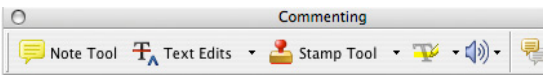
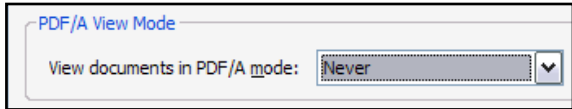
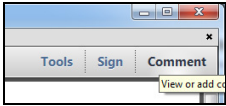
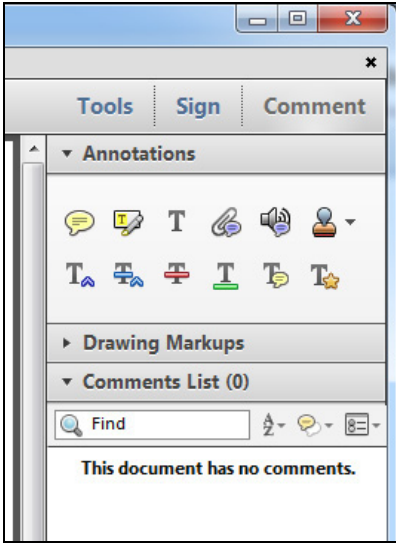


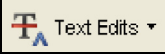


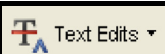

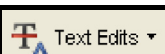





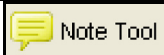

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Featured Article

Ethical adoption: A new imperative in the development of technology for dementia

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Abstract

Technology interventions are showing promise to assist persons with dementia and their carers. However, low adoption rates for these technologies and ethical considerations have impeded the realization of their full potential. Here, we introduce the concept of “ethical adoption”: the deep integration of ethical principles into the design, development, deployment, and ongoing usage of technology. Ethical adoption is founded on five pillars, supported by recent empirical evidence: (1) inclusive participatory design; (2) emotional alignment; (3) adoption modeling; (4) ethical standards assessment; and (5) education and training. To close the gap between adoption research, ethics and practice, we propose a set of 18 practical recommendations based on these ethical adoption pillars. Through the implementation of these recommendations, researchers and technology developers alike will benefit from evidence-informed guidance to ensure their solution is adopted in a way that maximizes the benefits to people with dementia and their carers while minimizing possible harm.

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Keywords: Dementia; Alzheimer; Technology; Ethics; Biomedical ethics; Technology adoption; Assistive technology; Technology development

1. Introduction

In recent years, there has been much interest in the use of technology solutions to assist with symptom management and maintenance or improvement in quality of life for older adults with dementia and their carers. Based on the current literature, seven broad overlapping categories of technology-based solutions are currently available: (1) cognitive aids [1,2]; (2) care robots [3]; (3) physiological sensors [4]; (4) environmental sensors [5]; (5) surveillance devices [6]; (6) cognitive engagement and monitoring systems [7]; and (7) integrated systems, which draw data from a network of heterogeneous inputs from the previous

categories and apply artificial intelligence to provide supervision, guidance, and feedback to users [8,9].

Taken together, these technologies are promising in their potential to compensate for cognitive and physical limitations of persons with dementia, reduce carer burden, promote independence and autonomy, manage safety risks in the environment, and reduce stress. Nevertheless, despite these potential benefits and significant development efforts over the last decade, assistive technologies for dementia remain mostly in the realm of research. A major challenge in the commercialization and use of these solutions is low technology adoption rates, despite concerted efforts in this area [10]. Recent evidence suggests technology adoption is closely linked to ethical considerations. The intersection of adoption and ethics can occur at a high level, for example, when low adoption is due to a misalignment between the needs or values of end users and the benefits of technology

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solutions [11]. In other cases, specific ethics-related concerns such as conflict of interest or privacy issues impede widespread adoption.

