



An exploration of the prevalence and experience of cardiac cachexia: protocol for a mixed methods cross-sectional study

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1 **An exploration of the prevalence and experience of cardiac cachexia:**
2 **protocol for a mixed methods cross-sectional study**

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4 **Matthew A Carson^{1*}, Joanne Reid¹, Loreena Hill¹, Lana Dixon², Patrick Donnelly³, Paul Slater⁴, Alyson**
5 **Hill⁵, Donna Fitzsimons¹**

6 ¹ School of Nursing and Midwifery, Queen's University Belfast, Belfast, BT9 7BL, UK

7 ² Royal Victoria Hospital, Belfast Health and Social Care Trust, Belfast, BT12 6BA, UK

8 ³ Ulster Hospital, South Eastern Health and Social Care Trust, Belfast, BT16 1RH, UK

9 ⁴ Institute of Nursing and Health Research, Ulster University, Belfast, BT15 1ED, UK

10 ⁵ Nutrition Innovation Centre for Food and Health, Ulster University, Belfast, BT52 1SA, UK

11
12 m.a.carson@qub.ac.uk, j.reid@qub.ac.uk, l.hill@qub.ac.uk, lane.dixon@belfasttrust.hscni.net,

13 patrick.donnelly@setrust.hscni.net, pf.slater@ulster.ac.uk, aj.hill@ulster.ac.uk,

14 D.Fitzsimons@qub.ac.uk

15
16 * Correspondence: m.a.carson@qub.ac.uk

21 **Abstract**

22 **Background**

23 Cachexia is a complex and multifactorial syndrome defined as severe weight loss and muscle wasting
24 which frequently goes unrecognised in clinical practice (1). It is a debilitating syndrome, resulting in
25 patients experiencing decreased quality of life and an increased risk of premature death; with cancer
26 cachexia alone resulting in 2 million deaths per annum (2). Most work in this field has focused on
27 cancer cachexia, with cardiac cachexia being relatively understudied – despite its potential prevalence
28 and impact in patients who have advanced heart failure. We report here the protocol for an
29 exploratory study which will: 1. focus on determining the prevalence and clinical implications of
30 cardiac cachexia within advanced heart failure patients; and 2. explore the experience of cachexia
31 from patients' and caregivers' perspectives.

32 **Methods**

33 A mixed methods cross-sectional study. **Phase 1:** A purposive sample of 362 patients with moderate
34 to severe heart failure from two Trusts within the United Kingdom will be assessed for known
35 characteristics of cachexia (loss of weight, loss of muscle, muscle mass/strength, anorexia, fatigue and
36 selected biomarkers), through basic measurements (i.e. mid-upper arm circumference) and use of
37 three validated questionnaires; focusing on fatigue, quality of life and appetite. **Phase 2:** Qualitative
38 semi-structured interviews with patients (n=12) that meet criteria for cachexia, and their caregivers
39 (n=12), will explore their experience of this syndrome and its impact on daily life. Interviews will be
40 digitally recorded and transcribed verbatim, prior to qualitative thematic and content analysis. **Phase**
41 **3:** Workshops with key stakeholders (patients, caregivers, healthcare professionals and policy makers)
42 will be used to discuss study findings and identify practice implications to tested in further research.

43 **Discussion**

44 Data collected as part of this study will allow the prevalence of cardiac cachexia in a group of patients
45 with moderate to severe heart failure to be determined. It will also provide a unique insight into the

46 implications and personal experience of cardiac cachexia for both patients and carers. It is hoped that
47 robust quantitative data and rich qualitative perspectives will promote crucial clinical discussions on
48 implications for practice, including targeted interventions to improve patients' quality of life where
49 appropriate.

