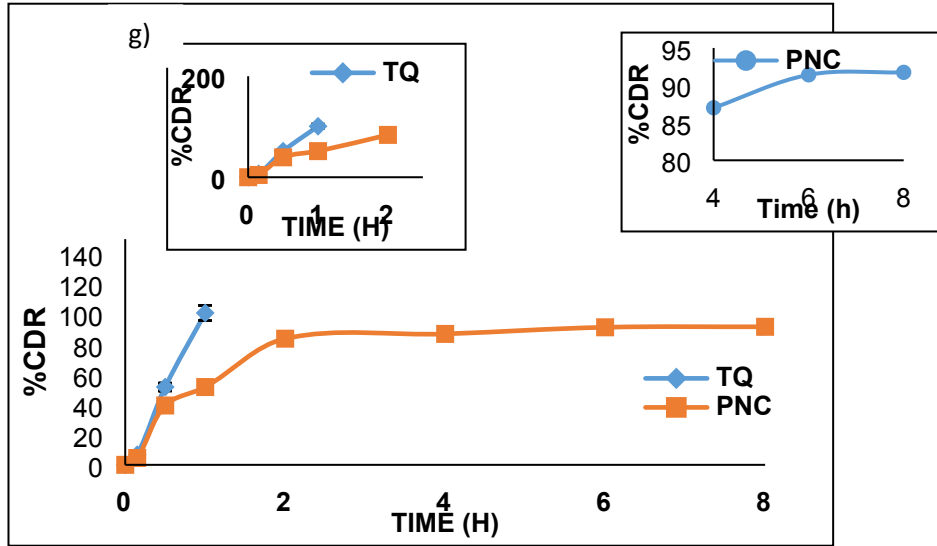


Figure 2 (a-j)

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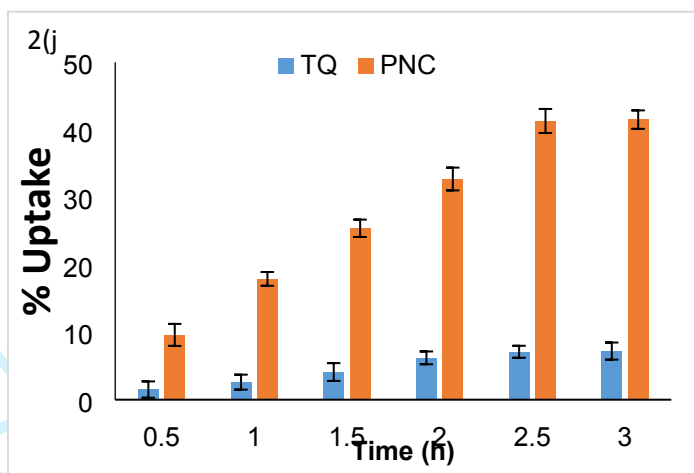


Figure 2 (a-j)

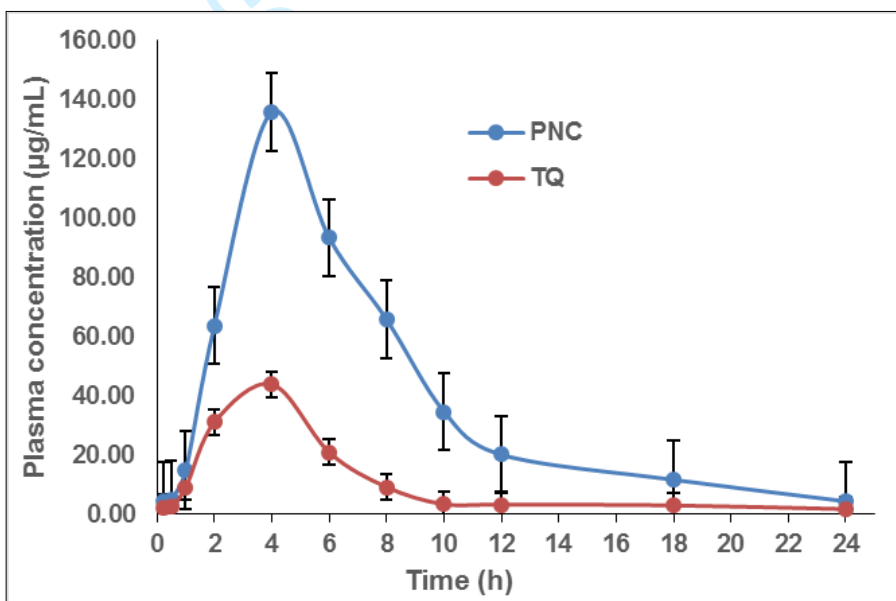


Figure 3 (A)