Although ethical issues in technology for people with dementia have been extensively reviewed [12], it can be difficult for technology developers and researchers to implement ethics principles without specific practical guidance within the context of technology-based solutions for dementia [13]. To close the gap between adoption research, ethics, and practice, here we introduce the concept of “ethical adoption,” which we define as the deep integration of ethical principles into the design, development, deployment, and ongoing usage, and management of technology. Ethical adoption is aimed specifically at technology for dementia and as such is grounded in the theoretical foundation of the principles of biomedical ethics, the standard theoretical framework used to analyze issues at the intersection of ethics and medicine. In this article, we first explore the barriers and facilitators of technology adoption, then describe five pillars of ethical adoption and propose a set of 18 stepwise, practical, and evidence-based recommendations for the development of technology solutions for dementia research and care.

1.1. Determinants of technology adoption

When examining adoption and acceptance of assistive technologies, researchers often profile users based on their engagement or lack thereof with the technology [14,15]. This results in a matrix of adoption, describing whether the person will use the technology, based on two factors: whether the technology is usable by the participant and whether they see a perceived utility in the solution.

It is becoming increasingly evident, however, that the likelihood of adoption is much more complex and multifaceted in nature than is described by this two-factor model. Perceptions of usability and usefulness may change over time as individuals change. More importantly, however, the likelihood of adoption spans factors that go beyond the physical design of the solution and individual characteristics of the person with dementia and their carer and also includes social settings and the channels through which the technology is delivered [16].

Several groups have examined factors that act as facilitators or determinants of technology adoption. In a 2014 systematic literature review, Peek et al. [17] found that technology acceptance, which is closely related to adoption, is influenced by 27 factors across six themes: concerns, expected benefits, need, availability of alternatives, social influence, and priorities. Using survey methodology, Lee and Coughlin [18] identified 10 key factors that influence technology adoption (Table 1), with some overlap with Peek et al.'s list.

In support of these factors, Cook et al. [10] examined the barriers and facilitators to adopting and continuing to use telehealth and telecare solutions by older adults. When

Table 1
Factors that are considered as facilitators or determinants of technology adoption [18]

| Factor | Description |
|-------------------|---|
| Usefulness | Perception of usefulness and potential benefit |
| Usability | Perception of user friendliness and ease of learning |
| Affordability | Perception of potential cost savings |
| Accessibility | Knowledge of existence and availability in the market |
| Technical support | Availability and quality of professional assistance throughout use |
| Social support | Support from family, peers, and community |
| Emotion | Perception of emotional and psychological benefits |
| Independence | Perception of social visibility or how a technology makes them look to others |
| Experience | Relevance with their prior experiences and interactions |
| Confidence | Empowerment without anxiety or intimidation |

analyzing the decision to use assistive technologies, the authors identified four themes: “acceptance of old age/health condition,” “previous knowledge and awareness of the equipment available,” “perceived usefulness of equipment,” and “attitudes and perceptions toward assistive technology.” When considering the continued engagement and usage of assistive technologies, four additional and related themes were identified; “usability,” “usefulness of equipment,” “functionality of equipment,” and “threat to identity and independence.” The authors highlighted the need for better communication with technology end users and availability of detailed information about the equipment. In addition, “hands-on” demonstrations with a discussion of patient expectations on the support they will need through using the service were deemed critical to support and encourage both adoption and sustained usage.

1.2. Ethical considerations

Technology adoption work has yielded key insights into the factors that promote and deter the adoption, widespread deployment, and sustained use of technology by older adults with and without dementia and their carers. Missing from this endeavor, however, has been the inclusion of ethics as a critical focus point in technology adoption. Technology adoption and technology ethics share many common elements, such as the consideration of risk versus benefit, the possibility of harm (e.g., privacy breach), and social pressure. As such, practical ethical considerations aimed at promoting adoption must inform the delicate balance between the interests of technology users and technology providers. A useful theoretical framework to apply in this context is the four principles of biomedical ethics, namely autonomy, beneficence, nonmaleficence, and justice [19]. Briefly, autonomy refers to the concept of making reasoned, informed decisions for ourselves. Beneficence considers the balance of benefits and risks of a given intervention and the imperative to benefit the end user. Nonmaleficence relates to the avoidance of causing harm, and justice refers to the fair distribution of benefits, risks, and costs of the intervention