50

51 **Key words**

52 Cachexia, Cardiac cachexia, Heart failure, Palliative care, Mixed methods, Cross-sectional study

53

54 **Background**

55 Cachexia is a complex and multifactorial wasting syndrome, which frequently goes unrecognised in
56 clinical practice (3). Cachexic patients experience significant loss of muscle, as well as severe weight
57 loss which cannot be successfully treated with nutrition alone (1). This causes decreased quality of life
58 for patients and an increased risk of premature death (4), and is an issue of global concern. For
59 example, cachexia affects around 9 million people worldwide (1% of the patient population) and is
60 also associated with a high mortality rate (5). More specifically, 1.2 million individuals were estimated
61 to be suffering from cardiac cachexia in Europe during 2014, with a 1-year estimated mortality rate of
62 20-40% (3). According to a consensus definition (1) published in 2008 (see Figure 1 for representation),
63 cachexia is present when the patient has a weight loss of at least 5% in ≤ 12 months or $BMI < 20\text{kg/m}^2$,
64 plus three of the following five criteria: 1) Decreased muscle strength; 2) Fatigue; 3) Anorexia; 4) Lean
65 tissue depletion; 5) Abnormal biochemistry: anaemia [haemoglobin $<120\text{g/L}$]; low serum albumin
66 [$<32\text{g/L}$]. Since its publication a number of studies have challenged this definition (6,7), and whilst
67 disease specific definitions have been discussed (8) or developed (9) for other chronic illness, to date
68 none exists for cardiac cachexia. This highlights the developing nature of this field and the dearth of
69 research relating to fundamental aspects of this syndrome.

70

71 Cachexia is associated with a number of chronic conditions, including cancer (9), renal disease (10,11),
72 chronic obstructive pulmonary disease (12), stroke (13) and heart failure (cardiac cachexia) (14),
73 though the majority of research to date has focused on cancer cachexia (9). In terms of cardiac
74 cachexia, most studies have detailed its complex pathophysiology (15) and its detrimental impact on
75 prognosis (16). However, this work is still in its infancy and many other basic aspects of this syndrome
76 remain poorly understood and understudied, such as its prevalence and effect on the daily life of
77 patients. This is particularly concerning, considering that the number of individuals living with heart
78 failure has increased to epidemic proportions, due to an ageing population (17) and advancements in
79 heart failure treatment (18). Furthermore, this elderly population often shows multiple co-morbidities
80 (i.e. renal disease or cancer), making early identification of those with cachexia even more vital.
81 However, this task is challenging, considering the syndrome is poorly understood, there is no disease
82 specific definition for cachexia in heart failure, and guidance on its key features have yet to be
83 translated into everyday clinical practice in many countries.

84 Of the work completed to date in relation to cardiac cachexia, prevalence rates are a good example of
85 the variability between studies; with some quoting approximately 10% of heart failure patients as
86 cachexic (16,19), whilst others range more broadly from 16-42% (2). Within the UK, the prevalence of
87 cardiac cachexia is currently unknown; which is concerning as even conservative prevalence estimates
88 of 10% would mean a large number of patients are managing this syndrome with limited clinical
89 recognition and support. Furthermore, these numbers are only likely to increase, due to an ageing
90 population and reports of increased annual incidence of cachexia in New York Heart Association
91 (NYHA) class III and IV patients (20). In addition to this lack of prevalence data, there are limitations to
92 the work that has been published to date. For example, previous studies were quite variable in terms
93 of the criteria used for defining cardiac cachexia, with one requiring unintentional weight loss of >7.5%
94 (21) and another >6% (22). Even more recent studies do not always adequately define cardiac
95 cachexia, such as that by Rossignol *et al.* (23); which used a weight loss criterion of $\geq 5\%$, but included

96 none of the other variables from the consensus definition (1). Regardless of criteria used, many studies
97 also do not report a power calculation when describing the sample sizes used, making it hard to
98 determine if they were sufficiently powered to support their conclusions (19,23,24). It is therefore
99 crucial that the process of diagnosing cardiac cachexia is improved and that studies are conducted in
100 a more standardised way. This will allow better prevalence estimations and greater understanding of
101 the biopsychosocial impact of the syndrome, paving the way for potential therapeutic interventions
102 (2,25).