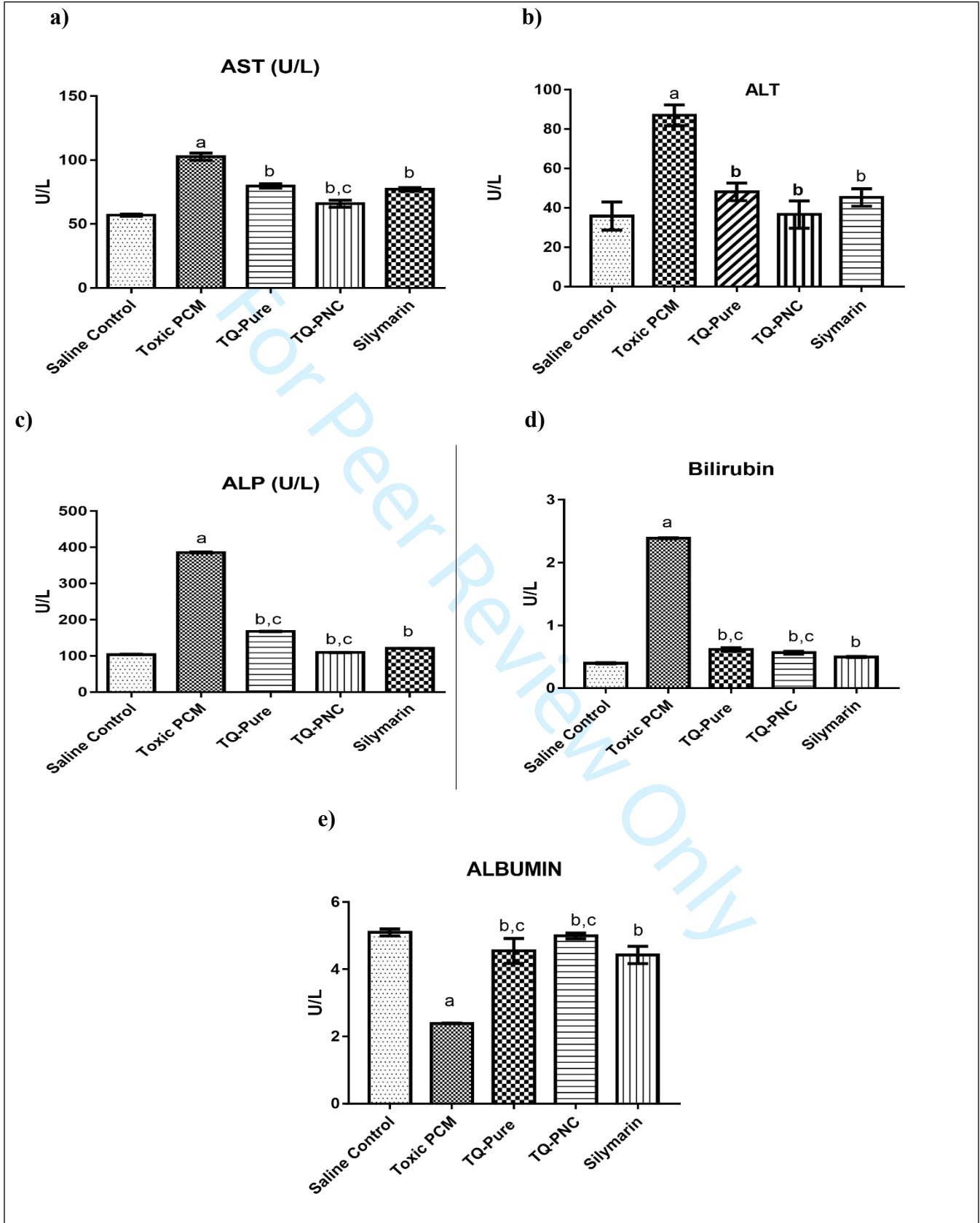


Figure 3 (B)