across all end users [19]. Here, we build on previous work in technology adoption and on the theoretical foundation of the principles of biomedical ethics to introduce the concept of “ethical adoption” and describe its five pillars: (1) inclusive participatory design; (2) emotional alignment; (3) adoption modeling; (4) ethical standards assessment; and (5) education and training. The five pillars of ethical adoption are conceptualized as spanning the principles of biomedical ethics such that all principles are adhered to. Table 2 shows the relationship matrix giving the key features of each ethical adoption pillar as it relates to the principles of biomedical ethics. In the remainder of this article, we discuss each of the five pillars of ethical adoption in more detail, showing how these key features arise and deliver a set of practical recommendations for the development of technology for dementia-related applications. As the five pillars are distributed across the process of technology development, from early design of technology solutions (e.g., inclusive participatory design) through product launch, testing, and use (e.g., education and training), the application of these recommendations should be considered as a stepwise system for researchers and technology developers to follow.

2. Five pillars of ethical adoption

2.1. Pillar 1: Inclusive participatory design

Key ethical features: ensures benefit to end users (beneficence), promotes engagement and meaningful self-direction (autonomy), and ensures usability across the population (justice).

Recommendation 1.1: Use a participatory, user-led design.

Improvements in technology adoption should be driven by the investigation of specific user needs. When asking end users to review or inform technology development, it is critical to ensure that the primary user has the capability to express his or her opinion in a reflected and autonomous manner. Researchers must also consider the bias in carers' ability to separate their needs from those of the primary

users. To address these challenges, participatory design, the process of building technology that involves end users at every stage of the development process, can be used to assist with the early stages of design and provide a supportive environment in which participants feel included, engaged, and confident to provide critical feedback [20]. The utilization of a participatory approach is essential in assuring that solutions are usable, intuitive, meet the needs and expectations of the user, and consequently are more highly accepted. End-user input can assist in establishing the risk-benefit balance of a solution with an inherent ethical concern, such as surveillance technology to prevent falls or wandering. In this case example, participatory design can inform whether the right to privacy and dignity can be justifiably overridden for the sake of promoting health or safety and place boundaries on this trade-off. End-user input can also assist in directly addressing operational ethical dilemmas, for example, by providing researchers and developers insights on preferred mechanisms to provide consent as capacity declines.

Various participatory design methods and techniques are well known and widely used, both in academia and in the research community [21].

Recommendation 1.2: Consider the limitations of older adults in design (vision, hearing, motor, varying levels of computer knowledge) of visuals, instructions, and overall usability.

Recommendation 1.3: Consider cultural differences in technology adoption and use.

Conventional participatory design methods and techniques may be tailored to account for a diversity of different user characteristics, languages, community cultures, environments, and motivations [22]. To ensure representative results are obtained, researchers should adapt participatory design methods to ensure they are relevant and feasible in the context of their target population, with close attention to cultural differences in engagement with technology. In particular, researchers must consider the needs and desires of people who are cared for in the community, many of whom require care for two or more different conditions and have complex care needs [23]. Developing

Table 2

The matrix of relationships between the five pillars of ethical adoption and the four principles of biomedical ethics

| Ethical adoption pillars | Principles of biomedical ethics | | | |
|--------------------------|--|---|---|--|
| | Beneficence | Nonmaleficence | Justice | Autonomy |
| Participatory design | Ensures benefit to end users | | Ensures usability across the population Minimizes bias | Promotes engagement, meaningful self-direction |
| Emotional alignment | | Minimizes emotional harms | | |
| Adoption modeling | Reduces barriers to benefiting from solution | | Enables outreach to specific populations | |
| Standards assessment | | Minimizes overadoption Minimizes harms related to privacy and conflict of interest | | Promotes informed use |
| Training and education | Ensures solution is used to full potential | | Removes familiarity with technology as a prerequisite | Promotes independent use and understanding |

multimodal solutions (in which multiple communication modes are used in a single device) is particularly important, given that the acuity of all sensory modalities declines with age. Assistive technology systems must be able to accommodate such holistic care plans and the wide range of formal and informal carers that manage them [24].

Recommendation 1.4: Design to deliver direct benefit to all potential users (persons with dementia, carers, health care professionals) and ensure this benefit is clear to all.