103 Another area which remains poorly studied is the psychosocial effect of cachexia in those suffering
104 from chronic illness. For cancer cachexia, some research has been undertaken to better understand
105 the impact the syndrome has on patient and caregiver quality of life (10,11). Such work identified
106 psychological, social and emotional issues caused by cancer cachexia, which impact both patients and
107 their families; as well as a need for improved clinical interventions. However, in cardiac cachexia such
108 data are limited, with only 1 study to date exploring the experience of food and food intake among
109 patients with heart failure (26). This exploratory study found that loss of appetite also created feelings
110 of deprivation, with patients missing the social aspects of eating. Qualitative research in other chronic
111 illnesses has displayed the multifaceted impact progressive and involuntary weight loss has on both
112 patients and their caregivers. To date though, no studies including caregivers have been conducted in
113 relation to cardiac cachexia. These qualitative studies are key to improving the understanding of
114 cardiac cachexia and its effect on daily life, and will hopefully lead to recommendations for the
115 improvement of clinical management.

116 The clinical management of patients with cardiac cachexia is challenging, in part due to the difficulty
117 of discriminating cachexia from other symptoms which can occur with advanced illness, and the lack
118 of effective interventions. Furthermore, there is a lack of both a disease specific definition for this
119 syndrome and clinical guidelines for its management. Gaps in evidence have been identified and
120 clinical experts have asserted the need for quality studies on cardiac cachexia and potential

121 treatments (27,28). Of those gaps discussed here, this study will focus on two main areas. The first will
122 be the prevalence and impact of cardiac cachexia, focusing on outcomes such as fatigue and quality
123 of life. Secondly, a qualitative exploration, including patients with cachexia and their caregivers, will
124 uncover the impact this syndrome has on daily life. It is hoped that presenting robust quantitative data
125 and rich qualitative perspectives will promote crucial discussions on implications for practice,
126 including targeted interventions to improve patients' quality of life.

127 Here we present a protocol for this exploratory study, which will be approached through 3 phases of
128 work, each of which relates to 1 specific objective:

129 1. Evaluate the prevalence and clinical implications of cardiac cachexia in patients with NYHA
130 Class III – IV heart failure.

131 2. Explore the qualitative experience of cardiac cachexia from patients' and caregivers'
132 perspectives.

133 3. Consult with key stakeholders and define practice implications of study findings.

134

135 **Methods/Design**

136 **Overall study design**

137 This will be a mixed methods cross sectional study, which is appropriate for addressing the study aim
138 as quantitative data will allow the current prevalence and impact of the syndrome to be determined,
139 whilst qualitative data will describe its effect on the daily lives of patients and caregivers. In this study,
140 participants will be defined as having cardiac cachexia if they meet the criteria of the 2008 consensus
141 definition (1) (see Figure 1 for representation). The study has three phases in total (see Figure 2).

142

143 **Phase 1**

144 *Research design and setting*

145 Phase 1, in line with the Evans *et al.* (1) definition, will focus on gathering quantitative data by taking
146 anthropometric measurements and through the use of validated questionnaires, on a purposive
147 sample of 362 NYHA class III and IV heart failure patients (see Table 1 for inclusion/exclusion criteria).
148 NYHA class III and IV heart failure patients are being targeted as cachexia tends to impact patients at
149 the end of the chronic natural course of heart failure, and therefore these individuals are more likely
150 to be suffering from the syndrome (27). The setting for this work will be heart failure wards, outpatient
151 departments and ambulatory clinics in hospitals at two healthcare Trusts within the UK. Participants
152 will be recruited over an 18-month period, ending in November 2020. A private space will be used for
153 data collection, such as an empty private room at the clinic/ward, or a quiet area with a privacy screen.
154 If willing and eligible to participate, heart failure patients will have a number of simple measures taken
155 by the researcher, including: 1. Muscle mass (by measuring mid-upper arm circumference); 2. Muscle
156 strength (using a handheld dynamometer), and; 3. Skinfold thickness (using skin callipers). Each of
157 these are common measures which are routinely used to determine if a patient is suffering from
158 cachexia and to what degree. Each measure is non-invasive and will take 1-2 minutes to complete.