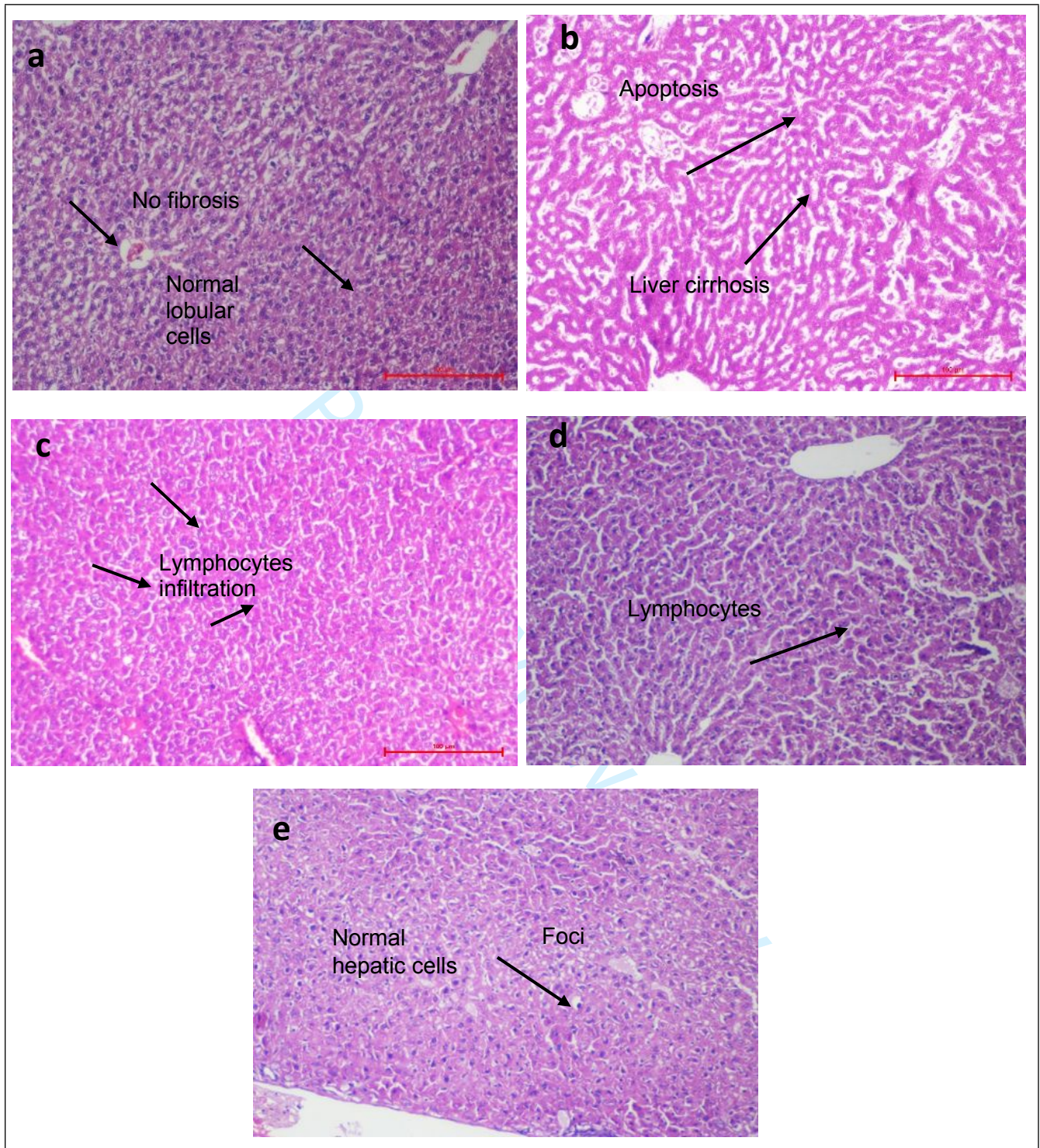


Figure 3 (C)

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4 Experimental runs of BBD design matrix and their responses

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7 **Table 2**

8 Constraints for numeric optimization and predicted solutions

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11 **Table 3**

12 Comparison of experimental results with predicted responses

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16 **Table 4**

17 Drug-excipient interaction employing FT-IR

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21 **Table 5**

22 Stability of PNC in simulated GI fluids

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25 **Table 6**

26 Pharmacokinetic parameters (mean \pm SD) after oral administration of TQ –PNC and TQ suspension
27 in rats (n=6)

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31 **Table 7**

32 Effects of optimized TQ-PNC and TQ treatments on Serum and Tissue Biochemical Parameters
33 (mean \pm SD, n=4)

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36 **Table 1**

Runs	Solid Lipid	Liquid lipid	*S _{mix} (T80:PL90G)	%EE	Particle size (nm)
1	0	0	0	99.2	88.9
2	1	1	0	97.5	89.3
3	0	1	1	83.78	91.5
4	-1	0	1	98.4	89.6
5	0	0	0	99.2	87.3
6	1	-1	0	112.8	75.5
7	0	1	-1	110.2	69.9
8	0	0	0	100.6	85.5