In addition to the complex care and functional needs of the primary user, assistive technologies must account for the needs of multiple stakeholders. These include direct end users and may also include family, friends, formal and informal carers, and health care professionals. For example, a system might remind all members of the household of an appointment or it might remind the user themselves to take their medication. In this example, stakeholders are defined as those who can directly or indirectly specify requirements and add, delete, or change the information the system produces. Assistive technologies should identify clear pathways for resolving any conflicts that might occur from involvement of several stakeholders with different needs and motivations. For example, if a user mutes or misses a reminder, it may be necessary to escalate a reminder to another stakeholder. These pathways must include explicit mechanisms for seeking user input and validating the selected options [24]. Assistive technologies for dementia should therefore use a participatory approach to incorporate needs and preferences and allow these preferences to be regularly reviewed, stored, and viewed by all stakeholders. To accommodate this approach, assistive technologies need to be highly modular, flexible, and easy to configure [24].

Recommendation 1.5: Allow for personalization of the solution to create a sense of ownership.

Providing individualized support and personalization of solutions, taking into account physical impairments (hearing, sight, cognition, dexterity), social and cultural differences, and technological experience, can ensure the full integration of technology within a person's routine [25]. This has been further acknowledged as a key driver of technology use in dementia [26,27]. It has also been noted that a standardized approach to both the design and delivery of general assistive technologies failed to account for individual's lived experiences, leading users and carers to reconfigure technologies to meet individual need [25,28].

2.2. Pillar 2: Emotional alignment

Key features: Minimizes emotional harms (nonmaleficence) and minimizes bias (justice).

Recommendation 2.1: When appropriate, ensure technology has inbuilt model of human emotion, including how to recognize emotion, how to track emotion dynamics, and how to map emotional signals to modulations of potential actions of the system.

Once technology solutions have been established to meet existing needs and lead to benefits through the application of recommendations under pillar 1, technology developers and researchers should consider a cornerstone of human interaction: emotional alignment [29]. Humans seek interactions in which their sense of self on an emotional level is respected and valued and conversely avoid those in which their sense of self is disrespected and devalued. For persons with dementia, this alignment is challenging due to an inability to maintain a consistent presentation of their self within a situational context [30]. Emotional signals from others are no longer perceived as consistent with a more uncertain and fluctuating self, and the resulting misalignment leads to uncertainty and stress. To promote adoption, emotional factors must be at the forefront of technology development otherwise technological solutions may be viewed with suspicion and mistrust and will be discarded more readily. As one example, prompts delivered in the context of assistive technologies for the completion of activities of daily living should match the emotional tone of the end user to minimize harms such as anxiety and ensure a positive relationship with the technology. As one example of methodology, identity modeling has been used successfully to integrate emotional alignment in health care technology [29,30].

Recommendation 2.2: Ensure technology does not propagate implicit biases through the inclusion of emotional modeling.

Recent work in affective computing has investigated how to best develop intelligent technologies that are emotionally aligned with end users. However, emotional alignment of users with technology can lead to the propagation of implicit biases. As one example, many mobile assistants (e.g., SIRI) can be viewed as promoting females in submissive roles. Without proper modeling of emotion, these biases can become a mainstay of applications that handle emotional situations. Therefore, careful affective modeling and reasonable checks and balances must be used to ensure that these biases are not enhanced by assistive technologies.

Moving forward, the modeling of affect in computerized intelligent systems for dementia applications will play an increasingly important role in ensuring assistive technologies align with the values of end users and with ethical principles in general. Many application areas (e.g., medical diagnosis, online medical information filters [31]) in health care broadly and in assistive technology for dementia specifically will benefit from advances in affective computing, as society moves toward a sociotechnical environment in which humans and technological artifacts play increasingly equal yet complementary roles.

2.3. Pillar 3: Adoption modeling

Key features: Reduces barriers to benefitting from intervention (beneficence) and enables outreach to specific populations (justice).