159 Subsequently, participants will complete three short, validated questionnaires: 1. FACIT (Functional
160 Assessment of Chronic Illness Therapy) Fatigue Scale; 2. FAACT (Functional Assessment
161 Anorexia/Cachexia Therapy scale), and; 3. EuroQOL (EQ-5D-5L) questionnaire (quality of life). The
162 FACIT-fatigue scale is used to measure fatigue and a conditions impact on daily life within the general
163 population (29); making it a useful tool to address the aims and objectives of this study. Furthermore,
164 it has been effective in identifying fatigue in people suffering from chronic illnesses (30,31), including
165 cardiac disease (32,33). Similarly, the FAACT questionnaire is widely used in the literature, focusing on
166 assessing appetite and related symptoms - particularly in cancer focused studies. For example, the
167 questionnaire has been shown to aid clinicians when testing the efficacy of anti-anorexia/cachexia
168 therapies (34,35), whilst also correlating well with other self-report scales and questionnaires (36).

169 Finally, the EQ-5D-5L is widely used to determine quality of life, particularly with chronic conditions
170 such as heart failure (37–39). The use of these validated, common and robust questionnaires/scales
171 will improve the rigour of this study, whilst each is also short and easy to complete – reducing
172 participant burden.

173 Participants will also be invited to complete a short demographic questionnaire comprised of
174 questions concerning their weight, gender, marital status, postcode and any co-morbidities. This
175 information will be used to select a representative sample for phase 2. The participant will be invited
176 to complete anthropometric measurements/validated questionnaires directly after a scheduled
177 appointment with their clinical team. Data collection should take 20 minutes or less. Willing
178 participants will also consent to their medical records being accessed for information relevant to the
179 study, such as blood marker levels and weight.

180

181 *Sample size calculation*

182 With a total sampling frame of 6062 patients, a 5% margin of error, 95% confidence level and a
183 response distribution of 50%, the recommended sample size for phase 1 of this study is 362
184 participants. This was calculated using Raosoft software (40).

185

186 *Recruitment procedure*

187 Two weeks prior to the start of patient recruitment, posters referring to the study will be placed in
188 reception and waiting areas that are frequented by patients. Subsequently, clinical gatekeepers
189 (Cardiac/Specialist Nurses and/or Consultant Cardiologists) will review the records of patients that
190 may fit the study criteria. If a patient is eligible the gatekeeper will inform the patient of the study at
191 their next scheduled appointment and provide an information pack, including an invitation to
192 participate and study information sheet. If the patient is interested in participating they will be

193 directed to the researcher after their appointment, who will give more detail on the study and obtain
194 informed consent, before the completion of anthropometric measurements and validated
195 questionnaires.

196

197 *Variables, data sources and measurement*

198 For patients to be classed as suffering from cardiac cachexia they must have experienced weight loss
199 and 3 out of 5 other criteria (see figure 1 for detail). Relating to this, there will be a total of 8 outcomes
200 in phase 1 of the study:

- 201 1. Mid-upper arm circumference (continuous data)
- 202 2. Skinfold thickness (continuous data)
- 203 3. Muscle strength (continuous data)
- 204 4. Weight and BMI (continuous data)
- 205 5. Blood measures (continuous data)
- 206 6. FACIT (Functional Assessment of Chronic Illness Therapy) Fatigue Scale (ordinal data)
- 207 7. FAACT (Functional Assessment Anorexia/Cachexia Therapy scale) (ordinal data)
- 208 8. EuroQOL five dimensions (EQ-5D) questionnaire (quality of life) (ordinal data)

209 Mid-upper arm circumference, skinfold thickness and muscle strength are all measurements that will
210 be coordinated by the researcher. Measurement error will be reduced as much as possible by
211 following a standard protocol for each measurement. Muscle strength measurement will be
212 completed by the patient under the direction of the researcher, with the same clear instruction given
213 to each participant. Weight will be obtained from patient records, as well as being self-reported on
214 the cover information sheet, and therefore cannot be further controlled. BMI will be calculated using
215 weight and height data. The two scales and questionnaire will be completed by the patient and
216 therefore measurement error also cannot be controlled. However, each tool is validated and

217 commonly used within the literature, whilst the researcher will also be on hand to offer extra
218 explanation if necessary.