9	1	0	-1	109.2	72.5
10	0	-1	1	106.1	78.3
11	-1	0	-1	109.6	63.5
12	0	-1	-1	109.3	63.3
13	-1	-1	0	113.6	75.3
14	1	0	1	90.5	87.3
15	0	0	0	99.9	86.9
16	0	0	0	96.5	85.5
17	-1	1	0	105.3	84.9
Independent variables (g)			Level used, actual (coded)		
			Low(-1)	Medium (0)	High(+1)
Solid Lipid			1	3	5
Liquid Lipid			1	3	5
S _{mix}			1	3	5

*T80:PL90G was fixed in the ratio of 1:1

Table 2

Variable	Goal	Lower limit	Upper limit		Importance	
A:Solid lipid	maximize	-1	1		***	
B:Liquid lipid	is in range	-1	1		***	
C:S_{mix}	maximize	-1	1		***	
Particle size	minimize	83.78	113.6		***	
%EE	is in range	63.3	91.5		***	
A	B	C	Particle size	%EE	Desirability	
1	0.69	1	83.44	90.17	1.000	Selected
1	0.85	1	82.37	90.48	1.000	
1	0.83	1	82.52	90.45	1.000	

1	0.938	1	81.93	90.55	1.000	
1	0.668	1	83.61	90.11	1.000	
1	0.993	1	81.66	90.57	1.000	
1	0.972	1	81.79	90.57	1.000	

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22 **Table 3**

Composition	Response Variable	Predicted Value	Experimental Value	% Prediction Error
OPT	Particle size (nm)	83.44	83.47	-0.04
	%EE	90.18	90.12	0.07
VAL-1	Particle size (nm)	82.37	82.39	-0.02
	%EE	90.48	90.39	0.10
VAL-2	Particle size (nm)	82.52	82.42	0.10
	%EE	90.45	90.51	-0.07
VAL-3	Particle size (nm)	82.06	82.12	-0.10
	%EE	91.00	90.90	0.11
VAL-4	Particle size (nm)	82.76	82.70	0.06
	%EE	91.00	90.81	0.21
VAL-5	Particle size (nm)	81.93	82.00	-0.07
	%EE	90.54	90.50	0.04
VAL-6	Particle size (nm)	83.61	83.5	0.11
	%EE	90.19	90.10	0.10

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24 **Table 4**

Reported Peaks (cm ⁻¹)	Observed Peaks (cm ⁻¹)	Assignment
TQ		
1670-1820	1644, 1614	-C=O stretch
2850-3000	2970,2925,2877	-CH stretch
1350-1480	1360	-CH bending
Physical Mixture		
2850-3000	2929, 2853	-CH stretch
1670-1820	1741	C=O stretch
1900-2000	1920,1950	C=C stretch
3200-3600	3327,3387	-OH stretch
1350-1480	1350,1376	-CH stretch
Sucrose		
2850-3000	2987	-CH stretch
3500-3700	3665	-OH stretch

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1350-1480	1395	-CH stretch
Stearic acid		
3200-3600	3493	-OH stretch
2850-3000	2920	-CH stretch
1670-1820	1707	-C=O stretch

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26 **Table 5**

Fluids	Particle size (nm)		PDI		%EE	
	Initial	Final	Initial	Final	Initial	Final
SGF (pH 1.2)	83.5	81.30	0.55	0.52	93.4	92.5
SIF (pH 6.8)		89.4.5		0.59		93.5

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28 **Table 6**

Parameters	TQ suspension	TQ-PNC
C _{max} (µg/mL)	43.83±1.09	136.53±1.38
t _{1/2} (h)	1.9	2.3
t _{max} (h)	4.1	4.9
V _d (L)	0.126	0.091
AUC(0-24) (µg.h/mL)	245.73±2.73	948.60
AUC(0-inf) (µg.h/mL)	253.31±1.98	1882.26
Relative bioavailability (F) (Ratio of AUC _(0-t) of TQ-PNC to TQ suspension) (F) (%)		386.03

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30 Values were the means of three replicate samples. The data were presented as mean ± SD (n=4), ^ap<0.001 vs saline control,
31 ^bp<0.001 vs toxic control and ^cp<0.05 vs Silymarin