512 Recommendation 3.1: Understand factors that influence
513 decisions and rights to disengage.

514 Adoption modeling has been put forward as a method to
515 gain a more in-depth understanding of the factors associated
516 with technology adoption [32]. An adoption model defines a
517 function from a set of features that can be extracted from a
518 technology-enhanced environment to a prediction of
519 whether a user will adopt a technology or not in the future.
520 Evidence suggests important benefits for this approach,
521 most notably from having the ability to use the
522 adoption models as screening tools for those who will or
523 will not be able to use the technological solution [33].
524 Regression-based models have demonstrated the ability to
525 identify, with high levels of precision, individuals who are
526 likely to adopt technology-based solutions [15]. Input
527 parameters to these models have ranged from details relating
528 to education, living arrangements, prior technology
529 experience, and medical history [15]. Data obtained from
530 these models have allowed the characterization of end users
531 who are less likely to adopt technology, thereby informing
532 targeted efforts to reach these specific end users and
533 promoting inclusivity in technology adoption, which aligns
534 with the ethical principle of justice. For example, technology
535 adoption modeling for a specific solution may uncover
536 relationships between adoption of the emerging technology
537 and socioeconomic status, which can in turn inform health
538 economics assessments for this solution and drive innovative
539 and targeted means to reduce costs. Overall, this additional
540 knowledge-driven perspective has the potential to assist
541 with the overarching aim of making models transferable to
542 different users and applications.

543 Recommendation 3.2: Consider evidence from adoption
544 modeling when targeting intervention to specific end-user
545 groups.

546 The adoption models developed will need to be updated
547 to reflect (1) changes in a user's behavior over time;
548 (2) changes in a user's level of technology familiarity over
549 time; and (3) application areas across technology types
550 and health conditions. With regard to dementia specifically,
551 models will need to be dynamic to be responsive to the
552 changing behavior of users as their disease progresses.
553 Over the course of the disease, dementia can cause changes
554 in personality, cognition, and ability to engage in social
555 interactions, all of which need to be accommodated for a
556 successful, inclusive, and ethical technology adoption
557 process. For example, a technology must be removed from
558 use when a person with dementia ceases to be able to use
559 it, such that the stress of not being able to benefit from or
560 use it is reduced. A related, equally difficult challenge is
561 how to integrate changes in a user's level of technology
562 familiarity. Although many efforts have been undertaken
563 within this domain broadly, little work has been directed
564 toward development of transferability functions to allow
565 models to be deployed in the context of more than one
566 technology-based solution. This introduces a limitation in
567 the extent to which adoption modeling can be used outside
568

579 the specific use case for which a given model has been
580 designed.

581 As an extension to adoption, the modeling process should
582 be broadened to support users after the point at which they
583 have decided to adopt the solution. This falls in the realm
584 of behavioral science where goal-motivated interventions
585 are required to motivate a continued usage pattern and avoid
586 deteriorations in usage of the target solution [34]. As such,
587 the ability to detect a decline in usage and intervene when
588 possible is the key.
589

590 Advances in adoption modeling hold the potential to
591 yield critical evidence to inform the promotion of adoption
592 for diverse end-user groups, with a focus on inclusivity
593 and justice. Future work in this field will allow for an
594 in-depth characterization of technology users over time as
595 well as within the use of a specific application, yielding
596 further insight for the customization of user experience
597 and the promotion of ethical adoption.
598

2.4. Pillar 4: Ethical standards assessment

600 Key features: Minimizes overadoption, minimizes harms
601 related to privacy, conflict of interest (nonmaleficence) and
602 promotes informed use (autonomy).
603

604 The development of technology for dementia must
605 include the consideration and evaluation of key standard
606 ethical factors surrounding privacy, confidentiality, and
607 informed consent before their launch and continued use.
608 Most academic research is bound by ethical requirements
609 from Institutional Research Ethics Boards, which govern
610 aspects of the research process such as the use of human
611 subjects and oversee adherence to norms and requirements
612 around recruitment methods, privacy and confidentiality of
613 participant information, and informed consent procedures.
614 Although these requirements are critical during the
615 development and testing phases of new technologies,
616 developers and researchers must think beyond what is
617 strictly required by Institutional Research Ethics Boards
618 and consider the broader ethical dimensions of the finished
619 products and the impact of the technology on eventual end
620 users.
621