219

220 *Confounding variables*

221 As heart failure is associated with an increasing elderly population it often presents with other co-
222 morbidities, such as renal disease or cancer. As such, the presence of these conditions may influence
223 patient weight loss and therefore the determination of cardiac cachexia in this study. Furthermore,
224 any treatments/medications prescribed for co-morbidities may influence the study outcomes.
225 However, in the present study there is minimal scope to control for confounding variables for two
226 main reasons: 1. Controlling for every variable is not feasible; and, 2. Co-morbidities are characteristic
227 of the general heart failure population, and therefore by excluding these patients a representative
228 sample would not be achieved. However, information will be collected concerning co-morbidities and
229 medication use for each participant, which will be referred to when making conclusions from study
230 data.

231

232 *Data analysis*

233 Quantitative data will be entered into SPSS version 25 or above and analysed. Firstly, those individuals
234 meeting the agreed criteria for cardiac cachexia will be identified, based on their weight loss and the
235 meeting of other criteria (e.g. fatigue and blood markers). Subsequently, the prevalence of cardiac
236 cachexia in the sample of advanced heart failure patients will be determined, using a basic proportion
237 calculation. Univariate and multivariate regression analysis will be used to determine the relationship
238 of weight loss to the other criteria measured in phase 1, like participants' scores on various
239 questionnaires and mid-upper arm circumference – similar to previous work (16). Before carrying out
240 this analysis the dataset will be tested to ensure it meets the assumptions of regression, such as
241 normality and homoscedasticity (41). Data will also be split into groups based on the degree of

242 cachexia participants are suffering from (i.e. no cachexia, mild and severe cachexia). Subsequently,
243 differences between groups for measures (such as score on questionnaires) will be determined using
244 MANOVA (multivariate analysis of variance), as in related work (42). As with regression analysis,
245 before conducting MANOVA the data will be tested to check it meets the assumptions of the test –
246 such as normality and equality of variance. If data is not normally distributed an appropriate
247 transformation will be applied, before using an alternative non-parametric test. For missing data, the
248 mean of completed items will be substituted in place of the missing value, where less than 50% of
249 items are missing (43). If more than 50% of items are missing the participant will be excluded from
250 analysis. For all analysis, a *p* value of 0.05 or less will be deemed statistically significant. All data
251 analysis will be reviewed by one statistician on the research team and one independent statistician.

252

253 **Phase 2**

254 *Research design and setting*

255 From our phase 1 analysis, a purposive sample of 12 patients who have cardiac cachexia (1) will be
256 asked to take part in a semi-structured interview, which is expected to last approximately 45 minutes.
257 These individuals will have agreed to be contacted regarding an interview as part of phase 1.
258 Additional characteristics including gender, age, postcode and any co-morbidities will also be taken
259 into account when selecting potential participants; to ensure a representative sample of the total
260 moderate to severe heart failure population is achieved. Information collected during phase 1 will be
261 used to inform this selection process. Each patient will be asked to nominate a caregiver (n=12) who
262 will be invited to complete an interview (see Table 2 for inclusion and exclusion criteria). Interviews
263 will be conducted in a location of the participant's choice, such as their own home or a private room
264 on University premises. A core set of open-ended questions in a "laddered style approach" (44) will
265 be used, focusing on the holistic impact and experience of cachexia (see Figure 3 for topic guide).

266

267 *Sample size*

268 The exact sample size will be determined by data saturation (45); however, drawing on previous work
269 (46), 12 patients and 12 caregivers for this exploratory phase is an indicative estimate.

270

271 *Recruitment procedure*

272 As part of the original consent process, phase 1 participants will have already agreed to be potentially
273 contacted about phase 2. Each selected patient participant for phase 2 will receive a telephone call
274 and asked if they would like to receive postal information about this phase of the study. Phone calls
275 with patients will take place a week or less after their participation in phase 1, to reduce the likelihood
276 of their health deteriorating between phase 1 and 2 of the study. The patient will then be given a 1
277 week cool-off period, before a second phone call will be placed to see if they would like to arrange an
278 interview. Upon interviewing the patient, an invitation to participate and study information sheet will
279 also be left for the caregiver, with instructions to call the researcher should they wish to participate in
280 an interview. As patients and caregivers have regular face-to-face contact this will allow the study
281 information to be passed on to the caregiver. Written informed consent will be obtained prior to
282 interview. All interviews will be digitally recorded.

283

284 *Data analysis*

285 Following verbatim transcription of the interviews, the qualitative data will be subjected to a rigorous
286 process of thematic analysis by the research team, who are experienced in this area. Transcripts will
287 be analysed using a 6-step process (47), with data first being coded before collating related codes and
288 identifying potential themes. One researcher will complete this initial analysis and subsequently the
289 research team will meet to review the data; ensuring that themes identified are consistent with the
290 data and accurately represent the views of participants. Content analysis, using an inductive approach,
291 will also be used (48), to help code data and identify the main themes within patient and caregiver

292 experiences. Both thematic and inductive content analysis are appropriate methods to use; as the
293 systematic and categorizing approach allows large amounts of text to be analysed in terms of word
294 frequency, their relationships and structures (47–49). Furthermore, both are suitable given the
295 exploratory nature of this work, as neither relies upon existing hypotheses or knowledge relating to
296 the research area.

297

298 **Phase 3**

299 *Research design and setting*

300 Results from phases 1 and 2 will be used to inform workshops, including 24 patients and caregivers
301 from phase 2 (workshop 1) and key stakeholders such as cardiac multi-disciplinary health care
302 professionals and policy makers (workshop 2). Attendance will be voluntary and it is expected that
303 each workshop will last approximately 2 hours. These workshops have been separated so that we can
304 ensure results are discussed in a sensitive fashion around patients and caregivers, and to minimise the
305 potential for any distress occurring. The format for the workshops will be based on ‘co-design working
306 groups’ approach (50), where participants are mixed together; ensuring a diverse range of
307 perspectives and opinions in each group. After a short presentation of the study’s findings, each group
308 will be given a set of findings and questions to discuss and make conclusions about. Subsequently, the
309 research team will review each team’s findings and lead a discussion with all participants, focusing on
310 areas of disagreement or confusion and any recommendations for future clinical practice. This data
311 will be used to determine the importance of study findings to different stakeholders and, hopefully,
312 to generate ideas for further research that will lead to improvements in practice.

313

314 **Ethical considerations**

315 This study will be conducted in compliance with Good Clinical Practice Guidelines (51). Ethical approval
316 was sought from the North East – Tyne & Wear South Research Ethics Committee (19/NE/0121) and

317 from the Belfast and South Eastern Health and Social Care Trusts. Fundamental aspects of good
318 practice, including user friendly information sheets, informed consent, voluntary participation,
319 confidentiality and data protection procedures will be applied as a minimum standard. As this study
320 discusses a sensitive topic in a vulnerable population, it is accepted that distress may occur -
321 particularly during the interviews of phase 2. As such, a distress protocol has been developed for use
322 with both patients and caregivers. Similarly, the laddered style approach of questioning during
323 interviews will also reduce distress, allowing the mood of participants to be gauged and future
324 questioning to be adapted appropriately. Furthermore, the study has been designed to minimise the
325 burden on participants as much as possible. For example, only validated questionnaires are being
326 used, whilst each of the three measurements that will be taken are fairly quick to complete and non-
327 invasive.

328

329 *Study withdrawal*

330 Participants will be advised of the voluntary nature of their inclusion in this research and can withdraw
331 at any point, without compromising their current clinical care. As stated in the information sheet, we
332 will use collected data up to the withdrawal point (with the participant's consent). The reason for
333 withdrawal will be noted for future review.