622 Recommendation 4.1: Include a clear, effective, and
623 tested consent mechanism.

624 Informed consent is critical in ensuring that users fully
625 understand the benefits and risks of technology solutions.
626 Obtaining meaningful consent has been identified as a
627 problematic process in existing technologies [35], and
628 cognitive impairment adds complexity to this endeavor.
629 When designing the informed consent process for emerging
630 technologies, efforts should be made to involve end users,
631 including persons with dementia, as much as possible.
632 When end users lack capacity to consent and surrogate
633 consent must be obtained, ensuring the best interests of the
634 person with dementia should be the priority. This can be
635 challenging in the case of surveillance technologies,
636 for example, where a balance must be struck between
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646 preserving autonomy and reducing stigma on the one hand
647 and ensuring safety on the other [34,36–38]. Inclusive
648 participatory design (pillar 1) can inform end-user
649 preferences such as methods for obtaining consent
650 (e.g., one time vs ongoing). The standard assessment phase
651 of technology development should include testing of the
652 effectiveness of the consent process and the inclusion of
653 mechanisms to address the issue of capacity when
654 applicable.
655

656 Recommendation 4.2: Meet the highest standards for the
657 protection of users' personal information.
658

659 The issue of privacy has been raised with a range of
660 technology solutions, including telecare interventions and
661 both portable and environmental *in situ* health monitoring
662 [36,37]. Design recommendations have been put forward
663 to enhance user independence and privacy [36], such as
664 encryption and secure storage [37]. As the market for these
665 technologies expands and an increasing number of
666 commercial options become available, it is critical to ensure
667 that the promotion of adoption, for example, by designing
668 simple, uncluttered user interfaces, or by harnessing cloud
669 storage, is not carried out at the cost of adherence to ethical
670 norms.
671

672 Recommendation 4.3: Clearly state the funding sources
673 and real and perceived conflicts of interest.
674

675 Ethical assessments of at-home technologies, such as
676 online resources about dementia, have been conducted
677 with concerning results [38–40]. Freely accessible online
678 self-assessments for dementia, for example, have been
679 shown to fail to adhere to standard ethical norms such as
680 disclosure of conflicts of interest, informed consent, and
681 the safeguard of privacy and confidentiality [39]. Predatory
682 marketing strategies are often disguised as health
683 information or services, a critical concern given that older
684 adults experience more difficulty in discerning trustworthy
685 online information and may be more susceptible to
686 fraud [35,41]. Technology developers and researchers
687 should ensure transparency as a guiding principle in all
688 communications about their solution.
689

690 Recommendation 4.4: Ensure claims about the solution
691 are accurate and clearly state the limitations of the solution.
692

693 Recommendation 4.5: Ensure all information within the
694 solution is evidence based.
695

696 Low-quality technology solutions or inaccurate claims
697 about the benefits of these solutions can have a significant
698 impact on health care variables, including the patient-
699 physician relationship [42] and the demand for health care
700 services [43]. Furthermore, some solutions such as online
701 self-assessments or interactive online resources may directly
702 impact the health of end-users, for example, by fueling
703 health anxiety [44] or through complications from
704 self-medication with substances obtained online [45].
705 Unfounded claims about the benefits of technology for
706 dementia or about the evidence supporting these benefits
707 have ethical implications not only for the end users but
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713 also the developers, as was seen in recent Federal Trade
714 Commission rulings on unfounded claims about the benefits
715 of mobile brain-training applications [46]. As older adults
716 have been shown to be more optimistic about certain types
717 of technology solutions than their younger counterparts
718 [47], technology researchers and developers should be
719 mindful to ground their solutions in empirical evidence
720 and avoid misleading claims about potential benefits to
721 ensure end-user expectations match outcomes.
722

723 Recommendation 4.6: Avoid design features that
724 encourage adoption or use beyond what is required to derive
725 maximum benefit or in a way that would impair well-being
726 across other domains of life (e.g., social interaction,
727 exercise).
728

729 A large variety of online resources that are not aimed
730 specifically at older adults but are used by this demo-
731 graphic, such as popular social networking sites and mo-
732 bile games, are designed to elicit compulsive and
733 repetitive behaviors, with features such as variable rewards
734 and infinite scrolling. These features can lead to adoption
735 that is overly successful, by engaging users beyond what is
736 appropriate to derive maximum benefit from the technol-
737 ogy. Efforts to design technology in a way that promotes
738 adoption must not supersede the development of an ethical
739 product.
740