334

335 **Discussion**

336 This study will explore the prevalence, clinical implications and experience of cardiac cachexia within
337 a population of NYHA class III and IV heart failure patients; whilst the experience of caregivers will also
338 be investigated. This research has been designed to address many of the gaps in the knowledge base
339 within this field, which has typically focused on cancer cachexia (9). As such, prevalence rate
340 estimations for cardiac cachexia vary globally (2,16,19) and are poorly understood within the UK;

341 meaning even the basic prevalence data from this study has the potential for significant clinical impact.
342 For example, given the poor clinical recognition of cachexia, determining its prevalence may help to
343 highlight the importance of the syndrome to healthcare providers. Obtaining data on how it impacts
344 factors such as fatigue, quality of life and measurements like mid-upper arm circumference may
345 develop this further; highlighting the fact that management of cachexia should be prioritised whilst
346 treating those with chronic illnesses. Data from this study is therefore expected to help with the future
347 treatment of patients, as well as planning and the allocation of resources. In addition to this, phase 1
348 data collection is novel in terms of the range of data that will be collected, as similar studies either
349 focus on biochemical characteristics (16,52), anthropometric measurements (53,54) or some
350 combination of the two (7,39); whereas this study will include both, as well as data from self-report
351 tools investigating fatigue, quality of life and appetite.

352 Another novel aspect of this study is its inclusion of qualitative data, as only one study to date has
353 explored the perspectives of patients diagnosed with cardiac cachexia (26). Furthermore, unlike
354 previous work (26), the present study will include the views of caregivers; as these individuals have a
355 significant impact on the patients day to day life, as well as being impacted themselves (11). For
356 example, one review highlighted the profound psychosocial impact that cancer cachexia has on both
357 patients and caregivers (25), suggesting that similar issues may be present in those suffering from
358 cardiac cachexia.

359 Overall, it is hoped that discussion of study findings will lead to recommendations for further research
360 and improvements to clinical practice (such as care guidelines and patient care pathways). A better
361 understanding of the syndrome and its effect on the daily life of patients and caregivers will enable
362 proposed interventions to be holistic and patient centred, recognising and responding to the needs of
363 this client group. Any potential interventions should also have international applicability, as this study
364 will use the consensus definition of cachexia (1) to define its population and builds upon similar work
365 in terms of study design (11,16,26,39,54); though understandably more work will be required to

366 determine the applicability of any recommendations to different countries. Unfortunately, to date
367 there is no agreed 'gold standard' effective treatment for cachexia in any chronic illness. Similarly,
368 clinical management of cachexia in persons with advanced heart failure is undoubtedly challenging,
369 due to the polysymptomatic nature of cachexia, the numerous co-morbidities that affect this patient
370 population and the lack of a disease specific definition. As such, it is crucial that this study and those
371 similar to it are conducted, so that clinical recognition and management improve – along with the
372 quality of life and survival of individuals with this syndrome.

373

374 **Abbreviations**

375 **NYHA:** New York Heart Association

376 **NINIS:** Northern Ireland Neighbourhood Information Service

377

378 **Declarations**

379 **Ethics approval and consent to participate**

380 Ethical approval for this study was granted by the Office for Research Ethics Committees Northern
381 Ireland (REC reference: 19/NI/0092). Written informed consent for participation in the study will be
382 requested for all eligible patients and caregivers. Patients without the capacity to consent will not be
383 included in this study.

384

385 **Consent for publication**

386 Not applicable.

387

388 **Availability of data and materials**

389 Data sharing is not applicable to this article as it describes a study protocol. Future research results
390 will be published in scientific journals.

391

392 **Competing interests**

393 The authors declare that they have no competing interests.

394

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400

401 **Authors' contributions**

402 DF, JR and LH applied for funding. MAC, DF, JR, LH, LD, PD, PS and AH participated in the planning of

403 the study. MAC, DF, JR and LH were responsible for the writing of the study protocol. PS was the

404 responsible statistician. All authors read and approved the final manuscript.

405

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412 **Authors' information**

413 Not applicable.

414

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629

630 Tables

631 *Table 1*

632 *Inclusion and exclusion criteria for patients participating in phase 1.*

633

Inclusion criteria	Exclusion criteria
Are aged 18 and over	Lacking capacity to give consent
Able to read, write and speak English	Under the age of 18
Confirmed diagnosis of advanced heart failure (NYHA class III-IV)	
Physically and mentally capable of participation (judged by cardiologist)	
Willing to be involved	

637

638 *Table 2*

639 *Inclusion and exclusion criteria for patients and caregivers participating in phase 2.*