741 Taken together, these ethical considerations highlight the
742 need for high-quality standards and the promotion of ethical
743 adoption of everyday technologies ranging in complexity
744 from simple websites containing health information to
745 pervasive or ubiquitous health monitoring systems.
746

747 2.5. Pillar 5: Education and training

748 Key features: Ensures intervention is used to full potential
749 (beneficence) and removes familiarity with technology as a
750 prerequisite (justice).
751

752 Recommendation 5.1: Consider using familiar objects
753 (e.g., clocks, mirrors) that are unobtrusive and minimize
754 the need for training.
755

756 Previous research suggests older adults are willing to use
757 advances in technology in many cases, particularly when
758 they perceive its potential benefit. In addition, it has been
759 found that people with dementia can continue to use
760 well-known everyday technologies (TV, phone, etc.) with
761 simple adaptations to compensate for memory deficits [26].
762

763 Recommendation 5.2: Optimize options for direct
764 support in the use or maintenance of a technology.
765

766 Many older adults report a lack of knowledge with
767 regard to emerging technologies, both in terms of what
768 technologies are available and how to use them [25].
769 When coupled with interfaces that have been inadequately
770 designed, this can lead to reduced interaction and elevate
771 existing feelings of technical isolation and inadequacy
772 [48]. In addition, many of these assistive technologies still
773 suffer from stigma attached to their use. Therefore, care
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must be taken to ensure the technologies appropriate to the needs of the person and are designed effectively to negate feelings of inadequacy. This can also be achieved through the use of effective training and communication strategies to highlight the benefits of the technology.

Boyd et al. [49] demonstrated the potential of training older people to use online social networking to help alleviate the problem of social isolation. By providing special training and consideration to usability in technology design, tailored specifically to the needs of the older user, the authors found that it was possible to increase adoption of the solution.

Where appropriate, training and education initiatives should be supplemented by a technical support service, to provide assistance for purchase, installation, learning, operation, and maintenance [18].

Recommendation 5.3: Create opportunities for the development of social ties between technology users.

In addition, social support is essential to overcome barriers of adoption. Key stakeholders within older adult's social circles, including family, friends, and community members play an important role in advocating technology, fostering improved awareness of technology and its benefits, as well as promoting use and providing guidance and assistance [Lee Coughlin]. Facilitating better communication between these groups is essential in both initiating and sustaining adoption of assistive technology.

These findings, along with those of others [49], support education and training strategies both as key factors in technology adoption and as ethical imperatives to promote autonomy and informed decision-making in the adoption of assistive technology.

3. Recommendations and future directions

Structured and unstructured instruments have been developed to assist with the ethical evaluation of health-related technologies, for example, as part of health technology assessments [50]. However, these methods are often limited in scope and their interpretation and application remain variable [50]. Other initiatives have attempted to integrate ethical evaluation together with technology assessment, to address the issue of health technology assessment in itself being a value-laden process [51]. These types of instruments can be useful when evaluating existing technologies but offer little practical guidance specifically for technology developers and researchers.

Outside the context of formal health technology assessments, practical instruments have been designed to evaluate the quality of online health information, many of which incorporate some ethical criteria such as the presence of conflict of interest [38,50–53]. Nevertheless, both quality evaluation tools and the ethical criteria checklists described previously are limited in their scope of

application and do not capture all features that are unique to technologies for dementia. As such, there is a need for a set of simple guidelines that can be easily applied to a broad range of technologies for people with dementia and their carers.

In light of the evidence across all five pillars of ethical adoption and to close the gap between adoption research, ethics, and practice, we summarize in Table 3 the set of 18 recommendations to guide the development of technology for dementia with successful and ethical adoption as an end goal. These recommendations span the timeline of the technology development process, and their application should be considered as a stepwise system. In the earliest stages of design, technology researchers and developers should consider pillar 1 recommendations and include end users in a participatory fashion to ensure the solutions meet existing needs and lead to measurable benefits. Once the solution has taken form, considering recommendations under Pillar 2 will ensure the technology is delivered in a way that aligns with end-user values and minimizes potential harms. Once benefits and harms have been addressed, following recommendations under pillar 3 will assist in promoting adoption. Before launch, a careful review of ethical standards such as informed consent (pillar 4) is necessary. Finally, recommendations under pillar 5 are critical during user testing, launch, and continued use to ensure end users are adequately trained and supported in using the solution. Applied as a whole, the set of ethical adoption recommendations has the potential to resolve key issues at the intersection of adoption and ethics through multiple channels, ensuring redundancy in addressing challenges and the maximization of benefits for end users. Taking informed consent as an example, the inclusive participatory design can inform the preferred modality of consent, emotional alignment can ensure the consent is delivered in a way that aligns with end-user values, and standard assessment can ensure the consent process is effective. Thus, ethical adoption allows this challenge to be tackled through multiple channels rather than providing a one-size-fits-all, prescriptive solution that may not be broadly applicable.

Although each of the recommendations in the ethical adoption model is based on empirical evidence, a limitation of the concept is the absence of evidence about the application of the set of recommendations as a whole. Future directions will include the measurement of outcomes as a result of the application of the recommendations in technology development.

Through the implementation of the ethical adoption recommendations at all stages of technology development, researchers and technology developers alike will benefit from evidence-informed guidance to ensure their solution is successfully adopted in a way that maximizes the benefits to people with dementia and their carers while minimizing possible harms and that ensures accessibility to the widest

Table 3
Ethical adoption recommendations

| Recommendations | | | |
|-----------------|--------------------------------|-----------------------------|---|
| Number | Pillar | Keywords | Description |
| 1 | Inclusive participatory design | | |
| 1.1 | | User engagement | Use a participatory, user-led design |
| 1.2 | | Usability | Consider the limitations of older adults in design (vision, hearing, motor, varying levels of computer knowledge) of visuals, instructions, and overall usability |
| 1.3 | | Culture | Consider cultural differences in technology adoption and use |
| 1.4 | | Benefit | Design to deliver direct benefit to both person with dementia and caregiver and ensure this benefit is clear to both |
| 1.5 | | Customization | Allow for personalization of the solution to create a sense of ownership |
| 2 | Emotional alignment | | |
| 2.1 | | Emotion | Ensure technology has inbuilt model of human emotion, including how to recognize emotion, how to track emotion dynamics, and how to map emotional signals to modulations of potential actions of the system |
| 2.2 | | Implicit bias | Ensure technology does not propagate implicit biases through the inclusion of emotional modeling |
| 3 | Adoption modeling | | |
| 3.1 | | Barriers and facilitators | Understand factors that influence decisions and rights to disengage |
| 3.2 | | Data | Consider evidence from adoption modeling when targeting intervention to specific end-user groups |
| 4 | Ethics | | |
| 4.1 | | Consent | Include a clear, effective, and tested consent mechanism |
| 4.2 | | Privacy and confidentiality | Meet the highest standards for the protection of users' personal information |
| 4.3 | | Conflict of interest | Clearly state the funding sources and real and perceived conflict of interest |
| 4.4 | | Accuracy | Ensure claims about the solution are accurate and clearly state the limitations of the solution |
| 4.5 | | Evidence | Ensure all information within the solution is evidence based |
| 4.6 | | Responsible use | Avoid design features that encourage adoption or use beyond what is required to derive maximum benefit or in a way that would impair well-being across other domains of life (e.g., social interaction, exercise) |
| 5 | Training and education | | |
| 5.1 | | Intuition | Consider using familiar objects (e.g., clocks, mirrors) that are unobtrusive and minimize the need for training |
| 5.2 | | Training courses | Optimize options for direct support in the use or maintenance of a technology |
| 5.3 | | Social support | Create opportunities for the development of social ties between technology users |

possible range of persons in need and that allows engagement with full determination, comprehension, and consent. This is a difficult equilibrium to achieve, and the ethical adoption recommendations are meant to guide the development of dementia technology toward optimal solutions in the long term.

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RESEARCH IN CONTEXT

1. Systematic review: ■ ■ ■ .
2. Interpretation: ■ ■ ■ .
3. Future directions: ■ ■ ■ .

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