Inclusion criteria	Exclusion criteria
Patients	
Same as phase 1	Not identified as suffering from cardiac cachexia (based on the results of phase 1)
Caregivers	
Are aged 18 and over	Lacking the capacity to give consent
Able to read, write and speak English	Under the age of 18
Have face-to-face contact with the patient more than 5 times per week	
Be nominated by the patient	
Be physically and mentally capable of participation (self-assessment)	
Willing to be involved	

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642 Figure legends

643 **Figure 1**

644 Diagnostic criteria for cachexia, adapted from (1).
645 * Lowest tertile (55)
646 ** Physical or mental weariness resulting from exertion; unable to continue exercise at the same
647 intensity without a decrease in performance (56)
648 *** Limited food intake (total intake of calories is less than 20 kcal/kg body weight/d; <70% usual
649 food intake) (57)
650 **** Depletion of lean tissue (i.e. mid upper arm circumference <10th percentile for age and gender)
651 (58)

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654 **Figure 2**

655 Flow diagram, showing basic detail of the three phases of the study.

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657 **Figure 3**

658 Topic guide, for use in the semi-structured interviews of phase 2 with patients and caregivers.

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Cachexia

Chronic illness **AND** Weight loss

*e.g Heart failure
Kidney disease
Cancer*

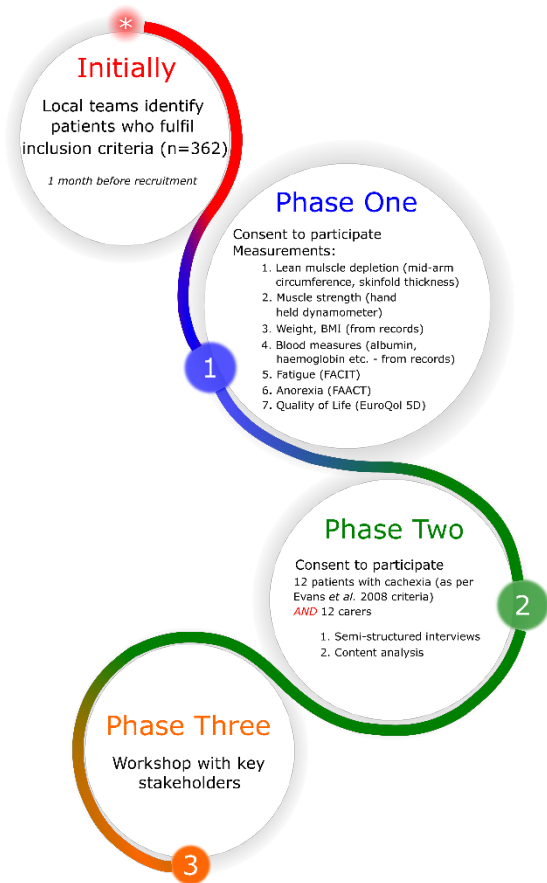
of at least 5% in 12 months
(or less) OR BMI <20 kg/m²

+ 3 of the following 5 criteria:

- Decreased muscle strength*
- Fatigue**
- Anorexia***
- Low fat-free mass index****
- Abnormal biochemistry:
 - Increased inflammatory markers
 - Anemia
 - Low serum albumin

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Broad invitation statement

Can you tell me about your/your loved one's weight loss?

Follow up topics

- *(For patient/caregiver) What are the patient's thoughts about their weight loss/wasting?*
- *(For patient/caregiver) How does the patient's weight loss/wasting make them feel?*
- *(For patient/caregiver) How does the patients weight loss/wasting affect their everyday life? (i.e. biological, psychological and social effects)*
- *(For patient/caregiver) How does the patient's weight loss/wasting affect how they see themselves?*
- *(For patient/caregiver) What does the patients weight loss/wasting mean to them?*
- *(For patient/caregiver) How does the patients weight loss/wasting affect their social life?*
- *(For patient) How does your weight loss make your caregiver feel?*
- *(For patient) How does your weight loss affect your caregivers everyday life?*
- *(For caregiver) How does the patient's weight loss make you feel?*
- *(For caregiver) How does the patient's weight loss impact your life?*

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